

Arizona State University - Tempe/DPC

	FY 2014 ACTUAL	FY 2015 ESTIMATE	FY 2016 BASELINE
OPERATING BUDGET			
<i>Full Time Equivalent Positions</i>	6,142.9	6,142.9	6,142.9
Personal Services	367,043,200	412,626,400	412,626,400
Employee Related Expenditures	111,845,700	126,012,700	126,012,700
Professional and Outside Services	5,526,900	5,275,200	5,275,200
Travel - In State	183,900	202,000	202,000
Travel - Out of State	3,446,700	4,255,800	4,255,800
Other Operating Expenditures	70,925,000	58,163,900	97,007,000
Equipment	16,852,500	19,037,500	19,037,500
OPERATING SUBTOTAL	575,823,900	625,573,500	664,416,600
SPECIAL LINE ITEMS			
Biomedical Informatics	2,697,300	2,746,600	2,746,600
Downtown Phoenix Campus	110,777,700	126,739,200	126,739,200
Parity Funding	20,444,400	38,843,100	0
Research Infrastructure Lease-Purchase Payment	13,553,600	13,032,400	10,509,100
AGENCY TOTAL	723,296,900	806,934,800	804,411,500
FUND SOURCES			
General Fund	270,228,200	290,104,300	287,581,000
<u>Other Appropriated Funds</u>			
University Collections Fund	453,068,700	516,830,500	516,830,500
SUBTOTAL - Other Appropriated Funds	453,068,700	516,830,500	516,830,500
SUBTOTAL - Appropriated Funds	723,296,900	806,934,800	804,411,500
Other Non-Appropriated Funds	797,705,600	867,604,500	940,803,000
Federal Funds	272,250,800	282,074,200	291,467,000
TOTAL - ALL SOURCES	1,793,253,300	1,956,613,500	2,036,681,500

AGENCY DESCRIPTION — Established in 1885, Arizona State University (ASU) is one of 3 public universities governed by the Arizona Board of Regents (ABOR). As a primary research institution, ASU offers 297 Baccalaureate, Master's, Doctoral and first professional degree programs, and 86 undergraduate and graduate certificate programs to 55,235 full-time students at its Tempe Campus. The Downtown Phoenix Campus (DPC), budgeted as a unit of the Tempe Campus, offers 70 degree programs and 36 certificate programs to 13,377 full-time students.

Operating Budget

The Baseline includes \$664,416,600 and 5,338.7 FTE Positions in FY 2016 for the operating budget. These amounts consist of:

	FY 2016
General Fund	\$252,895,700
University Collections Fund	411,520,900

FY 2016 adjustments would be as follows:

Parity Funding Transfer GF 38,843,100

The Baseline includes an increase of \$38,843,100 and 45 FTE Positions from the General Fund in FY 2016 to transfer parity funding into the operating budget. This funding was appropriated to a Parity Funding line item to bring ASU - Tempe/DPC's per pupil funding to that of the

higher University of Arizona amount. This increase completed the parity initiative. The Baseline transfers these monies into the operating budget for no net change in resources.

The Baseline also includes \$2,000,000 from the General Fund in FY 2016 for university operating expenditures appropriated in a footnote in the FY 2015 General Appropriation Act. As a result, these monies do not appear in the General Appropriation Act.

Student enrollment growth and tuition and fee increases for students will contribute to an increase in university tuition and fee collections. The University Collections Fund amount will ultimately be adjusted to reflect these additional revenues.

Biomedical Informatics

The Baseline includes \$2,746,600 and 14.2 FTE Positions in FY 2016 for the Biomedical Informatics Department. These amounts consist of:

General Fund	1,955,200
University Collections Fund	791,400

These amounts are unchanged from FY 2015.

This multidisciplinary department incorporates computer science, biology, and engineering to organize and analyze medical data. This department moved from the Downtown Phoenix Campus (DPC) to Mayo Clinic's hospital in Phoenix last year.

Downtown Phoenix Campus

The Baseline includes \$126,739,200 and 790 FTE Positions in FY 2016 for programs headquartered at the DPC. These amounts consist of:

General Fund	22,221,000
University Collections Fund	104,518,200

These amounts are unchanged from FY 2015.

The DPC offers undergraduate and graduate programs in disciplines including health, nursing, journalism, mass communication, teaching and public programs. The campus opened in 2006 with 2,700 students and had a full-time student enrollment of 13,396 in fall 2014.

Parity Funding

The Baseline includes no funding for the Parity Funding line item. FY 2016 adjustments would be as follows:

Parity Funding Transfer GF (38,843,100)

The Baseline includes a decrease of \$(38,843,100) and (45) FTE Positions from the General Fund in FY 2016 to transfer parity funding to the operating budget.

Research Infrastructure Lease-Purchase Payment

The Baseline includes \$10,509,100 from the General Fund in FY 2016 for the Research Infrastructure Lease-Purchase Payment line item. FY 2016 adjustments would be as follows:

Refinance Savings GF (2,523,300)

The Baseline includes a decrease of \$(2,523,300) from the General Fund in FY 2016 to reflect savings from the refinance of Certificates of Participation (COPs) for research infrastructure projects.

Laws 2003, Chapter 267 appropriated a total of \$34,625,000 from the General Fund to the state university system from FY 2008 through FY 2031 to finance lease-purchase payments for research infrastructure project agreements entered into before July 1, 2006. The FY 2015 General Appropriation Act (Laws 2014, Chapter 18) reduced the ASU research infrastructure appropriation of \$13,555,000 by \$(522,600) in FY 2015, \$(3,045,900) in FY 2016 and \$(2,329,800) in FY 2017.

Since this funding appears in Laws 2003, Chapter 267 and Laws 2014, Chapter 18, Section 134, this funding does not appear in the General Appropriation Act. In total, the universities have issued \$482,500,000 in COPs for research infrastructure projects. Of this amount, ASU-Tempe/DPC has issued \$187,200,000 in COPs.

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FORMAT — Operating Lump Sum with Special Line Items by Agency

FOOTNOTES

Standard Footnotes (Please see Arizona Board of Regents (ABOR) for university-wide standard footnotes.)

The appropriated monies shall not be used by the Arizona State University College of Law Legal Clinic for any lawsuits involving inmates of the State Department of Corrections in which the state is the adverse party.

It is the intent of the Legislature that the General Fund base funding for Arizona State University - Tempe and Downtown Phoenix Campuses is \$275,071,900. This appropriation includes a deferral of \$74,760,400 from FY 2016 to FY 2017. This deferral shall be paid as required in this act. *(The General Fund base funding of \$275,071,900 plus the \$13,555,000 in Laws 2003, Chapter 267 lease-purchase appropriations, plus \$2,000,000 from a footnote in the FY 2015 General Appropriation Act, less \$(3,045,900) in savings from the refinance of Certificates of Participation for research infrastructure in the FY 2015 General Appropriation Act, equals the FY 2016 total of \$287,581,000. The \$74,760,400 deferral amount into FY 2016 was appropriated by Laws 2014, Chapter 18.)*

Deletion of Prior Footnotes

The Baseline does not include the provision that appropriated \$2,000,000 in FY 2016 and FY 2017 from the state General Fund to ASU - Tempe/DPC Campus for operating expenditures since the monies were already appropriated with the initial footnote.

The Baseline would delete the footnote related to parity funding.

Payment Deferral

The Baseline includes \$74,760,400 from the General Fund for FY 2015 payments that were deferred until FY 2016 and includes a FY 2016 General Fund payment deferral of \$(74,760,400) until FY 2017. These amounts constitute a portion of a \$200,000,000 university-wide payment deferral. The payment deferral is unchanged from FY 2011. (Please see ABOR for more information.)

The FY 2016 General Fund Baseline is \$287,581,000. Of this amount:

- \$200,311,500 would be included in ASU-Tempe/DPC's individual section of the FY 2016 General Appropriation Act.

- \$74,760,400 was appropriated in the FY 2015 General Appropriation Act (Laws 2014, Chapter 18) to reflect the deferral of FY 2015 monies to FY 2016.
- \$13,555,000 was appropriated in Laws 2003, Chapter 267 for a research infrastructure lease-purchase payment.
- \$(3,045,900) was reduced in Section 134 of the FY 2015 General Appropriation Act for Research Infrastructure savings.
- \$2,000,000 was appropriated by a footnote in the FY 2015 General Appropriation Act

SUMMARY OF FUNDS	FY 2014 Actual	FY 2015 Estimate
Auxiliary Fund (UNI8906/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Sales revenues of substantially self-supporting university services.		
Purpose of Fund: To provide university-related non-academic services for students, faculty, staff, and the public. Auxiliary enterprises include student housing, bookstores, student unions, and intercollegiate athletics.		
Funds Expended	130,767,900	126,591,800
Year-End Fund Balance	10,335,800	9,795,400
Designated Fund (UNI8905/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Retained tuition and fees, summer session fees, student aid administrative allowances, and unrestricted gifts and grants.		
Purpose of Fund: To provide and administer student financial aid, to pay debt service on university bonds, and to run summer and winter session programs. The university designates monies in the fund into sub-accounts for specific purposes.		
Funds Expended	512,918,400	578,589,100
Year-End Fund Balance	391,246,100	384,435,900
Endowment and Life Income Fund (UNI8904/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Interest income on invested endowment and life income gifts, a portion of financial aid trust fees assessed to students, and a portion of university trust land earnings.		
Purpose of Fund: To support endowment operations and compensate designated beneficiaries.		
Funds Expended	1,841,500	0
Year-End Fund Balance	112,996,900	112,996,900
Federal Grants (UNI8903/A.R.S. § 15-1666)		Non-Appropriated
Source of Revenue: Federal grants and contracts.		
Purpose of Fund: To support specific operating and research purposes as identified by the federal government.		
Funds Expended	272,250,800	282,074,200
Year-End Fund Balance	0	0
Indirect Cost Recovery Fund (Non-Federal) (UNI8900/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Non-federally sponsored research programs.		
Purpose of Fund: To assist and promote non-federally sponsored research.		
Funds Expended	28,835,700	30,902,700
Year-End Fund Balance	1,952,400	1,522,000

SUMMARY OF FUNDS	FY 2014 Actual	FY 2015 Estimate
Loan Fund (UNI8901/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Interest on federal student loans and reimbursements from federal loan forgiveness programs.		
Purpose of Fund: To provide one-third of the amount of federal student loans. The federal government provides the other two-thirds. The amounts displayed do not include an administrative allowance, which is reflected in the Designated Fund.		
Funds Expended	368,400	0
Year-End Fund Balance	13,814,000	13,814,000
Restricted Fund (Excluding Federal Funds) (UNI8907/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Private and non-federal grants, including non-appropriated Technology and Research Initiative Fund grants (<i>please see the ABOR Summary of Funds</i>), as well as a portion of financial aid trust fees assessed to students.		
Purpose of Fund: To support specific operating and research purposes as identified by the private or non-federal donating entities.		
Funds Expended	119,557,900	125,119,900
Year-End Fund Balance	47,955,900	50,164,700
University Capital Improvement Lease-to-Own and Bond Fund (BRA3042/A.R.S. § 15-1682.03)		Non-Appropriated
Source of Revenue: University system revenues.		
Purpose of Fund: To pay annual debt service payments for the \$800,000,000 university Lottery bonding package. Lottery bond debt service is paid with no more than 80% Lottery revenues and at least 20% state university system revenues. The fund is comprised of the state university system revenues portion of the debt service payments. (<i>Please see the Capital Outlay ABOR Building System narrative for more information.</i>)		
Funds Expended	3,415,800	6,401,000
Year-End Fund Balance	0	0
University Collections Fund (ASA1411/A.R.S. § 15-1626)		Appropriated
Source of Revenue: Tuition and registration fees, as well as a portion of university trust land earnings.		
Purpose of Fund: To operate the university.		
Funds Expended	453,068,700	516,830,500
Year-End Fund Balance	0	0