

NORTHERN ARIZONA UNIVERSITY

A.R.S. § 15-1601

Clara M. Lovett, Ph.D., President

JLBC Analyst: John Lee/Steve Grunig

General Fund and University Collections Fund	FY 1998 Actual	FY 1999 Estimate	FY 2000 Approved	FY 2001 Approved
FTE Positions	2,229.7	2,256.1	2,286.7 ^{1/}	2,311.4 ^{1/}
Personal Services	79,597,700	88,054,300	91,092,900	94,046,800
Employee Related Expenditures	16,106,800	17,025,500	18,328,500	19,277,500
Professional and Outside Services	1,437,900	595,900	595,900	595,900
Travel - In State	607,000	755,100	771,000	775,000
Travel - Out of State	783,000	485,400	263,800	277,200
Library Acquisitions	3,193,100	2,595,100	2,595,100	2,595,100
Other Operating Expenditures	17,156,800	14,607,500	15,589,400	15,756,800
Equipment	5,422,600	4,119,700	4,283,300	4,422,000
Operating Subtotal	124,304,900	128,238,500	133,519,900	137,746,300
NAU-Yuma	2,252,900	2,574,200	2,635,800	2,688,800
Total	126,557,800	130,812,700	136,155,700	140,435,100
Additional Appropriations - Eminent Scholars; Appropriation, Ch. 326	0	0	39,500	39,500
Total Appropriations	126,557,800	130,812,700	136,195,200 ^{2/3/4/}	140,474,600 ^{2/3/4/}
<u>Fund Summary</u>				
General Fund	98,145,700	103,318,000	108,429,800	112,419,800
University Collections Fund	28,412,100	27,494,700	27,765,400	28,054,800
Total Appropriations	126,557,800	130,812,700	136,195,200	140,474,600

Agency Description — Established in 1899, Northern Arizona University (NAU) is one of 3 state universities governed by the Arizona Board of Regents. The university offers 137 degree programs to approximately 20,000 students in 9 colleges, schools and 1 center, and provides academic programs at many instructional sites throughout the state and at the Yuma Campus that operates in conjunction with Arizona Western College.

Student Enrollment Growth — The approved FY 2000 amount includes an increase of \$1,168,100 from the General Fund and 19.7 FTE Positions for the support of an additional 288 full-time equivalent students (see Table 1). The approved FY 2001 amount includes a further increase of \$1,201,500 from the General Fund and 20.2 FTE Positions for the support of an additional 295 full-time equivalent students (see Table 2).

Under the 22:1 funding formula, enrollment growth funding is based on a comparison of 3-year rolling weighted averages for the current year with the previous year. The full-time equivalent student counts are calculated by using 15 student credit hours for lower division undergraduates, 12 student credit hours for upper division undergraduates, and 10 student credit hours for graduate students per semester.

^{1/} Includes 38.5 FTE Positions funded from Special Line Items in FY 2000 and FY 2001.

^{2/} General Appropriation Act funds are appropriated as an Operating Lump Sum with Special Line Items by Agency.

^{3/} The appropriated monies are not to be used for scholarships. (General Appropriation Act footnote)

^{4/} Any unencumbered balances remaining in the collections account on June 30, 1999 and June 30, 2000, respectively, and all collections received by the university during the fiscal year, when paid into the State Treasury, are appropriated for operating expenditures, capital outlay and fixed charges. Earnings on state lands and interest on the investment of the Permanent Land Funds are appropriated in compliance with the Enabling Act and the Constitution. No part of this appropriation may be expended for supplemental life insurance or supplemental retirement. Receipts from summer session, when deposited in the State Treasury, together with any unencumbered balance in the summer session account, are hereby appropriated for the purpose of conducting summer sessions but are excluded from the amounts enumerated above. Within 10 days of the acceptance of the universities' semiannual all funds budget reports, the Arizona Board of Regents shall inform the Joint Legislative Budget Committee of any tuition revenue amounts that are different from the amounts appropriated by the Legislature. (General Appropriation Act footnote)

NORTHERN ARIZONA UNIVERSITY (Cont'd)

			<u>Weighted Enrollment</u>		
			<u>FY 2000</u>	<u>FY 1999</u>	<u>Change</u>
Fall 1997 (Actual)	17,183	at 25%	4,296	4,298 (Fall 1996)	(2)
Fall 1998 (Estimate)	17,484	at 50%	8,742	8,592 (Fall 1997)	150
Fall 1999 (Projection)	17,746	at 25%	<u>4,436</u>	<u>4,296</u> (Fall 1998)	<u>140</u>
Total			17,474	17,186	288

			<u>Weighted Enrollment</u>		
			<u>FY 2001</u>	<u>FY 2000</u>	<u>Change</u>
Fall 1998 (Estimate)	17,484	at 25%	4,371	4,296 (Fall 1997)	75
Fall 1999 (Projection)	17,746	at 50%	8,873	8,742 (Fall 1998)	131
Fall 2000 (Projection)	18,101	at 25%	<u>4,525</u>	<u>4,436</u> (Fall 1999)	<u>89</u>
Total			17,769	17,474	295

50% Out-of-State Travel Reduction — The approved amount includes a reduction of \$(242,700) from the General Fund for Travel - Out of State beginning in FY 2000.

New Facilities Annualization — The approved FY 2000 amount includes an increase of \$206,400 from the General Fund and an additional 4.4 FTE Positions for annualization of operating support for the BioChem Building and the Centennial Building. This amount is continued in FY 2001.

University Collections Fund Adjustments for Debt Service — The approved FY 2000 amount includes an increase of \$250,000 from the University Collections Fund and a General Fund reduction of the same amount for academic bond debt service adjustments. The approved FY 2001 amount includes a further increase of \$250,000 from the University Collections Fund and a further General Fund reduction of the same amount for academic bond debt service adjustments.

Arizona Center for K-12 Improvement — The approved FY 2000 amount includes an increase of \$1,000,000 from the General Fund and an additional 2 FTE Positions for development and support of the center for K-12 Improvement. The center will serve as a clearinghouse for “best practices” in education and assist in transferring these practices into the state’s school districts. The center also will engage in a variety of teacher training and in-service programs. Of the approved amount, 15% will be used to administer programs housed at the center and the remaining \$850,000 will be passed through to local school districts for program implementation. This amount is continued in FY 2001.

Statewide Service — The approved FY 2000 amount includes an increase of \$500,000 from the General Fund and an additional 4.5 FTE Positions for development and support of NAU’s statewide educational programs. The approved amount for FY 2001 includes a further increase of \$500,000 from the General Fund and an additional 4.5 FTE Positions for statewide educational programs.

NAU-Yuma — The approved amounts for FY 2000 and FY 2001 for the NAU-Yuma Special Line Item appropriation were based upon the following allocation:

	<u>FY 2000</u>	<u>FY 2001</u>
FTE Positions	<u>38.5</u>	<u>38.5</u>
Personal Services	\$1,477,800	\$1,507,300
ERE	336,700	360,200
Prof. & Outside Svcs.	21,000	21,000
Travel - In State	31,200	31,200
Travel - Out of State	21,800	21,800
Library Acquisition	50,000	50,000
Other Oper. Exp.	479,100	479,100
Equipment	<u>218,200</u>	<u>218,200</u>
Total	\$2,635,800	\$2,688,800

Additional Appropriations: Eminent Scholars: Appropriation (Chapter 326) — Appropriates \$39,540 from the General Fund in FY 2000 to implement the Eminent Scholars program at NAU. This amount is continued in FY 2001. The Eminent Scholars Program is intended to attract and retain eminent faculty at Arizona universities. For each dollar of interest earned on designated private endowments, NAU (through the Eminent Scholars Matching Grant Fund) gives a dollar of its own to pay the salaries and related expenditures of eminent faculty.

Capital Outlay Appropriations: NAU; Signal Peak: Appropriation (Chapter 349) — Appropriates \$400,000 in FY 2000 and FY 2001 from the General Fund to Northern Arizona University for construction of an academic center facility on the Signal Peak Campus of Central Arizona College.

The bill as originally passed also included a General Fund appropriation of \$400,000 in FY 2002. The FY 2002 appropriation, however, was line item vetoed by the Governor.

[Click here to return to index](#)