



Arizona Department of Transportation

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Phone 602.712.7226

Jane Dee Hull
Governor

Mary E. Peters
Director

Victor M. Mendez
Deputy Director



December 8, 1999

Mr. Thomas J. Betlach, Director
Office of Strategic Planning & Budget
1700 West Washington, Suite 500
Phoenix, Arizona 85007

Mr. Richard Stavneak, Director
JLBC Staff
1716 West Adams
Phoenix, Arizona 85007

Dear Messrs. Betlach and Stavneak:

Your November 30, 1999 letter to David Jankofsky, Manager – Strategic Planning and Budgeting, transmitted joint staff recommendations relative to the Strategic Program Area Review (SPAR) process for Ports of Entry and requested written comments by December 8th.

ADOT, by and large, substantially agrees with the philosophy and substance of the recommendations in your report. We have, however, commented on specific recommendations made in the report, which are attached.

If you have any questions regarding the attached, please contact me or David Jankofsky, Manager of Strategic Planning and Budgeting. David can be reached at 602/712-8981 and I can be reached at 602/712-7227. Thank you for your assistance.

Sincerely,

Mary E. Peters

- Cc: Sam Maroufkhani, Chief of Staff
- Stacey Stanton, Assistant Director
- David P. Jankofsky, Manager – Strategic Planning & Budgeting
- Marcel Benberou, OSPB Budget Analyst
- Bob Hull, JLBC Principal Research/Fiscal Analyst
- Monica Klaschka, OSPB Strategic Management Analyst
- Lynne Smith, JLBC Senior Fiscal Analyst

Attachment

ARIZONA DEPARTMENT OF TRANSPORTATION

RESPONSE TO THE REPORT OF THE GOVERNOR'S OFFICE OF STRATEGIC PLANNING AND BUDGETING AND JOINT LEGISLATIVE BUDGET COMMITTEE STAFF

December 8, 1999

The Arizona Department of Transportation has received and reviewed the Report of the above-referenced entities regarding the Motor Vehicle Division's non-Mexican border ports of entry. The following response individually addresses the recommendations contained in the Report:

Joint Recommendation 5-Year Plan: *OSPB and JLBC Staff recommend that the program's data tracking system and performance measurements be improved. Specifically, it is recommended that ADOT collect data on the number of trucks weighed, number overweight, revenue generated, and operating budget expenditures separately for both its fixed ports and for its mobile units. Such data would facilitate future cost comparisons between ADOT's use of fixed and mobile scales. This data should be included in ADOT's 5-Year Master Plan for the ports, which is currently being updated (it was last updated in 1989). The updated Master Plan can then be used to help evaluate the future direction of the program, including the best use and mix of fixed scales, mobile scales, and newer technologies. OSPB and JLBC Staff recommend that ADOT submit its updated 5-Year Master Plan for the ports to the Governor and Joint Legislative Budget Committee by March 1, 2000.*

ADOT substantially agrees and accepts this recommendation.

A draft of the 5-Year Master Plan was completed during the period the SPAR was written and evaluated. As it goes through its final review process we will ensure that it contains as much as possible of the historical baseline data that the recommendation requests. Additionally, we will ensure that the measurements used to evaluate the effectiveness of the program are true "outcome" measurements. An approach for evaluating the proper mix of fixed versus mobile enforcement points will also be included.

JLBC Recommendation on Cross Training: *JLBC Staff and OSPB agree that the three agencies should jointly examine innovative and efficient ways to further staff cross-training and development. JLBC Staff further recommends that ADOT report specifically on the more extensive use of cross training to allow one person to conduct all checks, and on the use of interagency team-building activities at the ports.*

OSPB Recommendation on Cross Training: *ADOT should increase efforts and explore creative methods to enhance and promote efficient interagency cooperation with DPS and ADA. The involved agencies should jointly examine feasible and innovative ways to further staff cross training and development.*

ADOT agrees with the philosophy of these recommendations with limitations based on statutory authority.

The recommendations are technically different, but get to the same issue: cooperation among the three agencies that work at the MVD ports. We will commit to hosting a meeting with the appropriate personnel of ADA and DPS in order to develop a Plan for taking interagency cooperation as far as it can reasonably go, including but not limited to enhancement of the existing cross-training that currently takes place.

JLBC Recommendation on Interagency Agreements: *JLBC Staff recommends that the three agencies should explore how they can make better use of interagency agreements to foster more cooperation. JLBC Staff recommends putting more specificity into the existing interagency agreement between ADOT and ADA, and establishing separate interagency agreements between ADOT and DPS, and between DPS and ADA. OSPB recommends that ADOT and DPS enter into an interagency agreement to reflect and specify all key components of their cooperative efforts, and that the existing interagency agreement between ADOT and ADA be updated to reflect all major transactions and terms of their cooperation at the ports.*

OSPB Recommendation on Interagency Agreements: *ADOT and DPS should enter into an interagency agreement to reflect and specify all key components in their business, responsibilities, and cooperative efforts at the ports.*

ADOT and ADA need to update the existing interagency agreement to reflect all major terms and levels of their mutual cooperation.

ADOT substantially agrees with the recommendations.

Once again, the recommendations differ by degree, but ADOT will update its existing interagency agreement with ADA and will develop one with DPS, covering all the major items of cooperation agreed to pursuant to the recommendation above on interagency cooperation.

JLBC Recommendation on Reporting Requirements: *JLBC Staff recommends that ADOT, ADA, and DPS report on how the three agencies will work better together at the ports, including but not limited to the specific items mentioned above, to the Governor and Legislature by July 1, 2000.*

OSPB Recommendation on Reporting Requirements: *It is recommended that ADOT provide to the JLBC and OSPB directors a written update on the progress of these recommendations by July 1, 2000.*

ADOT substantially agrees with the substance of these recommendations.

The recommendations again differ only by degree, and probably accomplish the same purpose. ADOT commits to taking the lead role in reporting to the JLBC and OSPB directors of the

progress of the three agencies in enhancing cooperation. If the JLBC Staff director desires to share that report with his Committee, that is his prerogative. For ADOT's part, anything involving interagency cooperation is brought to the level of the Governor's Office, either by the agency directors themselves, or by the OSPB if it involves a planning or budgeting matter.

JANE DEE HULL
Governor



SHELDON R. JONES
Director

Arizona Department of Agriculture

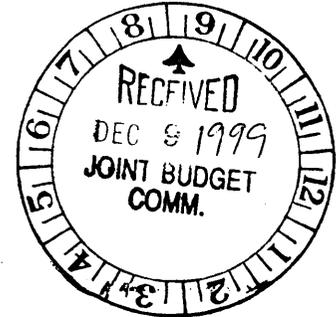
1688 West Adams Street, Phoenix, Arizona 85007
(602) 542-0998 FAX (602) 542-5420

OFFICE OF DIRECTOR

December 8, 1999

Thomas J. Betlach, Director
Governors' Office of Strategic Planning and Budgeting
1700 West Washington, Suite 500
Phoenix, Arizona 85007

Richard Stavneak, Director
Joint Legislative Budget Committee
1716 West Adams Street
Phoenix Arizona 85007



Dear Messrs. Betlach and Stavneak:

I have reviewed the Strategic Program Area Review (SPAR) for Ports of Entry you forwarded to me on November 30, 1999 and appreciate the opportunity to share with you the Arizona Department of Agriculture's (ADA) response to its findings and recommendations.

As the SPAR report explains, the Arizona Department of Agriculture (ADA) is mandated to cooperate with the Arizona Department of Transportation (ADOT) at Arizona's borders to intercept agricultural pests, weeds, and livestock diseases. Largely due to the ADA's presence at the ports, Arizona's economy enjoys the luxury of shipping agricultural goods and products from one of the only pest-free states in the country. From farmers to ranchers, landscape contractors to nurseries, as well as the general public, the ADA is assuring the State's numerous interests are protected from dangerous plant and animal pests and diseases.

We generally support the findings and recommendations of this SPAR and have the following comments with regard to its content.

First, the ADA fully supports the recommendation that it work with ADOT to update our existing interagency agreement to reflect all major terms of our cooperation and, upon publication of the SPAR, will initiate such an update.

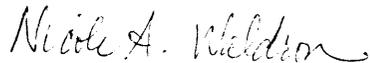
Second, JLBC recommends that ADA, Motor Vehicle Division of ADOT (MVD), and Department of Public Safety (DPS) "should be more strongly encouraged to pursue interagency cooperation at the ports, and that they should be held more accountable for results by reporting on specific items to the Governor and the Legislature by July 1, 2000." However, the report does

not indicate what "specific items" should be included in this report to the Governor and the Legislature. The ADA is happy to share the data it presently collects, as well as any new measures you recommend, for inclusion in the July 1, 2000 submission.

Third, the ADA questions to what extent the three agencies are being charged to "pursue interagency cooperation at the ports." With a statewide program staffed only by 29.7 FTEs and an operating budget in FY2000 of \$973,655, the ADA has worked diligently to find innovative and cost-effective means to operate consistently out of four ports of entry, seven days a week, twenty-four hours a day. If there are further efficiencies to be gained to the benefit of Arizona's citizens, without compromising the quality of services provided by our department, we will work with our sister agencies to see them implemented.

Again, thank you for providing the Arizona Department of Agriculture the opportunity to review and respond to the Ports of Entry SPAR. We look forward to its publication. If you have any questions or concerns about the ADA's response, please contact me at (602) 542-0800.

Sincerely,



Nicole A. Waldron
LEGISLATIVE LIAISON

Cc: Marcel Benberou, OSPB Budget Analyst
Bob Hull, JLBC Principal Research/Fiscal Analyst
Paul Shannon, JLBC Fiscal Analyst
Monica Klaschka, OSPB Strategic Management Analyst
Lynne Smith, JLBC Senior Fiscal Analyst

ARIZONA DEPARTMENT OF PUBLIC SAFETY

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JANE DEE HULL
GOVERNOR

JOE ALBO
DIRECTOR

December 13, 1999

DEC 15 / 1999
JOINT BUDGET
COMMITTEE

Mr. Richard Stavneak, Director JLBC
Office Of The Governor
Joint Legislative Budget Committee
1716 West Adams
Phoenix, Arizona 85007

Dear Mr. Stavneak:

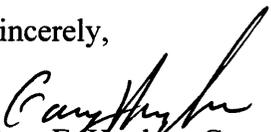
I agree with the overall assessment of OSPB and JLBC staff as presented within this SPAR. However there are several issues, which need to be clarified.

I concur with the recommendation for the establishment of an IGA between the Arizona Department of Transportation and the Department of Public Safety and between DPS and the Arizona Department of Agriculture. We at the Department of Public Safety will gladly explore with ADOT/MVD the creation of this agreement as well as with ADA.

In regards to the funding sources for the activities at the ports, the Department of Public Safety also utilizes funding from the Motor Carrier Assistance Program (MCSAP) for its port efforts.

During the discussion of the mobile scales available to MVD there is no mention of the mobile scales utilized by the Department of Public Safety. There are currently 25 sets of mobile scales being utilized by DPS officers statewide. This includes platform scales, weight-in motion scales, and sets of *wheel weighers* (individual wheel scales).

Sincerely,


Gary E. Hughes, Captain
Special Services Commander

GEH/rm