

**STATE OF ARIZONA**

**ANNUAL BUDGET**

**FY 1998 AND FY 1999**

***NON-APPROPRIATED FUNDS***

**JLBC**

**PREPARED BY THE STAFF**

**OF THE**

**JOINT LEGISLATIVE BUDGET COMMITTEE**

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## INTRODUCTION

The Non-Appropriated Funds budget is a supplement to the budget prepared by the Staff of the Joint Legislative Budget Committee (JLBC). This publication displays revenues and expenditures from funding sources outside of the legislative appropriations process. Almost 55% of these expenditures are federal funds. Most federal grants require certain levels of state funding or other support.

Total non-appropriated expenditures are estimated to be almost \$6.0 billion in FY 1998. The level of non-appropriated funding is projected to grow by almost 5% between FY 1995 and FY 1997. Non-federal non-appropriated funds are growing at a faster rate than federal funds.

The information in this section provides the supporting details for the amounts shown on the Federal Funds and Other Non-Appropriated lines in the Proposed Budget FY 1998 and FY 1999, Analysis and Recommendations book. There may be cases where there are differences between the amounts shown in the Proposed Budget and this publication. Such differences are directly attributable to timing, as this section of the publication is completed before the Proposed Budget is finalized.

### Elements of this Report

This report begins with the non-appropriated funds of annual major budget units. In the 1997 session, the Legislature will approve FY 1998 funding for 11 major budget units. All other budget units will receive both a FY 1998 and FY 1999 appropriation. As a result, we have included FY 1998 and FY 1999 non-appropriated estimates for these "biennial" budget units.

In the non-appropriated individual fund detail, we provide a brief explanation of the fund's purpose and source(s) of revenue, the A.R.S. citation and the Arizona Financial Information System (AFIS) fund number. Some funds contained in this publication include appropriated dollars. These funds have been included for specific reasons; for example, the Lottery Fund is included to show, in one place, the source and disposition of all Lottery monies. In order to avoid double counting of both appropriated and non-appropriated funds, appropriations are shown on a separate line and are not included in the expenditure total.

At the end of the document, there is a list of 535 funds specifically authorized by statute and/or in the Arizona Department of Administration's accounting system. The list describes the type of fund and whether this volume contains more information on this fund.

### Reporting Requirement

After the listing of funds, there is a summary listing of our November 1, 1996 recommendation to eliminate 10% of all funds and appropriate 5% of all non-appropriated expenditures.

Laws 1994, Chapter 366 established a new requirement for the JLBC Staff to make annual recommendations to the JLBC on non-appropriated funds. We are required to recommend:

- the elimination or consolidation of a minimum of 10% of the total number of funds, and
- the conversion of at least 5% of the dollar value of all non-appropriated funds to appropriated status.

The legislation is an outgrowth of the Legislature's belief that it should exercise greater oversight of non-appropriated funds. The need for this oversight is demonstrated by the significant role of non-appropriated funds in the state budget. There are over 535 funds authorized specifically by law or in the state's accounting system. Of that number, only 153 are annually appropriated by the Legislature. In addition, 49.2% of the state's total spending authority is expended from non-appropriated sources.

For our November 1, 1995 recommendation, we determined that the 535 separate funds include non-appropriated resources totaling \$4.4 billion. (We excluded Federal Title 19 resources since they are included in the General Appropriation Act.) As a result, we are required to recommend the elimination or consolidation of 54 funds (10%) and the transfer to appropriated status of funds with a dollar value of \$219.7 million (5%). Our baseline expenditures were derived from the original FY 1997 estimated expenditures in the FY 1997 Non-Appropriated Funds report.



In our November 1 report, we recommended the elimination of 62 funds, or 12% of all funds. Of the 62 funds, almost all are inactive, duplicate another existing fund, have already been statutorily repealed or would be consolidated with another fund. We also recommended the transfer of \$326 million, or 7%, to appropriated status. We have chosen funds which would benefit from improved legislative oversight. The funds either finance basic agency operating costs or duplicate a General Fund subsidized program.

If an annual agency's fund is being recommended for elimination or transfer to appropriated status, the individual fund page in this report is footnoted to that effect. All funds, by agency, in our 5% and 10% recommendation are listed at the end of this report. The annual agencies recommendation are displayed first, followed by the recommendations for biennial agencies.

Other reports which together comprise the JLBC Staff Analysis and Recommendations for the FY 1997 budget include:

- A Summary of Recommendations and Economic and Revenue Forecast, and
- Analysis and Recommendations (agency operating and capital outlay budgets).

**SUMMARY OF FEDERAL AND OTHER NON-APPROPRIATED FUND EXPENDITURES**  
**For Fiscal Years 1996, 1997, 1998 and 1999**

	FY 1996 Actual	FY 1997 Estimate	FY 1998 Estimate	FY 1999 Estimate 1/
<b>ANNUAL AGENCIES</b>				
<b>Department of Administration (ADA)</b>				
Americans with Disabilities Act, Arizona				
Office for (2000)	261,800	248,400	215,500	
Co-Op State Purchasing Agreement (4213)	4,700	47,300	58,600	
COP Building Operating & Maintenance Fund (1025)	1,344,400	1,342,400	1,319,200	
Emergency Telecommunications Revolving (2176)	5,739,000	6,664,500	6,861,900	
Employee Related Expenditures/Benefits Admin (AAA3035)	12,943,800	12,090,000	12,980,000	
Health Administration & Benefit Insurance Trust (AAA3161)	678,000	625,000	625,000	
Motor Pool Revolving (4204) 2/	0	0	0	
Public Buildings Land Earnings (3127)	1,637,400	0	0	
Special Employee Health Insurance Trust (AAA3015)	199,460,700	209,690,900	217,315,900	
Special Services Revolving (4208)	3,601,500	3,675,100	3,352,400	
State Employee Travel Reduction (2261)	242,200	872,800	569,100	
Surplus Property - Federal (4215)	431,000	328,600	347,300	
Surplus Property - State (4214)	947,700	928,200	977,600	
Telecommunications (4206) 2/	0	0	0	
Vendor Services (AAA2401)	24,200	0	0	
Department of Administration - Subtotal	\$227,316,400	\$236,513,200	\$244,622,500	MBU
<b>AHCCCS (HCA)</b>				
County Contributions (2120/2223)	192,868,500	207,606,200	220,597,300	
Federal Funds (2120/2223)	978,291,000	1,076,534,400	1,116,425,300	
Health Care Group Medical Premiums (3197)	23,242,900	27,471,800	30,346,800	
Third Party Collections (3791/3019)	6,234,800	5,615,000	5,844,800	
Tobacco Tax & Health Care Fund - Medically Needy Account (1306)	734,800	24,909,800	29,696,100	
Tobacco Tax & Health Care Fund - Medical Services Stabilization Fund (3037)	0	0	0	
Tobacco Tax & Health Care Fund - Premium Sharing Demonstration Project Fund (N/A)	0	75,000	0	
AHCCCS - Subtotal	\$1,201,372,000	\$1,342,212,200	\$1,402,910,300	MBU
<b>State Board of Directors for Community Colleges (CMA)</b>				
Federal Grants (2000)	2,792,500	2,915,400	2,915,400	
Les Aries Memorial (3321)	0	0	0	
State Board of Directors for Community College - Subtotal	\$2,792,500	\$2,915,400	\$2,915,400	MBU
<b>State Department of Corrections (DCA)</b>				
Alcohol Abuse Treatment (2204)	278,000	342,700	241,200	
Arizona Correctional Industries Revolving (4002)	10,953,300	14,256,500	15,682,100	
Corrections Fund - Adjustment Account (RVA1306)	0	0	0	
Criminal Justice Enhancement (2035)	2,754,600	2,746,200	2,745,000	
Donations (3147)	14,000	23,000	24,600	
Education Fund for Correctional Education, State (2107)	29,200	438,600	438,600	
Federal (2000)	167,500	312,800	333,300	
Inmate Care Revolving (2089)	6,700	0	0	
Penitentiary Land Earnings (3140)	4,600	0	0	
Permanent Training (2215)	0	0	0	
Risk Management Insurance Reimbursement (3748)	85,200	115,000	115,000	
Special Revenue (2001)	83,000	54,300	49,500	
Special Services (3187)	13,728,300	14,153,300	14,890,000	
State Charitable, Penal & Reform. Land Earnings (3141)2/	0	0	0	
State Department of Corrections - Subtotal	\$28,104,400	\$32,442,400	\$34,519,300	MBU
<b>Department of Economic Security (DEA)</b>				
AZ Industries for the Blind (4003)	5,445,000	6,102,700	6,102,700	
Capital Investment (2093)	26,300	60,000	60,000	
Child Abuse Prevention (2162) 2/	0	0	0	
Child Passenger Restraint (2192)	79,800	53,300	53,300	
Child Support Enforcement (3195)	0	0	0	
Child Support Enforcement Administration (2066)	0	0	0	
DES Client Trust (3152)	2,881,400	2,030,900	2,030,900	
Developmentally Disabled Client Services Trust (2019)	0	0	0	
Domestic Violence Shelter (2160)	828,200	876,200	876,200	

**SUMMARY OF FEDERAL AND OTHER NON-APPROPRIATED FUND EXPENDITURES**  
**For Fiscal Years 1996, 1997, 1998 and 1999**

	FY 1996 Actual	FY 1997 Estimate	FY 1998 Estimate	FY 1999 Estimate 1/
Economic Security Donations (3145)	170,800	108,600	108,600	
Economic Security Special Administration 2/	0	0	0	
Federal Grants (2000)	938,530,200	810,246,300	799,279,000	
Full-Employment Demonstration Project (1023)	52,200	439,100	251,100	
Homeless Trust (3026)	56,000	46,600	46,600	
Long Term Care (Federal) (2224)	135,992,100	142,308,100	150,237,600	
Mesa Land (3151)	196,500	300,000	300,000	
Neighbors Helping Neighbors (2348)	70,400	49,000	49,000	
Private Donations & Resource Development (3202)	0	2,500	2,500	
Rate Payor Assistance Trust (2347)	0	0	0	
Special Olympics Tax Refund (3207)	90,500	70,000	70,000	
Spinal & Head Injuries Trust (2335)	566,700	427,200	427,200	
Unemployment Insurance Benefits (TRA9005)	179,276,600	207,000,000	207,000,000	
Utility Assistance (3092)	317,600	600,000	600,000	
Dept. of Economic Security - Subtotal	\$1,264,580,300	\$1,170,720,500	\$1,167,494,700	MBU
Department of Education (EDA)				
Environmental Education (2302)	0	0	0	
Environmental Special Plate Number (2354)	12,200	0	0	
Federal Funds (2000)	399,955,200	495,326,800	467,108,300	
Permanent State School (3138) 2/	0	0	0	
Food Distribution (4210)	182,600	323,600	217,000	
Printing Revolving (4211)	3,274,500	3,730,200	3,698,000	
Youth Farm Loan (2136)	19,400	20,000	20,000	
Department of Education - Subtotal	\$403,443,900	\$499,400,600	\$471,043,300	MBU
Department of Health Services (HSA)				
Agreements (2144)	7,517,500	9,029,400	6,437,500	
Alcohol Abuse Treatment (2227) 2/	0	0	0	
Arizona State Hospital - Donation (3115)	6,200	21,000	21,000	
Arizona State Hospital - Endowment (3128)	279,200	320,400	318,300	
Arizona State Hospital - Patient Benefit (3173)	13,900	62,000	37,300	
Child Day Care Training (2165)	2,900	0	0	
Clinical Lab Licensing Revolving Fund (2268)	600	0	0	
Disease Control Research Fund (DIA2090)	46,800	8,800	9,500	
Donations (3010)	96,500	252,300	17,900	
Environmental Lab Licensing Revolving Fund (3017)	1,123,500	956,400	956,400	
Federal Grants (2000)	112,416,400	110,050,000	109,313,000	
Indirect Costs (9000)	3,771,700	3,771,500	4,292,000	
Medical Services Enhancement Fund (MSEF) (TRA2186)	0	0	0	
Newborn Screening Program Fund (2184)	1,247,600	1,764,400	1,764,400	
Sanitariums' Fund (2063)	23,900	0	0	
Southern Arizona Mental Health Center - Donation (3115)	19,900	64,700	0	
Southern Arizona Mental Health Center - Patient Benefit (3116)	4,700	14,300	0	
Substance Abuse Services (2144) 2/	0	0	0	
Title XIX Services and County Contributions (2144)	112,073,200	122,102,200	127,350,000	
Tobacco Tax & Health Care Fund - Health Education Account (1306)	9,709,300	15,000,000	15,000,000	
Tobacco Tax & Health Care Fund - Health Research Account (DSA2096)	407,900	5,067,200	5,611,300	
Tobacco Tax & Health Care Fund - Subsidiary Medically Needy Account (3037)	11,444,800	22,059,200	22,059,200	
WIC Rebates (2100)	18,696,100	21,598,000	21,598,000	
Department of Health Services - Subtotal	\$278,902,600	\$312,141,800	\$314,785,800	MBU
Judiciary - Supreme Court (SPA)				
Alternative Dispute Resolution (3245)	195,500	214,600	214,600	
Case Processing Assistance (2075) 2/	0	0	0	
Community Punishment Program (2075) 2/	0	0	0	
Confidential Intermediary (2276) 2/	0	0	0	
County Public Defender Training (3013)	669,700	530,000	530,000	
Defensive Driving School (2247)	1,847,600	3,322,600	3,322,600	
Drug Enforcement Account (2075)	1,456,500	1,758,600	1,758,600	
Drug Study (2088)	0	38,400	0	
Grants and Special Revenue (2084)	4,025,400	1,528,100	1,528,100	

**SUMMARY OF FEDERAL AND OTHER NON-APPROPRIATED FUND EXPENDITURES**  
**For Fiscal Years 1996, 1997, 1998 and 1999**

	FY 1996 Actual	FY 1997 Estimate	FY 1998 Estimate	FY 1999 Estimate 1/
Judicial Collection Enhancement (2246) 2/	0	0	0	
Juvenile Crime Reduction (2075) 2/	0	0	0	
Arizona Judiciary - Supreme Court - Subtotal	\$8,194,700	\$7,392,300	\$7,353,900	MBU
Department of Juvenile Corrections (DJA)				
Federal Funds (2000)	1,965,900	1,769,000	1,286,000	
Juvenile Corrections (3024)	900	23,000	23,000	
Parental Assessment and Restitution (2185)	0	0	0	
Special Services (3735)	22,100	0	0	
Department of Juvenile Corrections - Subtotal	\$1,988,900	\$1,792,000	\$1,309,000	MBU
Department of Transportation (DTA)				
Arizona Highways Magazine Enterprise (2031)	12,212,500	12,482,300	12,571,800	
Aviation Federal Funds (2267)	1,006,600	1,210,000	2,110,000	
Commercial Driver's License (2251) 2/	0	0	0	
County Auto License (2937) 2/	0	0	0	
Criminal Background Investigation (2291) 2/	0	0	0	
Economic Strength Project (2244)	1,111,900	1,100,000	1,100,000	
Equipment Revolving (2071)	26,106,600	26,441,800	27,596,000	
Federal Grants (2097)	272,464,100	212,808,500	230,022,700	
Maricopa Regional Area Road (2029)	254,004,200	267,721,000	293,314,000	
Motor Carrier Safety Revolving (2380)	100	25,000	25,000	
Motor Vehicle Liability Insurance Enforcement (2285)	729,500	986,700	749,900	
Motorcycle Education (2094) 2/	0	0	0	
Number Plate Replacement (2250) 2/	0	0	0	
Special Organization Plates (3731)	0	0	0	
Underground Storage Tank Revolving (2030)	84,200	120,900	123,500	
Vehicle Inspection and Title Enforcement (2272)	0	740,000	945,900	
Department of Transportation - Subtotal	\$567,719,700	\$523,636,200	\$568,558,800	MBU
Arizona Board of Regents (BRA)				
Collections Revolving (2183)	0	0	0	
Federal (NA)	778,800	650,100	650,100	
Regents Local Fund (ASU Account)	379,100	517,200	545,600	
University Land Funds (3134/3184)	180,800	174,900	132,000	
Arizona Board of Regents - Subtotal	\$1,338,700	\$1,342,200	\$1,327,700	MBU
Arizona State University - Main Campus				
Auxiliary (NA)	55,582,400	58,482,900	60,822,200	
Designated Funds (NA)	51,369,300	56,017,400	58,818,300	
Endowment and Life Income (NA)	0	0	0	
Federal Grants (NA)	63,486,000	65,893,700	68,358,000	
Federal Indirect Cost Recovery (NA)	2,410,800	143,700	149,400	
Indirect Cost Recovery (Non-Federal) (NA)	370,600	22,100	23,000	
Loan (NA)	797,600	669,000	675,700	
Restricted Funds (NA)	27,326,500	28,692,800	29,553,600	
Arizona State University - Main Campus - Subtotal	\$201,343,200	\$209,921,600	\$218,400,200	MBU
Arizona State University - East Campus				
Designated Funds (NA)	7,600	114,800	118,800	
Endowment and Life Income (NA)	0	0	0	
Federal Grants (NA)	0	1,087,000	1,150,000	
Restricted Funds (NA)	0	1,260,800	1,357,200	
Arizona State University - East Campus - Subtotal	\$7,600	\$2,462,600	\$2,626,000	MBU
Arizona State University - West Campus				
Auxiliary (NA)	310,200	359,700	395,700	
Designated Funds (NA)	1,840,200	2,012,400	2,153,300	
Endowment and Life Income (NA)	0	0	0	
Federal Grants (NA)	651,600	677,700	704,800	
Federal Indirect Cost Recovery (NA)	11,100	700	700	
Indirect Cost Recovery - Non-Federal (NA)	500	0	0	
Loan (NA)	0	0	0	
Restricted Funds (NA)	186,000	195,300	205,100	
Arizona State University - West Campus - Subtotal	\$2,999,600	\$3,245,800	\$3,459,600	MBU

**SUMMARY OF FEDERAL AND OTHER NON-APPROPRIATED FUND EXPENDITURES**  
**For Fiscal Years 1996, 1997, 1998 and 1999**

	FY 1996 Actual	FY 1997 Estimate	FY 1998 Estimate	FY 1999 Estimate 1/
Northern Arizona University				
Auxiliary Enterprises (NA)	30,886,300	33,424,100	34,426,900	
Designated Funds (NA)	23,906,200	27,213,100	28,845,700	
Endowment (NA)	0	0	0	
Federal Grants (NA)	19,491,900	19,911,900	20,534,400	
Federal Indirect Cost Recovery (NA)	388,500	887,100	940,400	
Indirect Cost Recovery (Non-Federal) (NA)	122,700	280,200	297,100	
Loan (NA)	237,000	275,000	275,000	
Restricted Fund (Excluding Federal Funds) (NA)	14,277,500	10,507,400	10,465,700	
Northern Arizona University - Subtotal	\$89,310,100	\$92,498,800	\$95,785,200	MBU
University of Arizona - Main Campus				
Auxiliary Enterprise Fund (NA)	126,689,500	125,894,300	128,671,200	
Designated Funds (NA)	64,179,100	76,317,700	78,607,200	
Endowment and Similar Funds (NA)	3,800	3,900	4,000	
Federal Grants (NA)	108,668,800	111,942,300	101,523,300	
Federal Indirect Cost Recovery (NA)	23,586,100	24,293,200	25,022,000	
Loan (NA)	80,100	82,500	85,000	
Restricted Funds (NA)	43,709,900	45,014,500	46,365,000	
University of Arizona - Main Campus - Subtotal	\$366,917,300	\$383,548,400	\$380,277,700	MBU
University of Arizona - AZ Health Sciences Center				
Auxiliary Enterprise Fund (NA)	4,674,900	4,645,500	4,784,800	
Designated Funds (NA)	10,036,200	7,025,900	7,236,800	
Endowment and Similar Funds (NA)	0	0	0	
Federal Grants and Contracts (NA)	40,451,400	41,664,900	42,394,100	
Federal Indirect Cost Recovery (NA)	12,532,500	12,908,500	13,295,800	
Loan (NA)	1,300	1,300	1,300	
Restricted Funds (NA)	22,321,300	22,990,800	23,680,500	
University of Arizona - AZ Health Sciences Ctr - Subtotal	\$90,017,600	\$89,236,900	\$91,393,300	MBU
<b>TOTAL - ANNUAL AGENCIES</b>	<b>\$4,736,349,500</b>	<b>\$4,911,422,900</b>	<b>\$5,008,782,700</b>	<b>MBU</b>
<b><u>BIENNIAL AGENCIES</u></b>				
Arizona Department of Agriculture (AHA)				
Arizona Wine Promotional (2205)	3,300	43,000	13,700	13,700
Beef Council (2083)	641,700	637,700	637,700	637,700
Citrus Research Council (2299)	150,400	87,300	128,100	128,100
Cotton Research and Protection Council (2013)	1,801,400	1,788,100	1,873,900	1,963,900
Dangerous Plants, Pests and Diseases (2054) 2/	0	0	0	0
Designated Fund - Administrative Support (3011)	89,700	135,700	103,300	96,900
Designated Fund - State Agriculture Laboratory and All Other (3011)	186,300	242,700	242,700	242,700
Federal (2000)	1,721,600	889,400	452,500	452,500
Federal/State Inspection Program (2113)	0	2,438,000	2,546,900	2,661,300
Grain Research and Promotion Council (2201)	182,600	212,000	150,300	150,300
Hay Law Fund (2143)	0	200	300	400
Iceberg Lettuce Research Council (2259)	89,400	82,600	55,900	55,900
Livestock Custody Fund (2065)	31,000	45,700	38,600	38,600
Native Plant Law (2298) 2/	0	0	0	0
Organic Food Certification 2/	0	0	0	0
Seed Law (2064) 2/	0	0	0	0
Yuma County Citrus Pest Control (2145)	0	0	0	0
Arizona Department of Agriculture - Subtotal	\$4,897,400	\$6,602,400	\$6,243,900	\$6,442,000
AZ Commission on the Arts (HUA)				
Arts Endowment Fund (NA)	0	0	0	0
Arts Fund - Federal (2000)	827,000	590,800	418,600	418,600
Arts Special Revenues (2116)	117,300	135,000	119,000	69,000
Arts Trust (3014)	1,126,500	1,021,400	1,020,000	1,020,000
AZ Commission on the Arts - Subtotal	\$2,070,800	\$1,747,200	\$1,557,600	\$1,507,600
Attorney General - Department of Law (AGA)				
Anti-Racketeering Revolving (2131)	3,762,900	3,205,000	3,205,000	3,205,000
Antitrust Enforcement Revolving (2016) 2/	0	0	0	0
Attorney General Expendable Trust (3102)	2,900	0	0	0

**SUMMARY OF FEDERAL AND OTHER NON-APPROPRIATED FUND EXPENDITURES**  
**For Fiscal Years 1996, 1997, 1998 and 1999**

	FY 1996 Actual	FY 1997 Estimate	FY 1998 Estimate	FY 1999 Estimate 1/
Attorney General Special Fund (2118)	0	0	0	0
Civil Division (NA) 2/	0	0	0	0
CJEF Distribution to County Attorneys (2068)	2,193,700	2,247,300	2,354,400	2,501,600
Consumer Protection - Consumer Fraud Revolving (2014)	511,400	499,500	506,600	506,600
Consumer Protection - Court-Ordered Trust Account (3180)	17,700	91,900	91,900	91,900
Court-Ordered Trust: Miscellaneous Accounts (3180)	384,800	0	0	0
Federal Funds (2117)	5,955,500	3,919,600	3,834,500	3,834,500
Intergovernmental Agreements (2157) 2/	0	0	0	0
Maricopa County Superior Court Clerk Court-Ordered Trust Account (3180)	0	0	0	0
Prisoner Litigation Revolving (2156)	0	0	0	0
AZ Prosecuting Attorneys Advisory Council (2057)	476,900	921,000	876,900	876,900
Public Accommodations (2021)	0	0	0	0
Rural Gasoline Antitrust Court Ordered Trust Account (3180)	78,100	78,100	78,100	78,100
Vehicle Emissions Test Court Ordered Trust Account (3180)	3,054,200	797,200	0	0
Victim Witness (2228)	149,900	165,100	21,300	21,300
Attorney General - Department of Law - Subtotal	\$16,588,000	\$11,924,700	\$10,968,700	\$11,115,900
Auto Theft Authority (ATA)				
Automobile Theft Authority Fund (2060) 2/	\$0	\$0	\$0	\$0
State Banking Department (BDA)				
Escrow Recovery (2341)	0	0	0	0
Receivership Revolving (3023)	196,700	208,100	208,900	12,600
Revolving (2126)	140,900	139,700	142,000	142,000
State Banking Department - Subtotal	\$337,600	\$347,800	\$350,900	\$154,600
Department of Building and Fire Safety (MMA)				
Arson Detection Reward (2169)	600	500	500	500
Building and Fire Safety Fund (2211)	190,700	267,200	257,000	252,900
Federal Grants (2211)	69,900	35,000	10,000	10,000
Manufactured Housing Cash Bond (3722)	5,000	0	0	0
Manufactured Housing Consumer Recovery (3090)	31,100	55,000	55,000	55,000
Mobile Home Relocation (2237)	50,100	50,100	50,100	50,100
Department of Building and Fire Safety - Subtotal	\$347,400	\$407,800	\$372,600	\$368,500
Arizona Coliseum & Exposition Center Board (CLA)				
Capital Outlay (4006)	218,000	400,000	400,000	400,000
Coliseum Improvement Revenue Bond Reserve (5002)	0	0	0	0
Coliseum Improvement Special Sinking (5003)	0	0	0	0
Construction and Improvement Bond Interest (5001)	0	0	0	0
Arizona Coliseum & Exposition Center Board -Subtotal	\$218,000	\$400,000	\$400,000	\$400,000
Department of Commerce (EPA)				
Capital Markets Account (2245)	600,000	1,000,000	1,000,000	1,000,000
Clean Air (1238)	273,900	1,065,300	6,386,800	6,186,500
Commerce and Economic Development (2245)	1,869,600	2,450,000	2,950,000	3,450,000
Commerce and Solar Energy (1020)	17,100	0	0	0
Community Workshops (2149)	278,500	261,200	278,700	279,800
Donations (3189)	265,700	124,000	93,000	93,000
Federal Grants (2000)	13,086,000	15,082,400	17,158,500	17,158,500
Housing Development (NA)	0	0	500,000	500,000
Housing Finance Review (2234)	361,700	400,000	410,200	413,600
Housing Trust (2235)	4,024,500	4,000,000	6,500,000	6,500,000
International Development Authority, AZ (NA)	0	0	0	0
Minority Business Fund (3000)	0	0	0	0
Oil Overcharge (3171)	2,162,400	6,504,800	3,241,000	3,241,000
Recycling (2289)	56,300	72,100	72,100	77,100
State Employee Rideshare (2214-Closed)	0	0	0	0
Work Force Recruitment and Job Training (1237)	373,600	350,000	325,000	300,000
Department of Commerce - Subtotal	\$23,369,300	\$31,309,800	\$38,915,300	\$39,199,500
State Compensation Fund 3/				
State Compensation Fund	\$472,740,300	\$412,000,900	\$455,443,400	
Registrar of Contractors (RGA)				
Contractors' Recovery (3155)	\$3,118,900	\$3,141,800	\$3,193,800	\$3,093,800

**SUMMARY OF FEDERAL AND OTHER NON-APPROPRIATED FUND EXPENDITURES**  
For Fiscal Years 1996, 1997, 1998 and 1999

	FY 1996 Actual	FY 1997 Estimate	FY 1998 Estimate	FY 1999 Estimate 1/
Corporation Commission (CCA)				
Federal (2000)	104,600	98,000	98,000	98,000
Public Access (2333) 2/	0	0	0	0
Securities Regulatory and Enforcement (2264) 2/	0	0	0	0
Corporation Commission - Subtotal	\$104,600	\$98,000	\$98,000	\$98,000
Arizona Criminal Justice Commission (JCA)				
Criminal Justice Records Improvement (2229)	1,385,500	1,836,600	1,603,900	1,603,900
Drug Enforcement Account (2229)	6,909,400	10,342,800	9,788,000	9,788,000
Federal Grant Pass Through (2229)	0	838,400	1,057,200	1,057,200
High Intensity Drug Trafficking Areas (2229)	153,700	0	0	0
Statistical Analysis Center (2229)	63,800	53,200	49,900	49,900
Victims Assistance (2199) 2/	0	0	0	0
Victims Compensation (2198) 2/	0	0	0	0
Arizona Criminal Justice Commission - Subtotal	\$8,512,400	\$13,071,000	\$12,499,000	\$12,499,000
Arizona State Schools for the Deaf and the Blind (SDA)				
Enterprise (4222)	88,500	79,600	79,100	79,100
Federal Grants (2000)	999,900	1,236,500	1,105,100	940,900
Non-Federal Grants (2011)	126,600	13,000	8,000	8,000
Regional Cooperatives (4221)	1,842,500	1,780,000	2,016,000	2,220,000
Trust (3148)	68,900	84,500	84,500	84,500
Arizona State Schools for the Deaf and the Blind - Subtotal	\$3,126,400	\$3,193,600	\$3,292,700	\$3,332,500
Arizona Drug and Gang Prevention Resource Center				
Intergovernmental Agreements (NA)	\$1,305,100	\$2,218,800	\$2,218,100	\$2,218,100
Department of Emergency & Military Affairs (MAA)				
Camp Navajo (2106)	5,157,800	6,158,600	6,352,600	6,352,600
Emergency Management Training Fund (2087)	0	0	0	0
Federal Funds - Emergency (2000)	11,508,900	16,798,500	36,874,300	10,170,200
Federal Funds - Military (2000)	17,497,200	14,836,400	14,890,000	14,890,000
Freedom Academy (2104)	18,200	24,500	24,500	24,500
Morale, Welfare and Recreation (2124)	0	6,000	1,000	1,000
Department of Emergency & Military Affairs - Subtotal	\$34,182,100	\$37,824,000	\$58,142,400	\$31,438,300
Commission on the Arizona Environment (ENA)				
Donations Fund (3002)	17,300	0	0	0
Special Revolving (2210)	27,700	0	0	0
Commission on the Arizona Environment - Subtotal	\$45,000	\$0	\$0	\$0
Department of Environmental Quality (EVA)				
Air Quality (2226)	3,992,800	4,452,900	4,224,500	4,275,500
Circle K Settlement (3003)	11,000	147,500	147,800	147,800
Federal (2000)	10,924,100	13,999,200	9,944,900	9,944,900
Indirect Cost Fund (7000)	3,858,600	5,112,400	4,376,900	4,400,500
Intergovernmental Agreements (2180)	31,500	0	0	0
Landfill Trust Fund (3028)	7,100	20,000	20,000	0
Small Water Systems (2225)	14,900	55,000	40,000	39,000
Solid Waste Recycling (2289)	641,000	1,910,500	1,721,900	2,048,400
Used Oil Fund (3500)	101,100	135,700	100,800	100,800
UST Fund - Area A Assurance Account (2271)	12,747,400	21,107,400	11,462,100	11,462,100
UST Fund - Grant Account (2271)	71,400	4,582,800	2,583,300	0
UST Fund - Non-Maricopa County Assurance Account (2271)	22,345,200	32,476,500	15,883,000	11,941,600
UST Fund - Regulatory Account (2271)	1,004,400	986,700	810,400	742,100
Waste Tire Grant (2290)	104,500	0	0	0
Wastewater Treatment Revolving (2254)	419,500	1,898,600	953,500	1,345,900
Water Quality Assurance Revolving Fund (2200)	4,658,800	4,776,500	3,646,900	4,428,700
Department of Environmental Quality - Subtotal	\$60,933,300	\$91,661,700	\$55,916,000	\$50,877,300
Equal Opportunity, Governor's Office of (AFA)				
Equal Employment & Economic Development (2000)	\$58,100	\$58,100	\$58,100	\$58,100
Arizona Game & Fish Department (GFA)				
Conservation Development (2062)	100	6,000	6,000	6,000
Donations (3100)	257,800	408,000	408,000	408,000
Federal Funds (2000)	13,063,500	16,928,400	17,183,500	17,183,500
Heritage (2295)	11,539,000	9,214,400	8,987,700	8,996,500
Off Highway Vehicle Recreation (2253)	554,500	584,000	589,700	589,700
Publications Revolving (4007)	196,100	180,000	180,000	180,000

**SUMMARY OF FEDERAL AND OTHER NON-APPROPRIATED FUND EXPENDITURES**  
For Fiscal Years 1996, 1997, 1998 and 1999

	FY 1996 Actual	FY 1997 Estimate	FY 1998 Estimate	FY 1999 Estimate 1/
Trust (3111)	640,100	475,000	475,000	475,000
Wildlife Theft Prevention (2080)	196,400	210,500	219,000	225,000
Arizona Game & Fish Department - Subtotal	\$26,447,500	\$28,006,300	\$28,048,900	\$28,063,700
Arizona Geological Survey (GSA)				
Donations (3030)	0	0	0	0
Federal Grants (2000)	108,900	102,400	138,000	138,000
Intergovernmental Grants (2800)	48,100	37,300	37,300	37,300
Oil and Gas Drilling Bond (3704)	0	0	0	0
Printing Revolving (2233)	57,700	65,600	65,500	66,500
Arizona Geological Survey - Subtotal	\$214,700	\$205,300	\$240,800	\$241,800
Office of the Governor (GVA)				
The Arizona Fund (3021)	22,700	0	0	0
County Fairs Livestock & Agricultural Promotion (2037)	1,188,200	1,188,200	1,188,200	1,188,200
Federal Grant (2000)	5,073,000	18,217,500	8,615,000	8,615,000
Governor's Endowment Partnership (3206)	0	0	0	0
Governor's Office for Children (3192)	29,200	0	0	0
Office of the Governor - Subtotal	\$6,313,100	\$19,405,700	\$9,803,200	\$9,803,200
Arizona Council for the Hearing Impaired (DFA)				
Donations (3162)	7,300	1,400	0	0
Federal Grants (2048)	50,000	57,500	57,500	57,500
Text Telephone Relay System and Distribution (2047)2/	0	0	0	0
Arizona Council for the Hearing Impaired - Subtotal	\$57,300	\$58,900	\$57,500	\$57,500
Arizona Historical Society (HIA)				
Enterprise Funds (NA)	28,700	46,200	77,300	71,000
Grant Funds (NA)	1,090,800	999,500	999,500	999,500
Historical Society Magazine (2033)	52,200	29,800	29,800	29,800
Preservation and Restoration (2125)	28,800	28,800	28,800	28,800
Private Funds (NA)	197,800	197,800	197,800	197,800
Restricted Funds (NA)	292,100	292,100	292,100	225,800
Trust Funds (NA)	27,200	27,200	27,200	27,200
Arizona Historical Society - Subtotal	\$1,717,600	\$1,621,400	\$1,652,500	\$1,579,900
Prescott Historical Society of Arizona (PAZ)				
Sharlot Hall Historical Society (NA)	\$275,500	\$796,800	\$898,500	\$910,100
Arizona Commission of Indian Affairs (IAA)				
Publications Fund (4013)	\$11,300	\$12,000	\$12,500	\$13,000
Industrial Commission of Arizona (ICA)				
Federal Grants (2095)	1,550,600	1,572,200	1,572,200	1,572,200
Revolving (2002)	127,800	120,000	142,600	165,500
Special Fund (9003)	24,417,900	16,827,000	17,386,800	17,778,300
Industrial Commission of Arizona- Subtotal	\$26,096,300	\$18,519,200	\$19,101,600	\$19,516,000
Department of Insurance (IDA)				
Computer System (2320, 2216)	255,600	0	0	0
Examiners' Revolving (2034)	7,120,300	7,288,900	7,589,900	7,788,200
Joint Underwriting Plan (2073)	122,600	105,800	83,700	83,700
Life and Disability Insurance Guaranty (NA)	23,733,000	21,058,000	21,610,000	4,530,000
Property and Casualty Insurance Guaranty (NA)	4,003,000	3,777,300	1,701,900	1,608,900
Receivership Liquidation (3104)	152,800	165,600	167,300	167,300
Small Employer Reinsurance (2092)	27,000	28,500	32,500	28,500
Department of Insurance - Subtotal	\$35,414,300	\$32,424,100	\$31,185,300	\$14,206,600
State Land Department (LDA)				
Cooperative Forestry Program (2232)	0	0	0	0
Development Planning Permit Applications Fund (2231)	0	0	0	0
Environmental Special Plate 2/	0	0	0	0
Federal (2232)	1,013,600	1,042,900	972,900	960,200
Federal Reclamation Trust (2024)	114,200	1,313,000	310,000	195,000
Fire Suppression (2360)	4,144,900	5,763,300	1,972,000	1,991,200
Interagency Agreements (2212)	58,700	51,600	52,800	54,000
Legal Advertising Revolving (2248)	102,600	100,000	100,000	100,000
Quitclaim Deed Application Revolving (2230)	0	0	0	0
Resource Analysis Revolving (4009)	83,500	53,900	50,000	50,000
Riparian Acquisition Trust (3201)	0	0	0	0
Timber Suspense (3158)	61,200	170,900	159,100	132,600



**SUMMARY OF FEDERAL AND OTHER NON-APPROPRIATED FUND EXPENDITURES**  
**For Fiscal Years 1996, 1997, 1998 and 1999**

	FY 1996 Actual	FY 1997 Estimate	FY 1998 Estimate	FY 1999 Estimate 1/
Zoning Application Fees (2220)	0	15,000	25,000	25,000
State Land Department - Subtotal	\$5,578,700	\$8,510,600	\$3,641,800	\$3,508,000
Legislature - Auditor General (AUA)				
Audit Services Revolving (2242)	\$921,400	\$1,053,400	\$1,145,300	\$1,145,300
Legislature - Dept. of Library, Archives & Public Records (LAA)				
Donations (3149)	17,800	200,400	85,800	85,800
Federal Grants (2000)	811,800	1,570,200	1,720,200	1,725,200
Gift Shop Revolving (4008)	71,600	92,700	72,700	72,700
Miscellaneous Collections (2115)	164,600	190,200	124,300	40,000
Legislature - Dept. of Library, Archives & Pub. Rcds - Subtotal	\$1,065,800	\$2,053,500	\$2,003,000	\$1,923,700
Department of Liquor Licenses and Control (LLA)				
Federal (2000)	100,200	0	0	0
Racketeering (3018)	3,300	1,100	0	0
Special Collections (3008)	573,500	336,300	346,500	348,700
Department of Liquor Licenses & Control - Subtotal	\$677,000	\$337,400	\$346,500	\$348,700
Arizona State Lottery Commission (LAO)				
State Lottery (2122)	\$134,596,700	\$136,708,000	\$135,928,000	\$130,780,000
Board of Medical Examiners (MEA)				
Physician Monitoring Fund (2150) 2/	\$316,600	\$349,500	\$0	\$0
Board of Medical Student Loans (MSA)				
Medical Student Loan (3306) 2/	\$170,300	\$58,300	\$0	\$0
State Mine Inspector (MIA)				
Federal Grants (2000)	\$298,600	\$332,600	\$265,000	\$265,000
Department of Mines & Mineral Resources (MNA)				
Museum Donations (3156)	58,500	81,200	71,200	59,200
Print Revolving (4004)	9,400	9,500	10,000	9,500
Department of Mines & Mineral Resources - Subtotal	\$67,900	\$90,700	\$81,200	\$68,700
State Board of Nursing (BNA)				
Nurse Aide Training & Registration (2000)	\$401,900	\$436,700	\$436,700	\$436,700
Arizona State Parks Board (PRA)				
Arizona Conservation Corps (2280)	860,200	56,700	0	0
Donations (3117)	45,700	45,000	50,000	55,000
Federal Funds (2000)	556,700	829,700	516,000	475,000
Heritage (2296)	7,195,600	13,596,400	14,154,300	9,253,000
Intergovernmental Agreement Fund (1024)	27,400	59,500	55,500	12,500
Land and Water Conservation Surcharge (2123)	30,700	14,000	14,000	14,000
Off Highway Vehicle (2253)	167,900	283,600	292,700	305,900
Publications and Souvenir Revolving (4010)	145,100	262,000	292,600	327,600
State Lake Improvement (2106)	3,535,400	9,020,500	8,409,700	8,569,000
State Parks Enhancement (2202)	4,084,900	4,733,700	1,942,800	2,578,600
Tonto Natural Bridge Revolving (4012)	49,100	0	0	0
Trails Grant (2262)	1,100	0	0	0
Arizona State Parks Board - Subtotal	\$16,699,800	\$28,901,100	\$25,727,600	\$21,590,600
Arizona Pioneers' Home (PIA)				
Donations (3143)	53,000	53,000	53,000	53,000
Miners Hospital Land Earnings (3130)	433,900	566,000	498,400	538,100
State Charitable, Penal & Reform. Land Earnings (2129)2/	0	0	0	0
Arizona Pioneers' Home - Subtotal	\$486,900	\$619,000	\$551,400	\$591,100
Commission for Postsecondary Education (PEA)				
AZ Student Program Investing Resources				
In Education (ASPIRE) (2407)	0	0	0	0
AZ Teachers Incentive Program (ATIP) (2249)	0	0	0	0
Commission for Postsecondary Education - Subtotal	\$0	\$0	\$0	\$0
Arizona Power Authority				
Arizona Power Authority (NA)	3,582,400	2,505,500	2,505,500	2,505,500
Hoover Upgrading (NA)	26,558,800	19,710,600	19,710,600	19,710,600
Arizona Power Authority - Subtotal	\$30,141,200	\$22,216,100	\$22,216,100	\$22,216,100
State Board for Private Postsecondary Education (PVA)				
Student Tuition Recovery Fund (3027)	\$48,900	\$53,000	\$53,000	\$53,000

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	FY 1996 Actual	FY 1997 Estimate	FY 1998 Estimate	FY 1999 Estimate 1/
Department of Public Safety (PSA)				
AFIS (2286) 2/	0	0	0	0
Anti-Driving Under the Influence (2353)	0	0	0	0
Anti-Racketeering (3123)	2,032,000	1,593,200	1,263,700	1,003,900
Arizona DNA Identification System (2337) 2/	0	0	0	0
Crime Lab Assessment (2282) 2/	0	0	0	0
Criminal Justice Enhancement - Pass Through (3702) 2/	590,600	762,000	770,100	699,000
Donations (3123)	0	0	0	0
DPS Administration Fund (2322)	1,673,300	1,767,200	444,900	434,000
Federal Block Grants - Pass Through (2000)	1,257,900	3,015,200	2,574,000	2,574,000
Federal Grants - Gov's Office of Community and Highway Safety (2000)	3,206,400	2,894,300	2,485,100	2,485,100
Federal Grants & Reimbursements (2000)	5,859,200	6,173,200	3,433,900	3,433,900
Fingerprint (2159)	2,965,900	3,474,100	3,943,900	4,138,500
Highway Patrol (2032) 2/	0	0	0	0
Motor Carrier Safety Receiving Fund (2380)	2,500	17,600	7,500	7,500
Peace Officers Training Fund (2049)	3,493,900	4,632,800	4,405,000	4,486,000
Records Processing (2278)	151,500	171,500	175,000	178,600
Department of Public Safety - Subtotal	\$21,233,200	\$24,501,100	\$19,503,100	\$19,440,500
Public Safety Personnel Retirement System (NA)				
Administrative Expenses (NA)	\$1,239,000	\$1,418,900	\$1,500,000	\$1,550,000
Fire Fighter Cancer Insurance Policy Program Account (NA)	0	61,200	64,000	67,000
Public Safety Personnel Retirement System - Subtotal	\$1,239,000	\$1,480,100	\$1,564,000	\$1,617,000
Arizona Department of Racing (RCA)				
Admin. of County Fairs Racing Betterment & Breeders Award (2018)	49,500	49,800	51,300	51,300
Arizona Breeders Award (2206)	891,100	706,800	800,000	800,000
County Fairs Racing Betterment (2207)	753,000	545,000	800,000	800,000
Greyhound Adoption Program (2015)	0	1,200	0	1,400
Stallion Award (2315)	0	0	103,500	83,600
Arizona Department of Racing - Subtotal	\$1,693,600	\$1,302,800	\$1,754,800	\$1,736,300
Radiation Regulatory Agency (AEA)				
Federal Grants (2000)	\$124,600	\$124,600	\$124,600	\$124,600
State Real Estate Department (REA)				
Education Revolving (4011)	41,400	46,300	28,000	64,900
Recovery (3119)	178,800	197,900	222,200	231,100
State Real Estate Department - Subtotal	\$220,200	\$244,200	\$250,200	\$296,000
Arizona State Retirement System (RSA)				
Administration Account - Investment Expenses(1401)	14,534,900	15,232,400	15,586,500	15,586,500
Administrative Account - Other 2/	0	0	0	0
Arizona State Retirement System - Subtotal	\$14,534,900	\$15,232,400	\$15,586,500	\$15,586,500
Department of Revenue (RVA)				
DOR Estate and Unclaimed Property (1520) 2/	0	0	0	0
Escheated Estates (3745)	0	0	0	0
Liability Setoff Revolving (2179)	209,300	591,700	270,000	270,300
Revenue Publications Revolving (2166)	93,300	102,900	113,300	124,400
Special Collections (2168)	0	0	0	0
Waste Tire (2356)	4,635,300	4,789,200	2,394,600	0
Department of Revenue - Subtotal	\$4,937,900	\$5,483,800	\$2,777,900	\$394,700
Secretary of State - Department of State (STA)				
Arizona Blue Book Revolving (2006)	0	40,000	27,000	30,000
Data Processing Acquisition (2265)	0	95,000	96,000	97,000
Secretary of State - Department of State - Subtotal	\$0	\$135,000	\$123,000	\$127,000
Structural Pest Control Commission (SBA)				
Federal Certification and Enforcement Grant (2000)	\$228,100	\$102,900	\$97,000	\$97,000
Office of Tourism (TOA)				
Tourism Workshop (3163)	\$181,500	\$295,000	\$295,000	\$275,000

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**For Fiscal Years 1996, 1997, 1998 and 1999**

	FY 1996 Actual	FY 1997 Estimate	FY 1998 Estimate	FY 1999 Estimate 1/
Arizona Veterans' Service Commission (VSA)				
Desert Storm Memorial (3703)	0	21,600	0	0
Federal Funds (2000)	962,900	665,700	165,700	165,700
Arizona Veterans' Services Commission - Subtotal	<u>\$962,900</u>	<u>\$687,300</u>	<u>\$165,700</u>	<u>\$165,700</u>
Department of Water Resources (WCA)				
Administrative (3025)	0	0	0	0
Arizona Water Banking Fund (2110)	0	2,778,500	8,634,800	10,472,000
Augmentation and Conservation (2213)	1,441,100	1,365,900	1,370,100	1,370,100
Emergency Dam Repair (2218)	0	0	0	0
Federal Grants (2000)	383,500	88,700	88,700	88,700
Flood Control Loan (3319)	0	0	0	0
Flood Warning System (1021)	21,800	112,300	95,400	90,400
General Adjudications (2191)	5,600	0	0	0
Groundwater Enforcement (2135)	94,400	0	0	0
Non-Emergency Dam Repair (2219)	0	0	0	0
Permits Administration Fund (2320)	0	0	0	0
State Water Storage (2287)	6,127,500	23,134,200	0	0
Surface/Groundwater Trust (3101)	3,100	0	0	0
Water Protection (1302)	2,311,600	2,237,200	5,362,200	362,200
Department of Water Resources - Subtotal	<u>\$10,388,600</u>	<u>\$29,716,800</u>	<u>\$15,551,200</u>	<u>\$12,383,400</u>
TOTAL - BIENNIAL AGENCIES	\$975,490,400	\$997,980,300	\$990,762,900	\$472,268,600
TOTAL - FEDERAL AND OTHER NON- APPROPRIATED FUND EXPENDITURES	\$5,711,839,900	\$5,909,403,200	\$5,999,545,600	\$472,268,600
Total - Other Funds	2,493,824,700	2,646,228,700	2,713,652,700	367,508,600
Total - Federal Funds	3,218,015,200	3,263,174,500	3,285,892,900	104,760,000
TOTAL - FEDERAL AND OTHER NON-APPROPRIATED EXPENDITURES	<u>\$5,711,839,900</u>	<u>\$5,909,403,200</u>	<u>\$5,999,545,600</u>	<u>\$472,268,600</u>

- 1/ Includes estimates only for those "biennial" agencies which will receive both a FY 1998 and 1999 appropriation during the 1997 session. Excludes FY 1999 estimates for major budget units (MBU) which will receive only a FY 1998 appropriation during the 1997 session.
- 2/ Fund has become subject to legislative appropriation. For comparability purposes, all expenditures now appear in the JLBC Staff's Analysis and Recommendations for appropriated funds.
- 3/ The Joint Legislative Budget Committee approves the State Compensation Fund operating budget. The FY 1996 and FY 1997 amounts represent spending for calendar years 1995 and 1996.

NA = No Fund Number

MBU = Major Budget Units. See Footnote 1.

1/7/97

**DEPARTMENT OF ADMINISTRATION  
(DOA)**

# Fiscal Year 1998 Non-Appropriated Funds

## ARIZONA DEPARTMENT OF ADMINISTRATION

Agency Summary

ANALYST: Lynne Smith/Phil Case

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	53,252,500	51,511,500	41,693,000
Revenue	226,901,900	236,613,300	243,862,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>280,154,400</u>	<u>288,124,800</u>	<u>285,555,000</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	104.3	111.6	110.6
Operating Subtotal	9,857,000	10,728,700	10,301,100
Special Line Items Total	217,459,400	225,784,500	234,321,400
<b>TOTAL FUNDS EXPENDED</b>	<u>227,316,400</u>	<u>236,513,200</u>	<u>244,622,500</u>
APPROPRIATIONS	0	1,918,600	493,800
TRANSFERS	1,326,500	8,000,000	0
BALANCE FORWARD	51,511,500	41,693,000	40,438,700

### ARIZONA OFFICE FOR AMERICANS WITH DISABILITIES ACT (ADA2000)

A.R.S. § 35-142E

**Source of Revenue:** Federal grants and private contributions.

**Purpose of Fund:** To assist the State of Arizona in the implementation and enforcement of the federal Americans with Disabilities Act (ADA). This includes providing resources and information to the private sector, serving as ADA coordinator for state agencies, and assisting other government entities.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	20,800	32,900	0
Revenue	273,900	215,500	215,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>294,700</u>	<u>248,400</u>	<u>215,500</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	5.5	5.5	5.5
Operating Subtotal	261,800	248,400	215,500
<b>TOTAL FUNDS EXPENDED</b>	<u>261,800</u>	<u>248,400</u>	<u>215,500</u>
 <b>BALANCE FORWARD</b>	 32,900	 0	 0

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF ADMINISTRATION (Continued)**

**CO-OP STATE PURCHASING AGREEMENT (ADA4213)**

**A.R.S. § 35-142**

**Source of Revenue:** Annual subscription fees collected from local governments for microfiche published by the State Purchasing Office and fees collected from individuals for copies of public records.

**Purpose of Fund:** To provide microfiche of state purchasing information for local governments and to reproduce public records for local governments and the public.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward <sup>1/</sup>	42,600	91,200	98,200
Revenue	<u>53,300</u>	<u>54,300</u>	<u>54,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	95,900	145,500	152,500
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	38,000	47,300	58,600
GAAP Offset <sup>2/</sup>	(33,300)	0	0
 <b>TOTAL FUNDS EXPENDED</b>	<u>4,700</u>	<u>47,300</u>	<u>58,600</u>
 <b>BALANCE FORWARD <sup>1/</sup></b>	<b>91,200</b>	<b>98,200</b>	<b>93,900</b>

- <sup>1/</sup> The Balance Forward reflects the fund balance, which includes the value of assets. The FY 1996 year-end cash balance was \$60,600.
- <sup>2/</sup> The GAAP Offset reflects adjustments made by the General Accounting Office to present the financial statements in accordance with Generally Accepted Accounting Principles (GAAP). The FY 1996 amount reflects adjustments for purchase and depreciation of fixed assets.

**COP BUILDING OPERATING & MAINTENANCE FUND (ADA1025)**

**A.R.S. § 35-142**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** Charges to agencies for operations and maintenance costs associated with the occupancy of state Certificate of Participation (COP) buildings located away from the Capitol Mall.

**Purpose of Fund:** To provide operations and maintenance services for state COP (lease-purchase) buildings located away from the Capitol Mall.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	28,400	23,200	0
Revenue	<u>1,339,200</u>	<u>1,319,200</u>	<u>1,319,200</u>
<b>TOTAL FUNDS AVAILABLE</b>	1,367,600	1,342,400	1,319,200

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF ADMINISTRATION (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>15.0</u>	<u>15.0</u>	<u>15.0</u>
Operating Subtotal	1,344,400	1,342,400	1,319,200
 TOTAL FUNDS EXPENDED	 <u>1,344,400</u>	 <u>1,342,400</u>	 <u>1,319,200</u>
 BALANCE FORWARD	 23,200	 0	 0

**EMERGENCY TELECOMMUNICATIONS REVOLVING (ADA2176)**

**A.R.S. § 41-702.01**

**Source of Revenue:** Receipts from the telecommunications services excise tax (up to 1½% of gross sales) levied against monthly telephone bills and remitted by the telephone companies, as authorized by A.R.S. § 41-1472, and interest which accrues to the fund.

**Purpose of Fund:** To implement and operate emergency telecommunication services (911) through political subdivisions of the state. The funds may be used for necessary equipment and services, consulting services (up to 3% of revenue), and monthly recurring costs for capital, maintenance, and operations.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	3,897,300	4,385,800	4,001,300
Revenue	<u>6,227,500</u>	<u>6,280,000</u>	<u>6,405,600</u>
TOTAL FUNDS AVAILABLE	10,124,800	10,665,800	10,406,900
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>2.0</u>	<u>0.0</u>
Operating Subtotal	715,300	136,500	138,100
Distributions to Local Governments	5,022,200	6,528,000	6,723,800
GAAP Offset <sup>1/</sup>	<u>1,500</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS EXPENDED	5,739,000	6,664,500	6,861,900
 BALANCE FORWARD	 4,385,800	 4,001,300	 3,545,000

<sup>1/</sup> The GAAP Offset reflects adjustments made by the General Accounting Office to present the financial statements in accordance with Generally Accepted Accounting Principles (GAAP).

# Fiscal Year 1998 Non-Appropriated Funds

## ARIZONA DEPARTMENT OF ADMINISTRATION (Continued)

### EMPLOYEE RELATED EXPENDITURES/BENEFITS ADMINISTRATION (AAA3035)

A.R.S. § 38-651

**Source of Revenue:** Employer contributions for life, accident, and dismemberment insurance and employee contributions for a "cafeteria" employee benefit plan. The cafeteria plan includes vision, short-term disability, long-term disability, and supplemental life insurance.

**Purpose of Fund:** To pay claims for state employee benefit plans (other than health insurance).

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	2,016,700	858,100	2,250,100
Revenue	<u>13,111,700</u>	<u>13,482,000</u>	<u>14,148,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>15,128,400</b>	<b>14,340,100</b>	<b>16,398,100</b>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Insurance Premiums	12,943,800	12,090,000	12,980,000
<b>TOTAL FUNDS EXPENDED</b>	<b>12,943,800</b>	<b>12,090,000</b>	<b>12,980,000</b>
TRANSFER TO GENERAL FUND	1,326,500 <sup>1/</sup>	0	0
<b>BALANCE FORWARD</b>	<b>858,100</b>	<b>2,250,100</b>	<b>3,418,100</b>

<sup>1/</sup> The transfer to the General Fund represents an insurance dividend refund from Equicor (Equitable) to the state for fewer insurance claims than anticipated.

### HEALTH ADMINISTRATION & BENEFIT INSURANCE TRUST (AAA3161)

A.R.S. § 38-652

**Source of Revenue:** Monies received from insurance carriers and interest. Currently, this applies only to NAU's Blue Cross policy.

**Purpose of Fund:** To provide benefits for employees participating in health and accident insurance or to offset employee contributions.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	3,613,100	3,117,700	3,607,000
Revenue	<u>182,600</u>	<u>1,114,300</u>	<u>398,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>3,795,700</b>	<b>4,232,000</b>	<b>4,005,100</b>



**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF ADMINISTRATION (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
Full Time Equivalent Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	678,000	625,000	625,000
 TOTAL FUNDS EXPENDED	 <u>678,000</u>	 <u>625,000</u>	 <u>625,000</u>
 BALANCE FORWARD	 3,117,700	 3,607,000	 3,380,100

**PUBLIC BUILDINGS LAND EARNINGS (ADA3127)**

**A.R.S. § 37-525**

**Source of Revenue:** Monies received from interest on the Legislative, Executive, and Judicial Public Buildings Land Fund, as established through Arizona's Enabling Act, Section 25, and monies derived from the lease of these lands.

**Purpose of Fund:** To provide a continuous source of monies for Legislative, Executive, or Judicial Buildings erected in the state. These monies are subject to legislative appropriation.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	2,489,200	1,372,000	(26,400)
Revenue	<u>520,200</u>	<u>520,200</u>	<u>520,200</u>
TOTAL FUNDS AVAILABLE	3,009,400	1,892,200	493,800
 FUNDS EXPENDED			
Full Time Equivalent Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 Governor's Office Renovation	1,637,400	0	0
 TOTAL FUNDS EXPENDED	 <u>1,637,400</u>	 <u>0</u>	 <u>0</u>
APPROPRIATIONS: GOVERNOR'S OFFICE	0	316,500 <sup>1/</sup>	0
SENATE	0	200,000 <sup>1/</sup>	0
SUPREME COURT	0	2,100 <sup>1/</sup>	0
LEGISLATIVE COUNCIL	0	1,400,000 <sup>1/</sup>	493,800 <sup>1/</sup>
BALANCE FORWARD	1,372,000	(26,400)	0

<sup>1/</sup> Reflects remaining expenditure authority from non-lapsing prior year appropriations of \$253,900 to the Office of the Governor and \$200,000 to the Senate (Laws 1991, Chapter 287), \$2,100 to the Supreme Court (Laws 1993, Chapter 1, 2nd Special Session), and \$62,600 to the Office of the Governor (Laws 1995, Chapter 2, 1st Special Session). Also reflects new appropriations of \$1,400,000 in FY 1997 and the annual fund revenues for FY 1998 through FY 2000 to the Legislative Council for the renovation of the Old Capitol (Laws 1996, Chapter 2, 5th Special Session). Since not all prior year appropriations are expected to be fully expended in FY 1997, it is not anticipated that the fund will actually be in deficit.

## Fiscal Year 1998 Non-Appropriated Funds

### ARIZONA DEPARTMENT OF ADMINISTRATION (Continued)

#### SPECIAL EMPLOYEE HEALTH INSURANCE TRUST (AAA3015)

A.R.S. § 38-654

The administrative portion of this fund is recommended for transfer to appropriated status.

**Source of Revenue:** Employer and employee medical and dental insurance contributions.

**Purpose of Fund:** To administer state employee benefit plans, pay claims for state employee health insurance plans, and operate the Wellness and Communications programs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	40,005,600	40,545,300	31,055,000
Revenue	<u>200,000,400</u>	<u>208,200,600</u>	<u>215,695,700</u>
TOTAL FUNDS AVAILABLE	240,006,000	248,745,900	246,750,700
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>20.0</u>	<u>22.5</u>	<u>23.5</u>
Operating Subtotal	2,116,700	2,709,900	2,883,600
Medical and Dental Insurance Premiums	197,344,000	206,981,000	214,432,300
TOTAL FUNDS EXPENDED	199,460,700	209,690,900	217,315,900
TRANSFER TO GENERAL FUND	0	8,000,000 <sup>1/</sup>	0
BALANCE FORWARD	40,545,300	31,055,000	29,434,800

<sup>1/</sup> The transfer to the General Fund represents monies for state employee pay adjustments transferred by Laws 1996, Chapter 1. These monies were comprised entirely of overpayments from the General Fund for the employer portion of medical and dental insurance premiums for state employees.

#### SPECIAL SERVICES REVOLVING (ADA4208)

A.R.S. § 35-193

The State Board's Office portion of this fund is recommended for transfer to appropriated status.

**Source of Revenue:** Charges or payments from agencies using various centralized services operated by the agency. In addition, administrative funds for operation of the State Boards' Office flow through this fund. The State Boards' Office is under the Arizona Department of Administration, but is funded by transfers of appropriated monies from the participating boards.

**Purpose of Fund:** To enable the Arizona Department of Administration to provide office supplies, printing, office services, and other administrative or management services for agencies of state government. Expenditures by the State Boards' Office are for centralized services and pooled resources of 10 small regulatory boards. Examples of shared items include office space and equipment, as well as accounting, clerical, administrative, and telephone services.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward <sup>1/</sup>	157,400	481,800	332,700
Revenue	<u>3,925,900</u>	<u>3,526,000</u>	<u>3,529,000</u>
TOTAL FUNDS AVAILABLE	4,083,300	4,007,800	3,861,700

# Fiscal Year 1998 Non-Appropriated Funds

## ARIZONA DEPARTMENT OF ADMINISTRATION (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>36.8</u>	<u>36.8</u>	<u>36.8</u>
Operating Subtotal	3,492,900	3,489,600	3,167,100
State Boards' Office	188,500	185,500	185,300
GAAP Offset <sup>2/</sup>	<u>(79,900)</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS EXPENDED	3,601,500	3,675,100	3,352,400
 BALANCE FORWARD <sup>1/</sup>	 481,800	 332,700	 509,300

<sup>1/</sup> The Balance Forward reflects the fund balance, which includes the value of assets. The FY 1996 year-end cash balance was \$347,500.

<sup>2/</sup> The GAAP Offset reflects adjustments made by the General Accounting Office to present the financial statements in accordance with Generally Accepted Accounting Principles (GAAP). The FY 1996 amount reflects adjustments for purchase and depreciation of fixed assets.

### STATE EMPLOYEE TRAVEL REDUCTION (ADA2261)

A.R.S. § 41-101.03

**Source of Revenue:** Appropriations, grants, gifts, federal funds, and fees.

**Purpose of Fund:** To establish, operate, and administer a ride sharing program for the transportation of state employees between residence and place of work. Laws 1996, Chapter 256 changed the name of this fund from the "State Employee Rideshare Fund" to the "State Employee Travel Reduction Fund."

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	65,300	47,100	34,100
Revenue	<u>224,000</u>	<u>859,800</u> <sup>1/</sup>	<u>535,000</u>
TOTAL FUNDS AVAILABLE	289,300	906,900	569,100
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>5.0</u>	<u>6.0</u>	<u>6.0</u>
Operating Subtotal	242,200	872,800	569,100
 TOTAL FUNDS EXPENDED	 <u>242,200</u>	 <u>872,800</u>	 <u>569,100</u>
 BALANCE FORWARD	 47,100	 34,100	 0

<sup>1/</sup> The FY 1997 revenue includes a one-time grant from the Arizona Department of Environmental Quality to increase the bus subsidy for state employees in Maricopa County from 50% to 100%.

# Fiscal Year 1998 Non-Appropriated Funds

## ARIZONA DEPARTMENT OF ADMINISTRATION (Continued)

### SURPLUS PROPERTY - FEDERAL (ADA4215)

A.R.S. § 41-2606

**Source of Revenue:** Receipts from the sale of federal surplus property and interest which accrues to the fund.

**Purpose of Fund:** To collect, store and administer the sale of federal surplus property. Uncommitted monies in excess of \$50,000 at the close of the fiscal year shall be returned to eligible agencies in the following fiscal year through discounted service and handling charges.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward <sup>1/</sup>	202,200	77,800	55,800
Revenue	<u>306,600</u>	<u>306,600</u>	<u>306,600</u>
TOTAL FUNDS AVAILABLE	508,800	384,400	362,400
FUNDS EXPENDED			
Full Time Equivalent Positions	<u>7.2</u>	<u>8.3</u>	<u>8.3</u>
Operating Subtotal	262,800	328,600	347,300
GAAP Offset <sup>2/</sup>	<u>168,200</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS EXPENDED	431,000	328,600	347,300
BALANCE FORWARD <sup>1/</sup>	77,800	55,800	15,100

<sup>1/</sup> The Balance Forward reflects the fund balance, which includes the value of assets. The FY 1996 year-end cash balance was \$120,200.

<sup>2/</sup> The GAAP Offset reflects adjustments made by the General Accounting Office to present the financial statements in accordance with Generally Accepted Accounting Principles (GAAP). The FY 1996 amount reflects adjustments for fixed assets.

### SURPLUS PROPERTY - STATE (ADA4214)

A.R.S. § 41-2606

**Source of Revenue:** Receipts from the sale of state surplus property. A portion of the revenue is retained to cover operating costs; the balance is returned to donor agencies.

**Purpose of Fund:** To collect, store and administer the sale of surplus property. All uncommitted monies in excess of \$100,000 at the close of the fiscal year revert to the General Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward <sup>1/</sup>	690,000	478,600	285,200
Revenue	<u>736,300</u>	<u>734,800</u>	<u>734,800</u>
TOTAL FUNDS AVAILABLE	1,426,300	1,213,400	1,020,000

# Fiscal Year 1998 Non-Appropriated Funds

## ARIZONA DEPARTMENT OF ADMINISTRATION (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
Full Time Equivalent Positions	<u>12.8</u>	<u>15.5</u>	<u>15.5</u>
Operating Subtotal	680,700	928,200	977,600
GAAP Offset <sup>2/</sup>	267,000	0	0
TOTAL FUNDS EXPENDED	<u>947,700</u>	<u>928,200</u>	<u>977,600</u>
TRANSFERS TO DONOR AGENCIES <sup>2/</sup>	0	0	0
TRANSFERS TO GENERAL FUND <sup>2/</sup>	0	0	0
BALANCE FORWARD <sup>1/</sup>	<u>478,600</u>	<u>285,200</u>	<u>42,400</u>

<sup>1/</sup> The Balance Forward reflects the fund balance, which includes the value of assets. The FY 1996 year-end cash balance was \$579,100, which consists of payments owed to donor agencies.

<sup>2/</sup> The GAAP Offset reflects adjustments made by the General Accounting Office to present the financial statements in accordance with Generally Accepted Accounting Principles (GAAP). This amount has been approximated. The department was unable to provide a fund reconciliation, but reports that the FY 1996 amount reflects adjustments for fixed assets. The department also was unable to identify the dollar amounts to be transferred to donor agencies and the General Fund.

### VENDOR SERVICES (AAA2401)

A.R.S. § 35-142E

This fund is recommended for elimination.

**Source of Revenue:** Receipt of monies from individuals and businesses who request reports on unclaimed state warrants.

**Purpose of Fund:** To cover the costs of providing public record information on unclaimed warrants against state funds. This information is requested by individuals and businesses which locate the owners of unclaimed warrants and help them claim the monies in return for a percentage of the recovered amount.

Effective July 1, 1994 the expiration date of state warrants changed from 2 years after the date of issuance to 6 months after issuance. The department expects that upon the expiration of all warrants issued with a 2-year life, this type of request for reports on unclaimed warrants will stop and this fund can be eliminated.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	23,900	0	0
Revenue	<u>300</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS AVAILABLE	<u>24,200</u>	<u>0</u>	<u>0</u>
FUNDS EXPENDED			
Full Time Equivalent Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	24,200	0	0
TOTAL FUNDS EXPENDED	<u>24,200</u>	<u>0</u>	<u>0</u>
BALANCE FORWARD	0	0	0

**AHCCCS  
(AHC)**

**Fiscal Year 1998 Non-Appropriated Funds**

AHCCCS			
<b>Agency Summary</b>		<b>ANALYST: Jeffrey Schmied/Lisa Cotter</b>	
	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE <sup>1/</sup>			
Balance Forward	48,705,800	124,744,000	166,720,800
Revenue	1,289,492,200	1,405,611,000	1,460,262,100
TOTAL FUNDS AVAILABLE	<u>1,338,198,000</u>	<u>1,530,355,000</u>	<u>1,626,982,900</u>
FUNDS EXPENDED			
Full Time Equivalent Positions	<u>1146.7</u>	<u>1154.8</u>	<u>1189.3</u>
Operating Subtotal	47,432,200	50,903,900	49,078,600
Special Line Items Total	1,153,939,800	1,291,308,300	1,353,831,700
TOTAL FUNDS EXPENDED	<u>1,201,372,000</u>	<u>1,342,212,200</u>	<u>1,402,910,300</u>
TRANSFERS	12,082,000	21,422,000	22,059,200
BALANCE FORWARD	124,744,000	166,720,800	202,013,400

<sup>1/</sup> From the Tobacco Tax and Health Care Fund (RVA1306), the summary includes only amounts transferred to the AHCCCS Medically Needy Account (HCA1306), Medical Services Stabilization Fund (HCA3037) and Premium Sharing Demonstration Project Fund (No Fund Number.)

<b>COUNTY CONTRIBUTIONS (HCA2120/Acute Care)(HCA2223/Long Term Care)</b>	<b>A.R.S. § 36-2912/Acute Care 36-2953/Long Term Care</b>
<b>Source of Revenue:</b> Counties' contributions.	
<b>Purpose of Fund:</b> Statutorily prescribed county contributions for the provision of acute medical and long term care services to AHCCCS eligible populations. County contributions and state General Fund appropriations serve as the state match for federal Medicaid (Title XIX) dollars.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward		0	0
Revenue	192,868,500	207,606,200	220,597,300
TOTAL FUNDS AVAILABLE	<u>192,868,500</u>	<u>207,606,200</u>	<u>220,597,300</u>
FUNDS EXPENDED			
Full Time Equivalent Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Acute Care	66,689,500	66,689,500	66,689,500
Long Term Care	126,179,000	140,916,700	153,907,800
TOTAL FUNDS EXPENDED	<u>192,868,500</u>	<u>207,606,200</u>	<u>220,597,300</u>
BALANCE FORWARD	0	0	0

**Fiscal Year 1998 Non-Appropriated Funds**

**AHCCCS (Continued)**

<b>FEDERAL FUNDS (HCA2120/Acute Care)(HCA2223/Long Term Care)</b>	<b>A.R.S. § 36-2913/Acute Care 36-2953/Long Term Care</b>
<b>Source of Revenue:</b> Federal government through the Department of Health & Human Services, Health Care Finance Administration.	
<b>Purpose of Fund:</b> Federal match for AHCCCS administrative costs and for the provision of acute and long term care services to categorically eligible populations.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	2,045,400	0	0
Revenue	976,245,600	1,076,534,400	1,116,425,300
<b>TOTAL FUNDS AVAILABLE</b>	<u>978,291,000</u>	<u>1,076,534,400</u>	<u>1,116,425,300</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	1134.7	1141.8	1175.3
Operating Subtotal	46,815,100	49,908,900	48,051,800
Administration Special Line Items	4,782,500	5,247,100	4,332,400
Acute Care	687,826,400	750,679,200	770,734,900
Long Term Care	238,867,000	270,699,200	293,306,200
<b>TOTAL FUNDS EXPENDED</b>	<u>978,291,000</u>	<u>1,076,534,400</u>	<u>1,116,425,300</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0

<b>HEALTH CARE GROUP MEDICAL PREMIUMS (HCA3197)</b>	<b>A.R.S. § 36-2913</b>
<b>Source of Revenue:</b> Monthly administrative charge (\$4) and premiums paid by employers and employees enrolled in the Health Care Group.	
<b>Purpose of Fund:</b> To pay administration costs of the Health Care Group, AHCCCS' health insurance plan for small business. Fund also used to pay medical claims of enrolled members.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	4,237,400	5,167,000	5,313,400
Revenue	24,172,500	27,618,200	30,576,100
<b>TOTAL FUNDS AVAILABLE</b>	<u>28,409,900</u>	<u>32,785,200</u>	<u>35,889,500</u>



**Fiscal Year 1998 Non-Appropriated Funds**

**AHCCCS (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	12.0	13.0	14.0
Operating Subtotal	617,100	920,000	1,026,800
Premiums Returned to Health Plans	22,625,800	26,038,000	28,641,800
Reinsurance Premiums	0	513,800	678,200
<b>TOTAL FUNDS EXPENDED</b>	<b>23,242,900</b>	<b>27,471,800</b>	<b>30,346,800</b>
 <b>BALANCE FORWARD</b>	 <b>5,167,000</b>	 <b>5,313,400</b>	 <b>5,542,700</b>

**THIRD PARTY COLLECTIONS (HCA3791/Acute Care)(HCA3019/Long Term Care)**

**A.R.S. § 36-2913**

**Source of Revenue:** Collections from third-party payors, and sanctions on counties for eligibility errors in the Medically Needy/Medically Indigent program.

**Purpose of Fund:** To provide acute medical services to AHCCCS members.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	3,053,400	3,988,000	3,988,000
Revenue	7,169,400	5,615,000	5,844,800
<b>TOTAL FUNDS AVAILABLE</b>	<b>10,222,800</b>	<b>9,603,000</b>	<b>9,832,800</b>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal			
Collection Agency Share	897,200	855,900	890,900
Returned to Health Plans	1,417,700	1,300,000	1,400,000
General Fund Offset	2,707,300	2,234,100	2,323,900
Federal Share/Other Disbursements	1,212,600	1,225,000	1,230,000
<b>TOTAL FUNDS EXPENDED</b>	<b>6,234,800</b>	<b>5,615,000</b>	<b>5,844,800</b>
 <b>BALANCE FORWARD</b>	 <b>3,988,000</b>	 <b>3,988,000</b>	 <b>3,988,000</b>

## Fiscal Year 1998 Non-Appropriated Funds

### AHCCCS (Continued)

TOBACCO TAX AND HEALTH CARE FUND (RVA1306)	A.R.S. § 42-1241B
<p><b>Source of Revenue:</b> The fund consists of all tax monies collected on cigarettes, cigars, smoking tobacco, plug tobacco, snuff and other forms of tobacco, and all interest earned on these monies, pursuant to A.R.S. § 42-1241B.</p> <p><b>Purpose of Fund:</b> The funds are distributed to Arizona Health Care Cost Containment System (AHCCCS) for the Medically Needy Account (70%), the Arizona Department of Health Services (DHS) for the Health Education Account (23%) and the Health Research Account (5%), and the State Department of Corrections (DOC) for the Corrections Fund Adjustment Account (2%). Under A.R.S. § 41-1241C, the amount transferred to the Corrections Fund Account is to reflect only the actual amount needed to offset decreases in the Corrections Fund resulting from lower tax revenues. Any unexpended Corrections Fund Adjustment Account amounts are to be transferred out proportionally to the other 3 accounts.</p> <p>The following table summarizes the expenditures of each account receiving a distribution from the Tobacco Tax and Health Care Fund. The individual accounts are detailed in later sections: Corrections Fund in the State Department of Corrections; AHCCCS Medically Needy Account, AHCCCS Medical Services Stabilization and AHCCCS Premium Sharing Demonstration Project Funds immediately following this table; DHS Health Education, DHS Health Research and DHS Medically Needy Accounts in the Department of Health Services.</p>	

Tobacco Tax and Health Care Fund	FY 1996	FY 1997	FY 1998
FUNDS AVAILABLE			
Balance Forward - All Accounts	52,308,000	140,479,000	87,697,400
Revenue	124,987,200	124,000,000	122,000,000
TOTAL FUNDS AVAILABLE	177,295,200	264,479,000	209,697,400

FUNDS EXPENDED			
DOR Administration	314,300	331,000	320,000
Transfer Out - Corrections Fund <sup>1/</sup>	139,700	136,900	134,000
TOTAL FUNDS EXPENDED	454,000	467,900	454,000

#### AHCCCS Medically Needy Account

FUNDS EXPENDED			
Offset Loss in Federal Funding <sup>2/</sup>	0	2,021,200	4,145,000
Phase-Down of Quick Pay Discount <sup>2/</sup>	0	4,522,800	7,978,800
\$10 M Hospital Reimbursement <sup>3/</sup>	0	10,000,000	0
Maternity Length of Stay <sup>2/</sup>	0	0	4,424,300
HIV/AIDS Treatment <sup>2/</sup>	0	0	2,652,400
Transplants	734,800	8,365,800	10,495,600
TOTAL FUNDS EXPENDED	734,800	24,909,800	29,696,100

#### AHCCCS Medical Services Stabilization Fund

FUNDS AVAILABLE			
Transfer In - Medically Needy Account <sup>4/</sup>	14,065,400	46,912,500	15,652,500

#### AHCCCS Premium Sharing Demo Project Fund

FUNDS AVAILABLE			
Transfer In - Medically Needy Account <sup>5/</sup>	0	20,000,000	20,000,000

# --- Fiscal Year 1998 Non-Appropriated Funds ---

## AHCCCS (Continued)

### DHS Health Education Account

#### FUNDS EXPENDED

Administration and Program Costs	9,709,300	15,000,000	28,526,100
DHS Construction <sup>6/</sup>	0	34,756,200	0
<b>TOTAL FUNDS EXPENDED</b>	<b>9,709,300</b>	<b>49,756,200</b>	<b>28,526,100</b>

### DHS Health Research Account

#### FUNDS EXPENDED

Disease Control Research Commission	407,900	5,067,200	5,611,300
DHS Construction <sup>6/</sup>	0	7,608,800	0
<b>TOTAL FUNDS EXPENDED</b>	<b>407,900</b>	<b>12,676,000</b>	<b>5,611,300</b>

### DHS Medically Needy Account

#### FUNDS EXPENDED

Primary Care Programs	3,600,000	5,100,000	5,100,000
Qualifying Community Health Centers	3,600,000	5,100,000	5,100,000
Telemedicine	255,000	255,000	255,000
Mental Health Programs for Non-Title 19	3,489,800	5,000,000	5,000,000
Detoxification Services	500,000	500,000	500,000
Renal Disease Management	0	150,000	150,000
Basic Children's Medical Services Program	0	5,100,000	5,100,000
Evaluations	0	854,200	854,200
<b>TOTAL FUNDS EXPENDED</b>	<b>11,444,800</b>	<b>22,059,200</b>	<b>22,059,200</b>

<b>TOTAL EXPENDITURES - ALL ACCOUNTS</b>	<b>36,816,200</b>	<b>176,781,600</b>	<b>121,999,200</b>
<b>BALANCE FORWARD - ALL ACCOUNTS</b>	<b>140,479,000</b>	<b>87,697,400</b>	<b>87,698,200</b>

- 1/ These monies are transferred to the Corrections Fund where they are commingled with other revenue sources. Thus, these monies cannot be associated with a specific project's expenditures. They are included as expenditures for the purposes of this table.
- 2/ The JLBC Staff recommends use of Tobacco Tax monies to fund these issues in FY 1998. See AHCCCS - Acute Care narrative in the Proposed Budget FY 1998 Analysis and Recommendations for more details.
- 3/ The JLBC Staff recommends funding the elimination of the \$10,000,000 reduction of payments to private hospitals from the General Fund, discontinuing use of Tobacco Tax monies for this issue. See AHCCCS - Acute Care narrative in the Proposed Budget FY 1998 Analysis and Recommendations for more details.
- 4/ These monies are transferred to the AHCCCS Medical Services Stabilization Fund for use as outlined in A.R.S. § 36-2922. The JLBC Staff is not currently projecting the expenditure of these monies but they are included as expenditures for the purposes of this table.
- 5/ These monies are transferred to the AHCCCS Premium Sharing Demonstration Project Fund for use as outlined in A.R.S. § 36-2923. Except for \$75,000 which may be used for administration and analysis, monies may not be expended until a Premium Sharing Demonstration Project is established. The JLBC Staff has included these monies as expenditures for the purposes of this table.
- 6/ Represents expenditures of \$27,565,000 for the Arizona State Hospital and \$14,800,000 for the State Health Lab.

## Fiscal Year 1998 Non-Appropriated Funds

### AHCCCS (Continued)

#### TOBACCO TAX AND HEALTH CARE FUND - MEDICALLY NEEDY ACCOUNT (HCA1306)

A.R.S. § 42-1241C

**Source of Revenue:** The account receives 70 cents of each dollar deposited in the Tobacco Tax and Health Care Fund, administered by the Department of Revenue. The fund also receives a portion of the monies reverting from the Corrections Fund Adjustment Account.

**Purpose of Fund:** Monies are used for health care services including, but not limited to, preventive care, transplants and the treatment of catastrophic illness or injury. Eligible recipients include persons statutorily determined to be medically indigent, medically needy, or low income children. A portion of the monies are transferred to the Department of Health Services (DHS) for statutorily established services, grant and pilot programs.

The JLBC Staff recommends continued use of Tobacco Tax monies to fund the state share of the Quick Pay Discount phase-down and to offset the loss of federal funding due to a change in the federal matching rate. In addition, the JLBC Staff recommends use of Tobacco Tax monies to fund expanded Maternity Length of Stay coverage and costs associated with a new HIV/AIDS treatment. The JLBC Staff recommends replacing Tobacco Tax funding with General Fund monies to eliminate the \$10,000,000 reduction of payments to private hospitals. See AHCCCS - Acute Care narrative in the Proposed Budget FY 1998 Analysis and Recommendations for more details.

	FY 1996	FY 1997	FY 1998
FUNDS AVAILABLE			
Balance Forward	39,369,600	101,523,600	76,516,500
Transfer In - Tobacco Tax Fund	89,036,200	88,237,200	86,818,600
TOTAL FUNDS AVAILABLE	128,405,800	189,760,800	163,335,100
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Offset Loss in Federal Funding	0	2,021,200	4,145,000
Phase-Down of Quick Pay Discount	0	4,522,800	7,978,800
\$10 M Hospital Reimbursement	0	10,000,000	0
Maternity Length of Stay	0	0	4,424,300
HIV/AIDS Treatment	0	0	2,652,400
Transplants	734,800	8,365,800	10,495,600
TOTAL FUNDS EXPENDED	734,800	24,909,800	29,696,100
TRANSFER TO AHCCCS MED STABILIZATION	14,065,400	46,912,500	15,652,500
TRANSFER TO AHCCCS PREMIUM SHARING	0	20,000,000	20,000,000
TRANSFER TO DHS	12,082,000	21,422,000	22,059,200
BALANCE FORWARD	101,523,600	76,516,500	75,927,300

#### TOBACCO TAX AND HEALTH CARE FUND - MEDICAL SERVICES STABILIZATION FUND (HCA3037)

A.R.S. § 36-2922

**Source of Revenue:** Monthly transfer of \$1,250,000 from Tobacco Tax and Health Care Fund-Medically Needy Account and interest earned on these monies, pursuant to A.R.S. § 42-1241B. For FY 1997, Laws 1996 Chapter 368 authorized a one-time transfer of \$30,000,000 from the Tobacco Tax and Health Care Fund - Medically Needy Account.

**Purpose of Fund:** To offset increases above the appropriated amount in the cost of providing health care services to persons statutorily determined to be medically indigent, medically needy or low income children. Monies may also be used to offset increases in the cost of providing services to persons determined to be federally eligible if the increase results from a loss of federal funding.

**Fiscal Year 1998 Non-Appropriated Funds**

**AHCCCS (Continued)**

**TOBACCO TAX AND HEALTH CARE FUND - MEDICAL SERVICES STABILIZATION FUND (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	14,065,400	60,977,900
Transfer In - AHCCCS Medically Needy	<u>14,065,400</u>	<u>46,912,500</u>	<u>15,652,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>14,065,400</u>	<u>60,977,900</u>	<u>76,630,400</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	<u>14,065,400</u>	<u>60,977,900</u>	<u>76,630,400</u>

**TOBACCO TAX AND HEALTH CARE FUND - PREMIUM  
SHARING DEMONSTRATION PROJECT FUND (No Fund Number)**

**A.R.S. § 36-2921**

**Source of Revenue:** Consists of \$20,000,000 transferred annually from the Tobacco Tax and Health Care Fund - Medically Needy Account. Following enactment of a demonstration project plan, premiums collected from the plan participants will also be deposited in the fund.

**Purpose of Fund:** Provide eligible members access to medical services provided by AHCCCS providers through a cost sharing arrangement. From July 1, 1996 to September 30, 1997, no more than \$75,000 may be expended for administration or analysis related to the development or implementation of the project.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	19,925,000
Transfer In - AHCCCS Medically Needy	<u>0</u>	<u>20,000,000</u>	<u>20,000,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>20,000,000</u>	<u>39,925,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>0</u>	<u>75,000</u>	<u>0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>75,000</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	<u>0</u>	<u>19,925,000</u>	<u>39,925,000</u>

**COMMUNITY COLLEGES  
(COM)**

**Fiscal Year 1998 Non-Appropriated Funds**

**STATE BOARD OF DIRECTORS FOR COMMUNITY COLLEGES**

**Agency Summary**

**ANALYST: Bruce Groll/Lynne Smith**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	355,200	55,900	62,300
Revenue	<u>2,493,200</u>	<u>2,921,800</u>	<u>2,917,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,848,400</u>	<u>2,977,700</u>	<u>2,979,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>2.5</u>	<u>2.5</u>	<u>2.5</u>
Operating Subtotal	<u>2,792,500</u>	<u>2,915,400</u>	<u>2,915,400</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>2,792,500</u>	<u>2,915,400</u>	<u>2,915,400</u>
<b>BALANCE FORWARD</b>	55,900	62,300	64,000

**FEDERAL GRANTS (CMA2000)**

**A.R.S. § 15-1424**

**Source of Revenue:** Federal Grants.

**Purpose of Fund:** To fund various federal projects in the areas of adult training, articulation, literacy, vocational training, and English proficiency.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	325,700	24,700	29,400
Revenue	<u>2,491,500</u>	<u>2,920,100</u>	<u>2,915,400</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,817,200</u>	<u>2,944,800</u>	<u>2,944,800</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>2.5</u>	<u>2.5</u>	<u>2.5</u>
Operating Subtotal	<u>2,792,500</u>	<u>2,915,400</u>	<u>2,915,400</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>2,792,500</u>	<u>2,915,400</u>	<u>2,915,400</u>
<b>BALANCE FORWARD</b>	24,700	29,400	29,400

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**Fiscal Year 1998 Non-Appropriated Funds**

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**STATE BOARD OF DIRECTORS FOR COMMUNITY COLLEGES (Continued)**

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**LES ARIES MEMORIAL (CMA3321)****A.R.S. § 15-1424****Source of Revenue:** Interest on investment of principal, the source of which is private donations.**Purpose of Fund:** To provide scholarships to community college students pursuing an education in real estate.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	29,500	31,200	32,900
Revenue	<u>1,700</u>	<u>1,700</u>	<u>1,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>31,200</u>	<u>32,900</u>	<u>34,600</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	31,200	32,900	34,600



**DEPARTMENT OF CORRECTIONS  
(DOC)**

**Fiscal Year 1998 Non-Appropriated Funds**

**STATE DEPARTMENT OF CORRECTIONS**

**Agency Summary**

**ANALYST: Lorenzo Martinez/Karen Bock**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	6,221,400	7,993,100	10,471,900
Revenue	36,015,700	45,362,700	48,378,700
<b>TOTAL FUNDS AVAILABLE</b>	<u>42,237,100</u>	<u>53,355,800</u>	<u>58,850,600</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	70.5	99.5	99.5
Operating Subtotal	23,885,300	26,788,500	28,080,600
Special Line Items Total	4,219,100	5,653,900	6,438,700
<b>TOTAL FUNDS EXPENDED</b>	<u>28,104,400</u>	<u>32,442,400</u>	<u>34,519,300</u>
APPROPRIATIONS	1,000,000	1,000,000	1,000,000
TRANSFERS	5,139,600	9,441,500	9,957,800
BALANCE FORWARD	7,993,100	10,471,900	13,373,500

**ALCOHOL ABUSE TREATMENT (DCA2204)**

**A.R.S. § 31-255**

**Source of Revenue:** Inmate earnings from work contracts with city, county, state, and federal government agencies. The average inmate labor charge is \$0.50 per hour.

**Purpose of Fund:** Monies are used for the treatment of Driving While Intoxicated inmates, including the purchase of necessary materials and related equipment. Specialized services are provided to inmates who are ordered by the courts to undergo such treatment programs, but do not have the financial ability to pay for their treatment.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	47,700	212,700	315,800
Revenue	443,000	445,800	459,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>490,700</u>	<u>658,500</u>	<u>775,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	175,000	237,600	132,900
Work Incentive Pay Plan	103,000	105,100	108,300
<b>TOTAL FUNDS EXPENDED</b>	<u>278,000</u>	<u>342,700</u>	<u>241,200</u>
 <b>BALANCE FORWARD</b>	<b>212,700</b>	<b>315,800</b>	<b>533,800</b>

**Fiscal Year 1998 Non-Appropriated Funds**

**STATE DEPARTMENT OF CORRECTIONS (Continued)**

**ARIZONA CORRECTIONAL INDUSTRIES REVOLVING (DCA4002)**

**A.R.S. § 41-1624**

**Source of Revenue:** Sale of Arizona Correctional Industries (ACI) goods and services and interest earnings.

**Purpose of Fund:** Monies are used to compensate state employees and inmates employed at ACI; purchase materials for the manufacture of goods for resale, equipment, and supplies; maintain and repair ACI's buildings and equipment; and pay other associated ACI operational costs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	3,125,700	3,317,300	4,017,700
Revenue	11,144,900	14,956,900	16,452,600
<b>TOTAL FUNDS AVAILABLE</b>	<u>14,270,600</u>	<u>18,274,200</u>	<u>20,470,300</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>65.0</u>	<u>86.0</u>	<u>86.0</u>
Operating Subtotal	9,512,300	11,374,500	12,018,500
Work Incentive Pay Plan	1,441,000	2,882,000	3,663,600
<b>TOTAL FUNDS EXPENDED</b>	<u>10,953,300</u>	<u>14,256,500</u>	<u>15,682,100</u>
 <b>BALANCE FORWARD</b>	3,317,300	4,017,700	4,788,200

**CORRECTIONS FUND - ADJUSTMENT ACCOUNT (RVA1306)**

**A.R.S. § 42-1241**

**Source of Revenue:** The account receives 2 cents of each dollar deposited in the Tobacco Tax and Health Care Fund administered by the Department of Revenue (DOR).

**Purpose of Fund:** Serves as a holding account for monies to be transferred to the Corrections Fund to compensate for decreases in the Corrections Fund, resulting from lower tobacco tax revenues available under A.R.S. § 41-1204.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	0
Revenue	2,381,300	2,350,000	2,300,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,381,300</u>	<u>2,350,000</u>	<u>2,300,000</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**STATE DEPARTMENT OF CORRECTIONS (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>
TRANSFERS	2,381,300 <u>1/</u>	2,350,000 <u>2/</u>	2,300,000 <u>2/</u>
BALANCE FORWARD	0	0	0

1/ Of this amount, \$139,700 was transferred to the Corrections Fund. See AHCCCS for more detail.

2/ The Department of Revenue will determine the distribution, pursuant to statute, of these monies.

**CRIMINAL JUSTICE ENHANCEMENT (DCA2035)**

**A.R.S. § 41-2401**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** The fund receives 11.7% of Criminal Justice Enhancement Fund (CJEF) monies.

**Purpose of Fund:** Under the supervision of DOC, monies are distributed to counties for training of detention officers, county jail operational enhancements, and DOC administrative expenses.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	15,000	5,400	71,300
Revenue	<u>2,745,000</u>	<u>2,812,100</u>	<u>2,946,200</u>
TOTAL FUNDS AVAILABLE	<u>2,760,000</u>	<u>2,817,500</u>	<u>3,017,500</u>
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>
Operating Subtotal	79,500	79,400	78,200
Distributions to Counties	2,675,100	2,666,800	2,666,800
 TOTAL FUNDS EXPENDED	 <u>2,754,600</u>	 <u>2,746,200</u>	 <u>2,745,000</u>
  BALANCE FORWARD	  5,400	  71,300	  272,500

**Fiscal Year 1998 Non-Appropriated Funds**

**STATE DEPARTMENT OF CORRECTIONS (Continued)**

**DONATIONS (DCA3147)**

**A.R.S. § 41-1605**

**Source of Revenue:** Private grants and disposal of donated properties.

**Purpose of Fund:** General uses as specified by the particular donation.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	14,000	24,600	26,200
Revenue	<u>24,600</u>	<u>24,600</u>	<u>24,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>38,600</u>	<u>49,200</u>	<u>50,800</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>14,000</u>	<u>23,000</u>	<u>24,600</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>14,000</u>	<u>23,000</u>	<u>24,600</u>
 <b>BALANCE FORWARD</b>	24,600	26,200	26,200

**STATE EDUCATION FUND FOR CORRECTIONAL EDUCATION (DCA2107)**

**A.R.S. § 15-1371**

**Source of Revenue:** State equalization assistance and other monies from the Department of Education.

**Purpose of Fund:** Provide education to pupils under the age of 18 years and pupils with disabilities who are age 21 or younger and who are committed to the Department of Corrections.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	29,400	0	0
Revenue	<u>(200)</u>	<u>438,600</u>	<u>438,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>29,200</u>	<u>438,600</u>	<u>438,600</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>6.0</u>	<u>6.0</u>
Operating Subtotal	<u>29,200</u>	<u>438,600</u>	<u>438,600</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>29,200</u>	<u>438,600</u>	<u>438,600</u>
 <b>BALANCE FORWARD</b>	0	0	0

**Fiscal Year 1998 Non-Appropriated Funds**

**STATE DEPARTMENT OF CORRECTIONS (Continued)**

<b>FEDERAL (DCA2000)</b>	<b>A.R.S. § 35-142</b>
<b>Source of Revenue:</b> Federal Grants	
<b>Purpose of Fund:</b> Grant monies provide funding for inmate education including reading, math, and life skills, and school breakfast and lunch programs.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	53,900	77,300	65,900
Revenue	2,945,200	7,386,800	7,986,900
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,999,100</u>	<u>7,464,100</u>	<u>8,052,800</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	2.0	4.0	4.0
Operating Subtotal	<u>167,500</u>	<u>312,800</u>	<u>333,300</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>167,500</u>	<u>312,800</u>	<u>333,300</u>
<b>TRANSFERS</b>	2,754,300	7,085,400	7,654,900
<b>BALANCE FORWARD</b>	77,300	65,900	64,600

<b>INMATE CARE REVOLVING (DCA2089)</b>	<b>A.R.S. § 41-1605</b>
<b>Source of Revenue:</b> Funds received from state and federal governments for room and board of inmates being held in DOC institutions.	
<b>Purpose of Fund:</b> Funds are used to support inmates through the interstate compact agreement, and for transportation of inmates to and from the ending entity.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	11,100	4,400	4,400
Revenue	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>11,100</u>	<u>4,400</u>	<u>4,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>6,700</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>6,700</u>	<u>0</u>	<u>0</u>
<b>BALANCE FORWARD</b>	4,400	4,400	4,400

# Fiscal Year 1998 Non-Appropriated Funds

## STATE DEPARTMENT OF CORRECTIONS (Continued)

### PENITENTIARY LAND EARNINGS (DCA3140)

A.R.S. § 37-525

**Source of Revenue:** Monies received from interest on the Penitentiary Land Fund, as established through Arizona's Enabling Act, Section 25, and monies derived from the rental of these lands and property.

In addition to the land earnings shown, land lease payments by the Adobe Mountain and Black Canyon Juvenile Institutions are deposited to the fund. These lease payments are funded by a General Fund appropriation. To avoid duplication of accounting, the General Fund amount of these lease payments for the Adobe Mountain and Blank Canyon Institutions have been excluded for the purposes of this presentation. The actual and estimated lease payments are: FY 1996 - \$956,700; FY 1997 - \$956,700; and FY 1998 - \$956,700.

**Purpose of Fund:** To provide a continuous source of monies for the benefit and support of state penitentiaries. The department uses appropriations from this fund for building maintenance.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	29,000	291,800	469,800
Revenue	1,268,500	1,178,000	1,178,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,297,500</u>	<u>1,469,800</u>	<u>1,647,800</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>4,600</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>4,600</u>	<u>0</u>	<u>0</u>
APPROPRIATIONS	1,000,000	1,000,000	1,000,000
TRANSFERS	1,100	0	0
<b>BALANCE FORWARD</b>	<u>291,800</u>	<u>469,800</u>	<u>647,800</u>

### PERMANENT TRAINING (DCA2215)

A.R.S. § 41-1662

**Source of Revenue:** Federal monies received by the Department of Corrections (DOC) from Cochise Community College as a result of the Federal Job Training Partnership Act. This fund was eliminated by Laws 1996, Chapter 220 and the remaining balances were transferred to the General Fund.

**Purpose of Fund:** Federal funds are passed to DOC, through Cochise Community College, to pay for assistance in training individuals certified eligible for the program. Funds are used to train individuals who have been forced from other careers by layoffs or other economic hardships.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	3,200	3,200	0
Revenue	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>3,200</u>	<u>3,200</u>	<u>0</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**STATE DEPARTMENT OF CORRECTIONS (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>
TRANSFER TO GENERAL FUND	0	3,200	0
BALANCE FORWARD	3,200	0	0

**RISK MANAGEMENT INSURANCE REIMBURSEMENT (DCA3748)**

**A.R.S. § 41-1605**

**Source of Revenue:** Funds from the Risk Management Revolving Fund administered by the Arizona Department of Administration.

**Purpose of Fund:** Reimbursements for losses of state property.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	42,500	81,600	76,600
Revenue	<u>124,300</u>	<u>110,000</u>	<u>110,000</u>
TOTAL FUNDS AVAILABLE	166,800	191,600	186,600
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	85,200	115,000	115,000
 TOTAL FUNDS EXPENDED	 <u>85,200</u>	 <u>115,000</u>	 <u>115,000</u>
 BALANCE FORWARD	 81,600	 76,600	 71,600

**SPECIAL REVENUE (DCA2001)**

**A.R.S. § 41-1605**

**Source of Revenue:** Various Non-Federal Fund Grants.

**Purpose of Fund:** This fund serves as a repository for various grants received by the department. The fund is used to deposit Victim's Rights Implementation Grants from the Attorney General, Prevention Loss Grants from the Arizona Department of Administration, Indirect Costs from other grants, and fees from workshops and seminars.



**Fiscal Year 1998 Non-Appropriated Funds**

**STATE DEPARTMENT OF CORRECTIONS (Continued)**

**SPECIAL REVENUE (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	37,400	31,300	27,200
Revenue	<u>79,800</u>	<u>53,100</u>	<u>53,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>117,200</u>	<u>84,400</u>	<u>80,300</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>1.5</u>	<u>1.5</u>	<u>1.5</u>
Operating Subtotal	<u>83,000</u>	<u>54,300</u>	<u>49,500</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>83,000</u>	<u>54,300</u>	<u>49,500</u>
 TRANSFERS	2,900	2,900	2,900
BALANCE FORWARD	31,300	27,200	27,900

**SPECIAL SERVICES (DCA3187)**

**A.R.S. § 41-1604**

**Source of Revenue:** Profits from canteens, hobby shops, and commissions on telephone service.

**Purpose of Fund:** Fund monies are used for the benefit, education, and welfare of committed offenders, and operating expenses of canteens and hobby shops.

A major portion of the Special Service Fund is maintained in banks outside the state treasury system, and the transactions are not processed through the Arizona Financial Information System. As a result, the information for this fund is reported on an accrual accounting basis.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	2,812,500	3,943,500	5,397,000
Revenue	<u>14,859,300</u>	<u>15,606,800</u>	<u>16,429,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>17,671,800</u>	<u>19,550,300</u>	<u>21,826,500</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>13,728,300</u>	<u>14,153,300</u>	<u>14,890,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>13,728,300</u>	<u>14,153,300</u>	<u>14,890,000</u>
  BALANCE FORWARD	3,943,500	5,397,000	6,936,500

**DEPARTMENT OF ECONOMIC SECURITY  
(DES)**

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF ECONOMIC SECURITY

Agency Summary

ANALYST: Marge Cawley/Stefan Shepherd

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	595,212,400	747,042,200	641,351,300
Revenue	1,416,410,100	1,415,889,200	1,596,445,300
TOTAL FUNDS AVAILABLE	<u>2,011,622,500</u>	<u>2,162,931,400</u>	<u>2,237,796,600</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	5,563.8	4,643.3	4,722.3
Operating Subtotal	208,260,500	165,693,700	166,737,500
Special Line Items Total	1,056,319,800	1,005,026,800	1,000,757,200
TOTAL FUNDS EXPENDED	<u>1,264,580,300</u>	<u>1,170,720,500</u>	<u>1,167,494,700</u>
APPROPRIATIONS	0	204,152,300	278,773,900
TRANSFERS	0	146,707,300	143,655,700
BALANCE FORWARD	747,042,200	641,351,300	647,872,300

### ARIZONA INDUSTRIES FOR THE BLIND (DEA4003)

A.R.S. § 41-1975

**Source of Revenue:** Proceeds from sales of products of Arizona Industries for the Blind.

**Purpose of Fund:** To provide funds for the wages and salaries of production workers, inspectors, and other employees necessary for the operation of the training centers, workshops, or home industries; supplies, equipment or other incidental costs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	533,500	1,130,600	1,130,200
Revenue	6,042,100	6,102,300	6,102,300
TOTAL FUNDS AVAILABLE	<u>6,575,600</u>	<u>7,232,900</u>	<u>7,232,500</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	65.0	65.0	65.0
Operating Subtotal	2,317,300	2,351,400	2,351,400
Aid to Organizations	3,054,400	3,751,300	3,751,300
Administrative Adjustments	73,300	0	0
TOTAL FUNDS EXPENDED	<u>5,445,000</u>	<u>6,102,700</u>	<u>6,102,700</u>
BALANCE FORWARD	1,130,600	1,130,200	1,129,800

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF ECONOMIC SECURITY (Continued)**

**CAPITAL INVESTMENT (DEA2093)**

**A.R.S. § 4-116**

**Source of Revenue:** Receipts received from club license and application fees by organizations selling spirituous liquor as defined in A.R.S. § 4-101.5

**Purpose of Fund:** To be used by the Department of Mental Retardation (now DES, pursuant to A.R.S. § 36-551) for buildings, equipment, and other capital investments.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	206,300	216,800	216,800
Revenue	36,800	60,000	60,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>243,100</u>	<u>276,800</u>	<u>276,800</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	42,500	0	0
Building remodeling	10,600	60,000	60,000
Administrative Adjustments	(26,800)	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>26,300</u>	<u>60,000</u>	<u>60,000</u>
 <b>BALANCE FORWARD</b>	 216,800	 216,800	 216,800

**CHILD PASSENGER RESTRAINT (DEA2192)**

**A.R.S. § 28-907**

**Source of Revenue:** Fines or penalties from parents, guardians or legal custodians who fail to sufficiently restrain children in motor vehicles, who are under the age of 4, or weigh less than 40 pounds.

**Purpose of Fund:** To purchase child passenger restraint systems and provide them to hospitals for loan to indigent persons.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	30,200	22,600	19,300
Revenue	72,200	50,000	50,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>102,400</u>	<u>72,600</u>	<u>69,300</u>

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF ECONOMIC SECURITY (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Child Passenger Restraint Systems	78,900	53,300	53,300
Administrative Adjustments	900	0	0
TOTAL FUNDS EXPENDED	79,800	53,300	53,300
 BALANCE FORWARD	22,600	19,300	16,000

### CHILD SUPPORT ENFORCEMENT (DEA3195)

A.R.S. § 46-406.A

**This fund is recommended for elimination.**

**Source of Revenue:** Funds received from child support collections through the Arizona Tracking and Locate Automated System (ATLAS).

**Purpose of Fund:** To provide for the distribution of child support collections to the appropriate recipients pursuant to court orders and other applicable laws. Fund will be eliminated once audited. Disposition of these funds will occur in the Child Support Enforcement Clearinghouse. The majority of these funds represent monies owed to the federal government.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	146,300	146,300	146,300
Revenue	0	0	0
TOTAL FUNDS AVAILABLE	146,300	146,300	146,300
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
 TOTAL FUNDS EXPENDED	0	0	0
 BALANCE FORWARD	146,300	146,300	146,300

### DES CLIENT TRUST (DEA3152)

A.R.S. § 41-1954

**Source of Revenue:** Monies collected from Social Security, Veterans' Administration benefits and other benefits payable to a child in the care, custody, or control of DES.

**Purpose of Fund:** To defray the costs of care and services expended for the benefit, welfare, and best interest of the child.

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF ECONOMIC SECURITY (Continued)**

**DES CLIENT TRUST (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	3,126,300	2,726,900	2,726,900
Revenue	<u>2,482,000</u>	<u>2,030,900</u>	<u>2,030,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>5,608,300</u>	<u>4,757,800</u>	<u>4,757,800</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Aid to Individuals	2,881,400	2,030,900	2,030,900
<b>TOTAL FUNDS EXPENDED</b>	<u>2,881,400</u>	<u>2,030,900</u>	<u>2,030,900</u>
 <b>BALANCE FORWARD</b>	 2,726,900	 2,726,900	 2,726,900

**DEVELOPMENTALLY DISABLED CLIENT SERVICES TRUST (DEA2019)**

**A.R.S. § 36-572**

**Source of Revenue:** Proceeds from the sale or lease of the real property and buildings used by the department for the Arizona Training Program at Phoenix.

**Purpose of Fund:** To enhance services presently available to the developmentally disabled and to extend services to developmentally disabled persons not presently served.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	259,900	274,400	288,900
Revenue	<u>14,500</u>	<u>14,500</u>	<u>14,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>274,400</u>	<u>288,900</u>	<u>303,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 <b>BALANCE FORWARD</b>	 274,400	 288,900	 303,400

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF ECONOMIC SECURITY (Continued)**

**DOMESTIC VIOLENCE SHELTER (DEA2160)**

**A.R.S. § 36-3002**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** Fees from marriage licenses, marriage dissolutions and legal separations together with any federal monies or private grants, gifts or contributions.

**Purpose of Fund:** The monies in this fund are provided to qualified shelters for victims of domestic violence.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	524,400	648,900	648,900
Revenue	<u>952,700</u>	<u>876,200</u>	<u>876,200</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,477,100</u>	<u>1,525,100</u>	<u>1,525,100</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 Aid to Individuals	828,200	876,200	876,200
<b>TOTAL FUNDS EXPENDED</b>	<u>828,200</u>	<u>876,200</u>	<u>876,200</u>
  BALANCE FORWARD	648,900	648,900	648,900

**ECONOMIC SECURITY DONATIONS (DEA3145)**

**A.R.S. § 36-751**

**Source of Revenue:** Grants, gifts, or bequests.

**Purpose of Fund:** Funds are disbursed for the purpose of and in conformity with the terms of the grant, gift, or bequest, and in accordance with A.R.S. § 35-149.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	79,400	0	0
Revenue	<u>91,400</u>	<u>108,600</u>	<u>108,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>170,800</u>	<u>108,600</u>	<u>108,600</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF ECONOMIC SECURITY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Aid to Individuals	170,800	108,600	108,600
<b>TOTAL FUNDS EXPENDED</b>	<u>170,800</u>	<u>108,600</u>	<u>108,600</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0

<b>FEDERAL GRANTS (DEA2000)</b>	<b>A.R.S. § 41-101.01</b>
<b>The Temporary Assistance for Needy Families and the Child Care Block Grants portion is recommended for transfer to appropriated status.</b>	
<b>Source of Revenue:</b> Federal grants.	
<b>Purpose of Fund:</b> To be expended as stipulated by federal statutes authorizing the availability of the federal monies.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	71,952,600	111,658,000	2,246,400
Revenue	<u>978,235,600</u>	<u>1,051,694,300</u>	<u>1,221,998,200</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,050,188,200</u>	<u>1,163,352,300</u>	<u>1,224,244,600</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>4,599.3</u>	<u>3,782.1</u>	<u>3,861.6</u>
Operating Subtotal	198,602,100	155,300,400	156,449,400
Aid to Individuals	350,769,300	232,271,100	178,020,400
Other	<u>389,158,800</u>	<u>422,674,800</u>	<u>464,809,200</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>938,530,200</u>	<u>810,246,300</u>	<u>799,279,000</u>
APPROPRIATIONS	0	204,152,300	278,773,900
TRANSFERS	0	146,707,300	143,655,700
<b>BALANCE FORWARD</b>	111,658,000	2,246,400	2,536,000

<b>FULL-EMPLOYMENT DEMONSTRATION PROJECT (DEA1023)</b>	<b>A.R.S. § 41-2027</b>
<b>Source of Revenue:</b> Laws 1994, Chapter 301 established this fund to consist of legislative appropriations and federal monies. To avoid double counting, the legislative appropriations and Temporary Assistance for Needy Families (TANF) cash-out payments have not been included in this display, only the cash-out of Food Stamp benefits.	
<b>Purpose of Fund:</b> To administer the 3-year Full Employment Demonstration Project, which replaces certain welfare benefits with guaranteed employment. The project will help people achieve self-sufficiency and be competitive in the work force.	



# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF ECONOMIC SECURITY (Continued)

### FULL-EMPLOYMENT DEMONSTRATION PROJECT (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	192,000	5,600
Revenue	<u>244,200</u>	<u>252,700</u>	<u>255,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>244,200</u>	<u>444,700</u>	<u>260,900</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>4.0</u>	<u>5.0</u>	<u>5.0</u>
Operating Subtotal	<u>52,200</u>	<u>439,100</u>	<u>251,100</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>52,200</u>	<u>439,100</u>	<u>251,100</u>
<b>BALANCE FORWARD</b>	192,000	5,600	9,800

### HOMELESS TRUST (DEA3026)

**A.R.S. § 41-2021**

**Source of Revenue:** Unclaimed or void warrants, up to \$1 million, served as the initial source of financing. After the initial \$1 million, the sources of revenue will be donations and investment earnings.

**Purpose of Fund:** To provide funds for homeless shelter and supportive services. First year expenditures were limited to \$200,000. After the \$200,000 expenditure, yearly expenditures are limited to interest earned. Expenditures from this fund require a match of 25% by grantees.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	859,700	850,700	849,100
Revenue	<u>47,000</u>	<u>45,000</u>	<u>45,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>906,700</u>	<u>895,700</u>	<u>894,100</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>900</u>	<u>1,600</u>	<u>1,600</u>
Aid to Individuals	<u>42,000</u>	<u>45,000</u>	<u>45,000</u>
Administrative Adjustments	<u>13,100</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>56,000</u>	<u>46,600</u>	<u>46,600</u>
<b>BALANCE FORWARD</b>	850,700	849,100	847,500

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF ECONOMIC SECURITY (Continued)**

**LONG TERM CARE (FEDERAL) (DEA2224)**

**A.R.S. § 36-2953**

**Source of Revenue:** Federal Title XIX monies, other federal monies, client revenue for room and board, third-party recovery, and interest.

**Purpose of Fund:** To fund administrative and program costs associated with the Long Term Care System. Client Revenue is used to offset the cost of room and board, which is not reimbursed by the federal government.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	6,873,700	8,878,300	8,878,300
Revenue	137,996,700	142,308,100	152,461,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>144,870,400</u>	<u>151,186,400</u>	<u>161,339,500</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>895.3</u>	<u>791.0</u>	<u>790.5</u>
Operating Subtotal	7,237,900	7,591,200	7,674,000
Aid to Individuals/Contract Costs	128,754,200	134,716,900	142,563,600
<b>TOTAL FUNDS EXPENDED</b>	<u>135,992,100</u>	<u>142,308,100</u>	<u>150,237,600</u>
 <b>BALANCE FORWARD</b>	 8,878,300	 8,878,300	 11,101,900

**MESA LAND (DEA3151)**

**A.R.S. § Laws 1975, Ch. 140**

**Source of Revenue:** Proceeds from the sale of donated land located in Mesa.

**Purpose of Fund:** To be used for the establishment and operation of community-based, state-operated, residential group homes and/or habilitation and training facilities. The funds may not be used for the Arizona Training Centers in Coolidge and Tucson.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	5,015,100	5,095,100	5,095,100
Revenue	276,500	300,000	300,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>5,291,600</u>	<u>5,395,100</u>	<u>5,395,100</u>

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF ECONOMIC SECURITY (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Room and Board	177,900	300,000	300,000
Administrative Adjustments	<u>18,600</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS EXPENDED	<u>196,500</u>	<u>300,000</u>	<u>300,000</u>
 BALANCE FORWARD	 5,095,100	 5,095,100	 5,095,100

### NEIGHBORS HELPING NEIGHBORS (DEA2348)

A.R.S. § 46-741

**Source of Revenue:** Includes contributions from income tax refunds and other donations, as well as interest earned on the fund's balance.

**Purpose of Fund:** To provide assistance in paying utility bills, conserving energy and weatherization to eligible individuals. Fund monies are available to designated community action or other agencies currently providing energy assistance services to eligible individuals. An amount of not more than 2% of the fund monies may be used by DES, and an amount of not more than 8% of the fund monies may be used by the designated agencies for administrative costs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	70,400	54,900	54,900
Revenue	<u>54,900</u>	<u>49,000</u>	<u>49,000</u>
TOTAL FUNDS AVAILABLE	<u>125,300</u>	<u>103,900</u>	<u>103,900</u>
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Aid to Individuals	50,000	49,000	49,000
Administrative Adjustments	<u>20,400</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS EXPENDED	<u>70,400</u>	<u>49,000</u>	<u>49,000</u>
 BALANCE FORWARD	 54,900	 54,900	 54,900

### PRIVATE DONATIONS AND RESOURCE DEVELOPMENT (DEA3202)

A.R.S. § 41-2013

**This fund is recommended for elimination.**

**Source of Revenue:** Private donations.

**Purpose of Fund:** Assist departmental programs that aid foster parents, volunteers, clients of the department and agencies, parents or guardians who care for clients of the department.

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF ECONOMIC SECURITY (Continued)

### PRIVATE DONATIONS AND RESOURCE DEVELOPMENT (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	200	200	200
Revenue	<u>0</u>	<u>2,500</u>	<u>2,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	200	2,700	2,700
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Aid to Individuals	0	2,500	2,500
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>2,500</u>	<u>2,500</u>
 <b>BALANCE FORWARD</b>	200	200	200

### RATE PAYOR ASSISTANCE TRUST (DEA2347)

**A.R.S. § 46-737**

**This fund is recommended for elimination.**

**Source of Revenue:** A voluntary six-tenths of 1% annual mil assessment levied by participating utility companies and interest earned on their monies.

**Purpose of Fund:** Until FY 1999, any collected assessments will remain in the Trust Fund. After July 1, 1998, the interest earned on the monies in the Trust Fund in the prior fiscal year shall be transferred to a separate Rate Payor Assistance Fund. The Rate Payor Assistance Fund will assist eligible recipients who are in a crisis situation requiring utility bill assistance.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	0	0	0
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	0	0	0

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF ECONOMIC SECURITY (Continued)**

<b>SPECIAL OLYMPICS TAX REFUND (DEA3207)</b>	<b>A.R.S. § 41-173</b>
<b>Source of Revenue:</b> Includes contributions from income tax refunds and other donations, as well as interest earned on the fund's balance.	
<b>Purpose of Fund:</b> To contract with a nonprofit entity for delivery of those services essential to the Arizona Special Olympics' programs and to cover the Department of Revenue's costs for administering the refund checkoff.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	0
Revenue	90,500	70,000	70,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>90,500</u>	<u>70,000</u>	<u>70,000</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Aid to Individuals	90,500	70,000	70,000
<b>TOTAL FUNDS EXPENDED</b>	<u>90,500</u>	<u>70,000</u>	<u>70,000</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0

<b>SPINAL AND HEAD INJURIES TRUST (DEA2335)</b>	<b>A.R.S. § 41-3203</b>
<b>Source of Revenue:</b> Revenues are generated from a 13% penalty assessment levied on every fine, penalty, and forfeiture collected by the Courts on traffic, motor vehicle, and game and fish statutes through the Medical Services Enhancement Fund (MSEF). The Spinal and Head Injuries Trust will receive 22% of the MSEF monies.	
<b>Purpose of Fund:</b> Expended upon the approval of DES's Rehabilitation Services Administration only if other resources are not available or are not available in a timely manner for the following 5 purposes: 1) prevention and education; 2) rehabilitation, transitional living and equipment necessary for daily living activities; 3) a portion of the cost of the disease surveillance system and statewide referral services for those with head injuries and spinal cord injuries; 4) costs incurred by the Advisory Council on Spinal and Head Injuries; and 5) DES's administrative costs for administering the provisions.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	608,800	1,123,100	1,996,000
Revenue	1,081,000	1,300,100	1,396,600
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,689,800</u>	<u>2,423,200</u>	<u>3,392,600</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF ECONOMIC SECURITY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Aid to Individuals	514,800	427,200	427,200
Administrative Adjustments	<u>51,900</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>566,700</u>	<u>427,200</u>	<u>427,200</u>
 <b>BALANCE FORWARD</b>	 1,123,100	 1,996,000	 2,965,400

**UNEMPLOYMENT INSURANCE BENEFITS (TRA9005)**

**A.R.S. § 23-703**

**Source of Revenue:** Employer contributions and interest earnings. The monies are maintained and tracked in 2 separate accounts: one by the U.S. Treasury and one by the state, which is used for clearing and paying benefits. The majority of the funds available are in the U.S. Treasury account. Total Funds Available include the monies in both accounts.

The U.S. Treasury tracks each state's account separately. DES, as federally required, deposits all employer contributions, other than those retained for immediate benefit payments, in the U.S. Treasury.

**Purpose of Fund:** To retain and invest formula-determined employer unemployment contributions to be used for payment of future unemployment benefits and refunds pursuant to § 903 of the Social Security Act.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	504,536,500	613,437,400	616,437,400
Revenue	<u>288,177,500</u>	<u>210,000,000</u>	<u>210,000,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>792,714,000</u>	<u>823,437,400</u>	<u>826,437,400</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Benefits	179,276,600	207,000,000	207,000,000
<b>TOTAL FUNDS EXPENDED</b>	<u>179,276,600</u>	<u>207,000,000</u>	<u>207,000,000</u>
 <b>BALANCE FORWARD</b>	 613,437,400	 616,437,400	 619,437,400

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF ECONOMIC SECURITY (Continued)

<b>UTILITY ASSISTANCE (DEA3092)</b>	<b>A.R.S. § 46-731</b>
<b>Source of Revenue:</b> Unclaimed or abandoned utility deposits as defined under A.R.S. § 46-731B.	
<b>Purpose of Fund:</b> To provide utility repair and deposit assistance to eligible recipients. Financial assistance to an individual shall not exceed \$600 per fiscal year. An amount of not more than 2% of the fund monies may be used by DES and an amount of not more than 8% of the fund monies may be used by the designated community action or other agency providing energy assistance for administrative costs.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	389,100	586,000	611,000
Revenue	514,500	625,000	625,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>903,600</u>	<u>1,211,000</u>	<u>1,236,000</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.2</u>	<u>0.2</u>	<u>0.2</u>
Operating Subtotal	7,600	10,000	10,000
Aid to Individuals	318,500	590,000	590,000
Administrative Adjustments	(8,500)	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>317,600</u>	<u>600,000</u>	<u>600,000</u>
 <b>BALANCE FORWARD</b>	 586,000	 611,000	 636,000

**DEPARTMENT OF EDUCATION  
(ADE)**



**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF EDUCATION**

**Agency Summary**

**ANALYST: Steve Schimpp/Justin Garosi**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	(1,465,800)	2,209,800	1,578,400
Revenue	407,406,900	500,372,900	470,542,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>405,941,100</u>	<u>502,582,700</u>	<u>472,120,600</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>224.6</u>	<u>209.3</u>	<u>206.4</u>
Operating Subtotal	9,824,300	15,591,800	13,212,200
Special Line Items Total	393,619,600	483,808,800	457,831,100
<b>TOTAL FUNDS EXPENDED</b>	<u>403,443,900</u>	<u>499,400,600</u>	<u>471,043,300</u>
<b>TRANSFERS</b>	287,400	1,603,700	0
<b>BALANCE FORWARD</b>	2,209,800	1,578,400	1,077,300

**ENVIRONMENTAL EDUCATION (EDA2302)**

**A.R.S. § 15-1211**

**This fund is recommended for elimination.**

**Source of Revenue:** Monies from legislative appropriations and donations from interested individuals and organizations. (The FY 1996 transfer was to the Environmental Special Plate Number Fund, EDA2354, into which the funds should have been deposited initially.)

**Purpose of Fund:** To assist school districts in implementing environmental education programs and to provide training as prescribed in A.R.S. § 15-706.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	98,300	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>98,300</u>	<u>0</u>	<u>0</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	0	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TRANSFER</b>	98,300	0	0
<b>BALANCE FORWARD</b>	0	0	0

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF EDUCATION (Continued)**

**ENVIRONMENTAL SPECIAL PLATE NUMBER (EDA2354)**

**A.R.S. § 15-214**

**Source of Revenue:** Monies from the sale of environmental special license plates (\$17 donation per plate).

**Purpose of Fund:** For environmental education programs pursuant to A.R.S. § 28-382. This fund will be administered by the State Land Department effective October 2, 1996.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	701,500	0
Revenue	713,700	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>713,700</u>	<u>701,500</u>	<u>0</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>12,200</u>	<u>0</u>	<u>0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>12,200</u>	<u>0</u>	<u>0</u>
 <b>TRANSFER TO STATE LAND DEPARTMENT</b>	0	701,500	0
<b>BALANCE FORWARD</b>	701,500	0	0

**FEDERAL FUNDS (EDA2000)**

**A.R.S. § 35-142**

**Source of Revenue:** Federal Grants including: Child Nutrition Assistance; Federal Impact Aid - Public Law 81-874; Chapter 1 Grants; Special Education - Title VIB; and Vocational Education.

**Purpose of Fund:** To be expended as stipulated by federal statutes authorizing the Federal Grants.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	(2,308,000)	234,400	565,100
Revenue	402,686,700	496,559,700	466,545,300
<b>TOTAL FUNDS AVAILABLE</b>	<u>400,378,700</u>	<u>496,794,100</u>	<u>467,110,400</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	167.8	151.0	148.1
Operating Subtotal	<u>7,632,200</u>	<u>12,816,500</u>	<u>10,537,900</u>
Pass-through Funds to Non-State Agencies	347,053,100	425,387,400	399,379,400
Aid to Individuals	44,345,700	55,830,900	56,058,200
Indirect Costs	<u>924,200</u>	<u>1,292,000</u>	<u>1,132,800</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>399,955,200</u>	<u>495,326,800</u>	<u>467,108,300</u>
 <b>TRANSFER TO FEDERAL GOVERNMENT</b>	189,100	902,200	0
<b>BALANCE FORWARD</b>	234,400	565,100	2,100

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF EDUCATION (Continued)**

**FOOD DISTRIBUTION (EDA4210)**

**A.R.S. § 15-1153**

**Source of Revenue:** Fees from school districts participating in the federal food commodities program.

**Purpose of Fund:** To pay costs associated with administering the federal food commodities program.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	(100)	81,700	(11,900)
Revenue	264,400	230,000	230,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>264,300</u>	<u>311,700</u>	<u>218,100</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	3.9	3.9	3.9
Operating Subtotal	<u>182,600</u>	<u>323,600</u>	<u>217,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>182,600</u>	<u>323,600</u>	<u>217,000</u>
<b>BALANCE FORWARD</b>	81,700	(11,900)	1,100

**PRINTING REVOLVING (EDA4211)**

**A.R.S. § 15-237**

**Source of Revenue:** Printshop charges and receipts for in-house and intragovernmental publishing (\$844,100). Also processed through this fund are cost allocation/indirect cost revenues from federal projects (\$1,085,900); fees paid by applicants for teacher certification (\$956,700); ROTC pass-through funding (\$219,500); conference and workshop registration fees (\$168,500); and intragency paper stock purchases, fingerprint fees, interagency contract fees, publication sales, and revertments from prior year federal projects (\$426,000). (All figures cited are for FY 1996.)

**Purpose of Fund:** Serves as a revolving fund for revenues and expenditures pertaining to the agency printshop. Also serves as a clearinghouse for other agency funds of a revolving nature.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	351,500	777,700	590,700
Revenue	3,700,700	3,543,200	3,726,700
<b>TOTAL FUNDS AVAILABLE</b>	<u>4,052,200</u>	<u>4,320,900</u>	<u>4,317,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	52.9	54.4	54.4
Operating Subtotal	1,997,300	2,451,700	2,457,300
Assistance to Schools	1,057,700	1,060,700	1,030,700
Federal Pass-Through	219,500	217,800	210,000
<b>TOTAL FUNDS EXPENDED</b>	<u>3,274,500</u>	<u>3,730,200</u>	<u>3,698,000</u>
<b>BALANCE FORWARD</b>	777,700	590,700	619,400

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF EDUCATION (Continued)**

**YOUTH FARM LOAN (EDA2136)**

**A.R.S. § 15-1173**

**Source of Revenue:** The investment of trust funds held by the United States as trustee for the Arizona Rural Rehabilitation Corporation.

**Purpose of Fund:** To furnish financial assistance to deserving young persons, under 25 years of age, who are students or former students of vocational education or to young farmers in organized vocational agriculture classes who are interested in becoming established in farming. The financial assistance is provided as guaranteed loans for those who cannot obtain financing elsewhere.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	392,500	414,500	434,500
Revenue	41,400	40,000	40,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>433,900</u>	<u>454,500</u>	<u>474,700</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 Assistance to Schools	19,400	20,000	20,000
<b>TOTAL FUNDS EXPENDED</b>	<u>19,400</u>	<u>20,000</u>	<u>20,000</u>
 <b>BALANCE FORWARD</b>	414,500	434,500	454,700

**DEPARTMENT OF HEALTH SERVICES  
(DHS)**

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF HEALTH SERVICES**

Agency Summary

ANALYST: Jennifer Vermeer

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	19,904,800	51,262,700	62,518,500
Revenue	<u>316,018,400</u>	<u>329,461,600</u>	<u>335,453,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>335,923,200</u>	<u>380,724,300</u>	<u>397,971,600</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>416.8</u>	<u>419.0</u>	<u>418.6</u>
Operating Subtotal	<u>173,028,100</u>	<u>195,973,000</u>	<u>197,538,900</u>
Provider Contracts	<u>105,874,500</u>	<u>116,168,800</u>	<u>117,246,900</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>278,902,600</u>	<u>312,141,800</u>	<u>314,785,800</u>
<b>TRANSFERS</b>	5,757,900	6,064,000	6,348,000
<b>BALANCE FORWARD</b>	51,262,700	62,518,500	76,837,800

**AGREEMENTS (HSA2144)**

**A.R.S. § 35-142**

**Source of Revenue:** Intergovernmental agreements between DHS and other state, local, and federal government entities. This fund includes sub-accounts for Liquor Services Fees authorized under A.R.S. § 4-203.02 (FY 97 revenue: \$70,000) and Arizona State Hospital Rental Income (FY 97 revenue: \$710,200).

**Purpose of Fund:** Monies are expended to fund services which DHS has agreed to perform at the request of or in conjunction with public agencies.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	3,888,000	1,444,500
Revenue	<u>11,405,500</u>	<u>6,585,900</u>	<u>6,777,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>11,405,500</u>	<u>10,473,900</u>	<u>8,222,100</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>7,517,500</u>	<u>9,029,400</u>	<u>6,437,500</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>7,517,500</u>	<u>9,029,400</u>	<u>6,437,500</u>
<b>BALANCE FORWARD</b>	3,888,000	1,444,500	1,784,600

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF HEALTH SERVICES (Continued)**

**ARIZONA STATE HOSPITAL - DONATION (HSA3115)**

**A.R.S. § 36-204**

**This fund is recommended for consolidation in the Donations Fund.**

**Source of Revenue:** Individual donations and interest.

**Purpose of Fund:** As designated by donor. Funds not designated for a specific purpose are expended for the benefit of the Arizona State Hospital.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	24,900	31,000	22,000
Revenue	<u>12,300</u>	<u>12,000</u>	<u>12,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>37,200</u>	<u>43,000</u>	<u>34,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>6,200</u>	<u>21,000</u>	<u>21,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>6,200</u>	<u>21,000</u>	<u>21,000</u>
 <b>BALANCE FORWARD</b>	31,000	22,000	13,000

**ARIZONA STATE HOSPITAL - ENDOWMENT (HSA3128)**

**A.R.S. § 36-211**

**Source of Revenue:** Monies received from interest on the Arizona State Hospital's Permanent Land Fund, as established through Arizona's Enabling Act, Section 25, and the monies derived from the lease of these lands and miscellaneous revenue.

**Purpose of Fund:** For the benefit and support of the Arizona State Hospital.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	22,800	102,200	131,800
Revenue	<u>358,600</u>	<u>350,000</u>	<u>350,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>381,400</u>	<u>452,200</u>	<u>481,800</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF HEALTH SERVICES (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>279,200</u>	<u>320,400</u>	<u>318,300</u>
 TOTAL FUNDS EXPENDED	 <u>279,200</u>	 <u>320,400</u>	 <u>318,300</u>
 BALANCE FORWARD	 102,200	 131,800	 163,500

**ARIZONA STATE HOSPITAL - PATIENT BENEFIT (HSA3173)**

**A.R.S. § 36-213**

**This fund is recommended for consolidation in the Donations Fund.**

**Source of Revenue:** Net profits derived from the operation of the store/canteen at the Arizona State Hospital.

**Purpose of Fund:** The monies are to be expended for the benefit of the patients residing at the Arizona State Hospital

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	93,100	89,300	37,300
Revenue	<u>10,100</u>	<u>10,000</u>	<u>10,000</u>
TOTAL FUNDS AVAILABLE	<u>103,200</u>	<u>99,300</u>	<u>47,300</u>
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>13,900</u>	<u>62,000</u>	<u>37,300</u>
 TOTAL FUNDS EXPENDED	 <u>13,900</u>	 <u>62,000</u>	 <u>37,300</u>
 BALANCE FORWARD	 89,300	 37,300	 10,000

**CHILD DAY CARE TRAINING (HSA2165)**

**A.R.S. § 36-891**

**Source of Revenue:** The fund receives late filing fees and civil penalties charged to those applying for Day Care Training licenses. This fund was eliminated by Laws 1996 Chapter 220 and the remaining balances were transferred to the General Fund.

**Purpose of Fund:** Funds are to be used for day care center training programs and information assistance.



**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF HEALTH SERVICES (Continued)**

**CHILD DAY CARE TRAINING (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	4,300	5,600	0
Revenue	<u>4,200</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>8,500</u>	<u>5,600</u>	<u>0</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>2,900</u>	<u>0</u>	<u>0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>2,900</u>	<u>0</u>	<u>0</u>
 TRANSFER TO THE GENERAL FUND	0	5,600	0
<b>BALANCE FORWARD</b>	5,600	0	0

**CLINICAL LAB LICENSING REVOLVING FUND (HSA2268)**

**A.R.S. § 36-468**

**Source of Revenue:** Clinical laboratory conference fees, collections of clinical laboratory licensing fees from laboratories covered under state law (but not federal law) and donations. This fund was eliminated by Laws 1996, Chapter 220 and the remaining balances were transferred to the General Fund.

**Purpose of Fund:** To pay for expenses associated with conducting conferences.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	2,800	2,200	0
Revenue	<u>2,800</u>	<u>2,200</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,800</u>	<u>2,200</u>	<u>0</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>600</u>	<u>0</u>	<u>0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>600</u>	<u>0</u>	<u>0</u>
 TRANSFER TO GENERAL FUND	0	2,200	0
<b>BALANCE FORWARD</b>	2,200	0	0

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF HEALTH SERVICES (Continued)

### DISEASE CONTROL RESEARCH FUND (DIA2090)

A.R.S. § 36-274

**Source of Revenue:** Monies appropriated by the Legislature and any gifts, contributions or other monies received by the Disease Control Research Commission from any other source.

**Purpose of Fund:** Funds are to be used for projects or services that may advance research in the causes, epidemiology and prevention of disease, including discovery and development.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	233,500	186,900	185,600
Revenue	200	7,500	7,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>233,700</u>	<u>194,400</u>	<u>193,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>46,800</u>	<u>8,800</u>	<u>9,500</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>46,800</u>	<u>8,800</u>	<u>9,500</u>
 <b>BALANCE FORWARD</b>	 186,900	 185,600	 183,900

### DONATIONS (HSA3010)

A.R.S. § 36-132

**Source of Revenue:** Individual donations for various health related purposes.

**Purpose of Fund:** Provides funding for specific purposes as designated by the donors.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	262,100	292,200	39,900
Revenue	126,600	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>388,700</u>	<u>292,200</u>	<u>39,900</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>96,500</u>	<u>252,300</u>	<u>17,900</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>96,500</u>	<u>252,300</u>	<u>17,900</u>
 <b>BALANCE FORWARD</b>	 292,200	 39,900	 22,000

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF HEALTH SERVICES (Continued)**

**ENVIRONMENTAL LAB LICENSING REVOLVING FUND (HSA3017)**

**A.R.S. § 36-495.15**

**Source of Revenue:** Monies from gifts, grants, donations, fees derived from department sponsored workshops, conferences and seminars and fees collected for environmental laboratory licensure.

**Purpose of Fund:** For costs associated with licensing environmental laboratories by the Department of Health Services.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	574,400	243,300	136,900
Revenue	792,400	850,000	900,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,366,800</u>	<u>1,093,300</u>	<u>1,036,900</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>14.5</u>	<u>14.5</u>	<u>14.5</u>
Operating Subtotal	<u>1,123,500</u>	<u>956,400</u>	<u>956,400</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>1,123,500</u>	<u>956,400</u>	<u>956,400</u>
  <b>BALANCE FORWARD</b>	  243,300	  136,900	  80,500

**FEDERAL GRANTS (HSA2000)**

**A.R.S. § No Citation**

**Source of Revenue:** Grants and reimbursements from the federal government.

**Purpose of Fund:** To provide health services in accordance with the terms of each specific grant.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	1,247,400	0	0
Revenue	111,169,000	110,050,000	109,313,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>112,416,400</u>	<u>110,050,000</u>	<u>109,313,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>243.0</u>	<u>241.1</u>	<u>239.9</u>
Operating Subtotal	<u>19,749,300</u>	<u>21,808,500</u>	<u>21,071,500</u>
Provider Contracts	92,667,100	88,241,500	88,241,500
<b>TOTAL FUNDS EXPENDED</b>	<u>112,416,400</u>	<u>110,050,000</u>	<u>109,313,000</u>
  <b>BALANCE FORWARD</b>	  0	  0	  0

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF HEALTH SERVICES (Continued)**

**INDIRECT COSTS (HSA9000)**

**A.R.S. § 35-142**

**Source of Revenue:** Indirect costs are charges made to federal funds and interagency agreements in order to reimburse the agency for a portion of the administrative costs of programs.

**Purpose of Fund:** These funds are used to pay for a portion of the administrative personnel and overhead costs associated with various federal programs and interagency agreements.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	1,199,100	1,127,600
Revenue	<u>4,970,800</u>	<u>3,700,000</u>	<u>3,800,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>4,970,800</u>	<u>4,899,100</u>	<u>4,927,600</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>81.9</u>	<u>79.4</u>	<u>79.4</u>
Operating Subtotal	<u>3,771,700</u>	<u>3,771,500</u>	<u>4,292,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>3,771,700</u>	<u>3,771,500</u>	<u>4,292,000</u>
<b>BALANCE FORWARD</b>	1,199,100	1,127,600	635,600

**MEDICAL SERVICES ENHANCEMENT FUND (TRA2186)**

**A.R.S. § 36-2219.01**

**Source of Revenue:** A 13% penalty on fines and forfeitures imposed by the courts for criminal and civil motor vehicle statute violations.

**Purpose of Fund:** Monies are distributed on a percentage basis to various non-appropriated funds. See page for each individual non-appropriated fund for detailed information on each recipient.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>% of MSEF</u>	<u>APPROP.</u>
<b>FUNDS AVAILABLE</b>					
Balance Forward	2,450,200	2,450,200	2,450,200		
Revenue	<u>5,757,900</u>	<u>6,045,800</u>	<u>6,348,100</u>		
<b>TOTAL FUNDS AVAILABLE</b>	<u>8,208,100</u>	<u>8,496,000</u>	<u>8,798,300</u>		

# **Fiscal Year 1998 Non-Appropriated Funds**

## **DEPARTMENT OF HEALTH SERVICES (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>% of MSEF</u>	<u>APPROP.</u>
<b>FUNDS EXPENDED</b>					
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>		
 <b>TOTAL FUNDS EXPENDED</b>	 <u>0</u>	 <u>0</u>	 <u>0</u>		
<b>TRANSFERS TO:</b>					
Department of Economic Security					
Spinal and Head Injuries Trust	1,204,800	1,330,100	1,396,600	22.0	no
Department of Health Services					
EMS Operating	2,854,400	2,956,400	3,104,200	48.9	no <sup>1/</sup>
Substance Abuse Services	548,800	568,300	596,700	9.4	no <sup>1/</sup>
Alcohol Abuse Treatment	829,000	858,500	901,400	14.2	no <sup>1/</sup>
General Fund	320,900	332,500	349,100	5.5	no <sup>1/</sup>
<b>BALANCE FORWARD</b>	<b>2,450,200</b>	<b>2,450,200</b>	<b>2,450,300</b>	<b>100.0</b>	

<sup>1/</sup> These monies represent a continuing appropriation from the MSEF, but are appropriated prior to expenditure by the Legislature to the recipient agency.

### **NEWBORN SCREENING PROGRAM FUND (HSA2184)**

**A.R.S. § 36-694**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** The department collects a fee of \$20 as part of the hospital charges for each child born in Arizona.

**Purpose of Fund:** The fund provides monies for the centralized testing of all newborns in the state for a battery of metabolic disorders. Every 4 years the department solicits bids for the contracting of these tests. The State Health Lab holds the current contract. In addition, the fund provides monies for follow-up counseling for the parents of affected infants.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	538,800	1,262,400	1,698,000
Revenue	<u>1,971,200</u>	<u>2,200,000</u>	<u>2,200,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>2,510,000</b>	<b>3,462,400</b>	<b>3,898,000</b>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>15.0</u>	<u>17.5</u>	<u>17.5</u>
Operating Subtotal	<u>1,247,600</u>	<u>1,764,400</u>	<u>1,764,400</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>1,247,600</u>	 <u>1,764,400</u>	 <u>1,764,400</u>
 <b>BALANCE FORWARD</b>	 <b>1,262,400</b>	 <b>1,698,000</b>	 <b>2,133,600</b>

## Fiscal Year 1998 Non-Appropriated Funds

### DEPARTMENT OF HEALTH SERVICES (Continued)

#### SANITARIANS FUNDS (HSA2063)

A.R.S. § 36-136.02

**Source of Revenue:** Registration fees and application fees collected from applicants for the classification of sanitarians, and interest. This fund was eliminated by Laws 1996, Chapter 220 and the remaining balances were transferred to the General Fund.

**Purpose of Fund:** To defray the costs of registering sanitarians.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	26,300	10,400	0
Revenue	8,000	0	0
<b>TOTAL FUNDS AVAILABLE</b>	34,300	10,400	0
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	23,900	0	0
<b>TOTAL FUNDS EXPENDED</b>	23,900	0	0
TRANSFER TO GENERAL FUND	0	10,400	0
<b>BALANCE FORWARD</b>	10,400	0	0

#### SOUTHERN ARIZONA MENTAL HEALTH CENTER - DONATION (HSA3115)

A.R.S. § 36-132B

**This fund is recommended for consolidation in the Donations Fund.**

**Source of Revenue:** Donations from community organizations and interest from a trust fund.

**Purpose of Fund:** As designated by donor. Funds not designated for a specific purpose are expended for the benefit of the Southern Arizona Mental Health Center (SAMHC). Monies remaining at the beginning of FY 1997 will be transferred to the Pima County Regional Behavioral Health Authority (RBHA) for the contract of client services.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	76,200	64,700	0
Revenue	8,400	0	0
<b>TOTAL FUNDS AVAILABLE</b>	84,600	64,700	0

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF HEALTH SERVICES (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
Full Time Equivalent Positions	0.0	0.0	0.0
Operating Subtotal	<u>19,900</u>	<u>64,700</u>	<u>0</u>
 TOTAL FUNDS EXPENDED	 <u>19,900</u>	 <u>64,700</u>	 <u>0</u>
 BALANCE FORWARD	 64,700	 0	 0

**SOUTHERN ARIZONA MENTAL HEALTH CENTER - PATIENT BENEFIT (HSA3116)**

**A.R.S. § 36-216**

**This fund is recommended for elimination.**

**Source of Revenue:** Fees charged for parking at the Southern Arizona Medical Health Center facility and miscellaneous receipts.

**Purpose of Fund:** Funds are to be expended for purposes which benefit the patients of the center. Monies remaining at the beginning of FY 1997 will be transferred to the Pima County Regional Behavioral Health Authority (RBHA) for the contract of client services.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	15,000	14,300	0
Revenue	<u>4,000</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS AVAILABLE	<u>19,000</u>	<u>14,300</u>	<u>0</u>
 FUNDS EXPENDED			
Full Time Equivalent Positions	0.0	0.0	0.0
Operating Subtotal	<u>4,700</u>	<u>14,300</u>	<u>0</u>
 TOTAL FUNDS EXPENDED	 <u>4,700</u>	 <u>14,300</u>	 <u>0</u>
 BALANCE FORWARD	 14,300	 0	 0

**TITLE XIX SERVICES AND COUNTY CONTRIBUTIONS (HSA2144)**

**A.R.S. § 35-142**

**Source of Revenue:** The fund receives federal match dollars for treating behavioral health problems in Title XIX-eligible persons, federal match dollars for Title XIX-eligible persons in the Children's Rehabilitative Services (CRS) program, and Maricopa and Pima Counties' contributions for treating persons with behavioral health problems.

**Purpose of Fund:** This fund is simply a receiving account. Revenues and expenditures related to behavioral health and the CRS program have been separated from the Agreements Fund for information purposes.

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF HEALTH SERVICES (Continued)**

**TITLE XIX SERVICES AND COUNTY CONTRIBUTIONS (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	1,203,100	2,465,500	1,698,800
Revenue	<u>113,335,600</u>	<u>121,335,500</u>	<u>127,350,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>114,538,700</u>	<u>123,801,000</u>	<u>129,048,800</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>38.3</u>	<u>42.4</u>	<u>42.4</u>
Operating Subtotal	<u>112,073,200</u>	<u>122,102,200</u>	<u>127,350,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>112,073,200</u>	<u>122,102,200</u>	<u>127,350,000</u>
<b>BALANCE FORWARD</b>	2,465,500	1,698,800	1,698,800

**TOBACCO TAX AND HEALTH CARE FUND - HEALTH EDUCATION  
ACCOUNT (HSA1306)**

**A.R.S. § 41-1241C**

**Source of Revenue:** The account receives 23 cents of each dollar deposited in the Tobacco Tax and Health Care Fund administered by the Department of Revenue (DOR). The fund also receives a portion of the monies reverting from the Corrections Fund Adjustment Account.

**Purpose of Fund:** Monies are used for community based education and evaluation, and other programs to discourage tobacco use among the general public, specifically targeting minors and culturally diverse populations.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	12,938,400	30,322,100	44,314,300
Revenue	<u>27,093,000</u>	<u>28,992,200</u>	<u>28,526,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>40,031,400</u>	<u>59,314,300</u>	<u>72,840,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>10.0</u>	<u>10.0</u>	<u>10.0</u>
Operating Subtotal	<u>7,955,500</u>	<u>6,801,500</u>	<u>6,801,500</u>
Provider Contracts	<u>1,753,800</u>	<u>8,198,500</u>	<u>8,198,500</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>9,709,300</u>	<u>15,000,000</u>	<u>15,000,000</u>
<b>BALANCE FORWARD</b>	30,322,100	44,314,300	57,840,400



**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF HEALTH SERVICES (Continued)**

**TOBACCO TAX AND HEALTH CARE FUND - HEALTH RESEARCH  
ACCOUNT (DSA2096)**

**A.R.S. § 42-1241C**

**Source of Revenue:** The account receives 5 cents of each dollar deposited in the Tobacco Tax and Health Care Fund administered by the Department of Revenue (DOR). The fund also receives a portion of the monies reverting from the Corrections Fund Adjustment Account.

**Purpose of Fund:** Monies are used for research into the prevention and treatment of tobacco-related disease and addiction.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	7,996,100	9,231,600
Revenue	8,404,000	6,302,700	6,201,300
<b>TOTAL FUNDS AVAILABLE</b>	<u>8,404,000</u>	<u>14,298,800</u>	<u>15,432,900</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	3.2	3.2	4.0
Operating Subtotal	147,400	738,400	204,400
Provider Contracts	260,500	4,328,800	5,406,900
<b>TOTAL FUNDS EXPENDED</b>	<u>407,900</u>	<u>5,067,200</u>	<u>5,611,300</u>
 <b>BALANCE FORWARD</b>	7,996,100	9,231,600	9,821,600

**TOBACCO TAX AND HEALTH CARE FUND - SUBSIDIARY MEDICALLY  
NEEDY ACCOUNT (HSA3037)**

**A.R.S. § 42-1241C**

**Source of Revenue:** The account receives funding from the Medically Needy account of the Tobacco Tax and Health Care Fund, which is managed by AHCCCS.

**Purpose of Fund:** Monies are used for 5 health programs. DHS contracts with the following: (1) community providers for medical service primary care; (2) community health centers for basic medical care for residents with incomes below 200% Federal Poverty Level; (3) an entity for a 3 year contract to provide telemedicine services on under served areas; (4) contractors to provide on-going services or demonstration projects for non-Title 19 patients; and (5) 3 or fewer rural counties to provide a 2-year detoxification pilot program.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	637,200	0
Revenue	12,082,000	21,422,000	22,059,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>12,082,000</u>	<u>22,059,200</u>	<u>22,059,200</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF HEALTH SERVICES (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>10.9</u>	<u>10.9</u>	<u>10.9</u>
Operating Subtotal	251,700	6,659,200	6,659,200
Provider Contracts	11,193,100	15,400,000	15,400,000
 <b>TOTAL FUNDS EXPENDED</b>	 <u>11,444,800</u>	 <u>22,059,200</u>	 <u>22,059,200</u>
 <b>BALANCE FORWARD</b>	 637,200	 0	 0

**WIC REBATES (HSA2100)**

**A.R.S. § 35-142**

**Source of Revenue:** Rebates from formula-milk manufacturers in exchange for every unit of formula purchased by a mother enrolled in the WIC program.

**Purpose of Fund:** The federal government requires states to contract with formula providers for rebates on the purchase of infant formula. The state collects the formula receipts for a period and submits these for rebates from the manufacturer. All fund deposits are used to provide additional food for the program's clients.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	191,500	0	0
Revenue	18,504,600	21,598,000	21,598,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>18,696,100</u>	<u>21,598,000</u>	<u>21,598,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	18,696,100	21,598,000	21,598,000
 <b>TOTAL FUNDS EXPENDED</b>	 <u>18,696,100</u>	 <u>21,598,000</u>	 <u>21,598,000</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0

**JUDICIARY**  
**(JUD)**

**Fiscal Year 1998 Non-Appropriated Funds**

**JUDICIARY - SUPREME COURT**

**Agency Summary**

**ANALYST: Karen Bock/Brad Regens**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	244,900	(741,300)	(524,600)
Revenue	7,208,500	7,609,000	7,608,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>7,453,400</u>	<u>6,867,700</u>	<u>7,084,200</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>37.9</u>	<u>40.9</u>	<u>40.9</u>
Operating Subtotal	4,176,800	1,875,300	1,836,900
Special Line Items Total	4,017,900	5,517,000	5,517,000
<b>TOTAL FUNDS EXPENDED</b>	<u>8,194,700</u>	<u>7,392,300</u>	<u>7,353,900</u>
 <b>BALANCE FORWARD</b>	 (741,300)	 (524,600)	 (269,700)

**ALTERNATIVE DISPUTE RESOLUTION (SPA3245)**

**A.R.S. § 12-135**

**Source of Revenue:** Fee collections on civil case filings: \$2 on each Class A and B filing and \$1 on each Class C and D filing.

**Purpose of Fund:** To supplement local courts' funding for alternative dispute resolution programs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	143,300	123,100	68,600
Revenue	175,300	160,100	160,100
<b>TOTAL FUNDS AVAILABLE</b>	<u>318,600</u>	<u>283,200</u>	<u>228,700</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.7</u>	<u>0.5</u>	<u>0.5</u>
Operating Subtotal	22,000	29,500	29,500
Assistance to Courts	173,500	185,100	185,100
<b>TOTAL FUNDS EXPENDED</b>	<u>195,500</u>	<u>214,600</u>	<u>214,600</u>
 <b>BALANCE FORWARD</b>	 123,100	 68,600	 14,100

**Fiscal Year 1998 Non-Appropriated Funds**

**JUDICIARY - SUPREME COURT (Continued)**

**COUNTY PUBLIC DEFENDER TRAINING (SPA3013)**

**A.R.S. § 12-117**

**Source of Revenue:** \$2 or the \$8 surcharge on each person paying a court order penalty, fine, or sanction on a time-payment basis.

**Purpose of Fund:** Allocated to each county Public Defender Office exclusively for training.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	141,800	35,800	35,400
Revenue	<u>563,700</u>	<u>529,600</u>	<u>529,400</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>705,500</b>	<b>565,400</b>	<b>564,800</b>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.5</u>	<u>0.5</u>	<u>0.5</u>
Operating Subtotal	23,500	25,100	25,100
Disbursements to Public Defenders	646,200	504,900	504,900
 <b>TOTAL FUNDS EXPENDED</b>	 <u>669,700</u>	 <u>530,000</u>	 <u>530,000</u>
 <b>BALANCE FORWARD</b>	 35,800	 35,400	 34,800

**DEFENSIVE DRIVING SCHOOL (SPA2247)**

**A.R.S. § 28-493**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** A fee, not to exceed \$15, imposed on each person who attends a defensive driving school by court order.

**Purpose of Fund:** To monitor defensive driving schools for compliance with claims and court policy, to supervise their use by the courts in Arizona, and to expedite the processing of traffic offenses prescribed in A.R.S. Title 28, Chapter 6, Articles 2 through 15 related to highway traffic. The Court Automation Special Line Item monies are being used to automate municipal and justice-of-the-peace courts, the caseload of which is largely traffic-related.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	2,674,500	2,993,100	1,868,300
Revenue	<u>2,166,200</u>	<u>2,197,800</u>	<u>2,197,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>4,840,700</b>	<b>5,190,900</b>	<b>4,066,100</b>

**Fiscal Year 1998 Non-Appropriated Funds**

**JUDICIARY - SUPREME COURT (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	28.9	30.0	30.0
Operating Subtotal	211,900	331,400	331,400
Assistance to Courts	634,300	765,100	765,100
Arizona Court Automation Project	1,001,400	2,226,100	2,226,100
<b>TOTAL FUNDS EXPENDED</b>	<b>1,847,600</b>	<b>3,322,600</b>	<b>3,322,600</b>
 <b>BALANCE FORWARD</b>	 <b>2,993,100</b>	 <b>1,868,300</b>	 <b>743,500</b>

**DRUG ENFORCEMENT ACCOUNT (SPA2075)**

**A.R.S. § 41-2402**

**Source of Revenue:** Distribution from the Arizona Criminal Justice Commission's Drug Enforcement Account.

**Purpose of Fund:** To fund programs that enhance the ability of the courts to process drug offenses and related cases.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	54,900	52,400	30,600
Revenue	1,454,000	1,736,800	1,736,800
<b>TOTAL FUNDS AVAILABLE</b>	<b>1,508,900</b>	<b>1,789,200</b>	<b>1,767,400</b>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	2.9	3.0	3.0
Operating Subtotal	142,200	148,800	148,800
Assistance to Cities and Counties	1,314,300	1,609,800	1,609,800
<b>TOTAL FUNDS EXPENDED</b>	<b>1,456,500</b>	<b>1,758,600</b>	<b>1,758,600</b>
 <b>BALANCE FORWARD</b>	 <b>52,400</b>	 <b>30,600</b>	 <b>8,800</b>

**DRUG STUDY (SPA2088)**

**A.R.S. § Laws 1987, Chapter 307**

**This fund is recommended for elimination.**

**Source of Revenue:** Appropriation from the Corrections Fund.

**Purpose of Fund:** Preparation and implementation of a plan for post-arrest, pre-release, and pre-trial drug testing for adults and juveniles.

# **Fiscal Year 1998 Non-Appropriated Funds**

## **JUDICIARY - SUPREME COURT (Continued)**

### **DRUG STUDY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	38,500	38,500	100
Revenue	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>38,500</u>	<u>38,500</u>	<u>100</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>0</u>	<u>38,400</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>38,400</u>	<u>0</u>
<b>BALANCE FORWARD</b>	<b>38,500</b>	<b>100</b>	<b>100</b>

### **GRANTS AND SPECIAL REVENUE (SPA2084)**

**A.R.S. § 41-401.01**

**Source of Revenue:** Monies provided from various sources, private and public, for specific programs and projects. Includes transfers from the Judicial Collection Enhancement Fund (JCEF) of \$1,904,200 in FY 1996 and \$2,039,600 in FY 1997 and FY 1998.

**Purpose of Fund:** Expended by the courts as specified in the agreement for the specific programs and projects. Currently, the fund is being used in part to make payments on the Arizona Courts Automation Project (ACAP). The negative fund balances reflect the state's liability for an ACAP contract. The JCEF revenues are used to make payments on this contract.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	(2,808,100)	(3,984,200)	(2,527,600)
Revenue	<u>2,849,300</u>	<u>2,984,700</u>	<u>2,984,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>41,200</u>	<u>(999,500)</u>	<u>457,100</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>4.9</u>	<u>6.9</u>	<u>6.9</u>
Operating Subtotal	<u>3,777,200</u>	<u>1,302,100</u>	<u>1,302,100</u>
Assistance to Courts	<u>248,200</u>	<u>226,000</u>	<u>226,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>4,025,400</u>	<u>1,528,100</u>	<u>1,528,100</u>
<b>BALANCE FORWARD</b>	<b>(3,984,200)</b>	<b>(2,527,600)</b>	<b>(1,071,000)</b>

**DEPARTMENT OF JUVENILE CORRECTIONS  
(DJC)**



# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF JUVENILE CORRECTIONS

Agency Summary

ANALYST: Phil Case/Lorenzo Martinez

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	182,100	584,100	244,200
Revenue	<u>2,439,400</u>	<u>1,495,600</u>	<u>1,401,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>2,621,500</b>	<b>2,079,700</b>	<b>1,646,000</b>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>20.5</u>	<u>20.5</u>	<u>19.5</u>
Operating Subtotal	1,839,700	1,674,300	1,222,100
Special Line Items Total	149,200	117,700	86,900
<b>TOTAL FUNDS EXPENDED</b>	<b>1,988,900</b>	<b>1,792,000</b>	<b>1,309,000</b>
<b>APPROPRIATIONS</b>	48,500	0	0
<b>TRANSFERS</b>	0	43,500	0
<b>BALANCE FORWARD</b>	584,100	244,200	337,000

### FEDERAL FUNDS (DJA2000)

A.R.S. § 35-142

**Source of Revenue:** Federal funds received through the Arizona Department of Education.

**Purpose of Fund:** Used for the National School Breakfast and Lunch Program, Special Education, Job Training Partnership Act (JTPA), substance abuse, and other federal programs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	110,000	519,300	158,900
Revenue	<u>2,375,200</u>	<u>1,408,600</u>	<u>1,345,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>2,485,200</b>	<b>1,927,900</b>	<b>1,504,800</b>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>20.5</u>	<u>20.5</u>	<u>19.5</u>
Operating Subtotal	1,816,700	1,651,300	1,199,100
Indirect Cost Allocation	149,200	117,700	86,900
<b>TOTAL FUNDS EXPENDED</b>	<b>1,965,900</b>	<b>1,769,000</b>	<b>1,286,000</b>
<b>BALANCE FORWARD</b>	519,300	158,900	218,800

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF JUVENILE CORRECTIONS (Continued)**

**JUVENILE CORRECTIONS (DJA3024)**

**A.R.S. § 41-2810**

**Source of Revenue:** Donations by individuals and businesses, proceeds from vending machines, fund-raising efforts, etc..

**Purpose of Fund:** Used for additional supplies and department conferences, for purposes agreed upon by donors and agency director, or for special student activities.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	14,500	21,300	85,300
Revenue	<u>7,700</u>	<u>87,000</u>	<u>55,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>22,200</u>	<u>108,300</u>	<u>141,200</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>900</u>	<u>23,000</u>	<u>23,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>900</u>	<u>23,000</u>	<u>23,000</u>
  <b>BALANCE FORWARD</b>	  21,300	  85,300	  118,200

**PARENTAL ASSESSMENT AND RESTITUTION (DJA2185)**

**A.R.S. § 41-2812 (Repealed)**

**Source of Revenue:** Court-ordered assessments from parents of committed youth. This fund was eliminated by Laws 1996, Chapter 220 and the remaining balances were transferred to the General Fund.

**Purpose of Fund:** For partial reimbursement of youths' room and board costs. Parents are assessed according to financial ability. Monies in the fund are used for repair projects at the institutions and to supplement the Work-Incentive Pay Plan (WIPP).

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	43,600	12,300	0
Revenue	<u>17,200</u>	<u>0</u>	
<b>TOTAL FUNDS AVAILABLE</b>	<u>60,800</u>	<u>12,300</u>	<u>0</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
  <b>TOTAL FUNDS EXPENDED</b>	  <u>0</u>	  <u>0</u>	  <u>0</u>
<b>APPROPRIATIONS</b>	48,500	0	0
<b>TRANSFER TO GENERAL FUND</b>	0	12,300	0
<b>BALANCE FORWARD</b>	12,300	0	0

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF JUVENILE CORRECTIONS (Continued)

### SPECIAL SERVICES (DJA3735)

A.R.S. § 41-2807

**Source of Revenue:** Proceeds from vending machines, fund-raising efforts (bake sales, etc.), and the like. This fund was eliminated by Laws 1996, Chapter 220 and the remaining balances were transferred to the Juvenile Corrections Fund.

**Purpose of Fund:** For special student activities and related awards, trophies, or other expenses.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	14,000	31,200	0
Revenue	39,300	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>53,300</u>	<u>31,200</u>	<u>0</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>22,100</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>22,100</u>	<u>0</u>	<u>0</u>
 <b>TRANSFER TO JUVENILE CORRECTIONS FUND</b>	 0	 31,200	 0
<b>BALANCE FORWARD</b>	31,200	0	0

**DEPARTMENT OF TRANSPORTATION  
(DOT)**

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF TRANSPORTATION

Agency Summary

ANALYST: Bob Hull

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	141,210,000	94,297,600	87,940,600
Revenue	520,814,000	517,279,200	552,097,400
<b>TOTAL FUNDS AVAILABLE</b>	<u>662,024,000</u>	<u>611,576,800</u>	<u>640,038,000</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	338.5	341.5	354.0
Operating Subtotal	43,462,800	45,201,300	46,432,000
Special Line Items Total	524,256,900	478,434,900	522,126,800
<b>TOTAL FUNDS EXPENDED</b>	<u>567,719,700</u>	<u>523,636,200</u>	<u>568,558,800</u>
APPROPRIATIONS	0	0	0
TRANSFERS	6,700	0	0
BALANCE FORWARD	94,297,600	87,940,600	71,479,200

### ARIZONA HIGHWAYS MAGAZINE ENTERPRISE (DTA2031)

A.R.S. § 28-1884

**Source of Revenue:** The fund consists of monies appropriated by the Legislature from the State Highway Fund, not to exceed \$500,000 annually. Monies are received by sales of subscriptions, maps, pamphlets and other materials, and interest earnings.

**Purpose of Fund:** Provides for production and sales of subscriptions, maps, pamphlets, etc. Remaining balances in this revolving fund at the end of a fiscal year shall not revert to the State General or State Highway Funds and expenditures are exempt from provision of A.R.S. § 35-173, "Allotment of appropriations' limitations' exceptions."

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	5,453,200	5,779,300	5,325,900
Revenue	12,538,600	12,028,900	12,454,300
<b>TOTAL FUNDS AVAILABLE</b>	<u>17,991,800</u>	<u>17,808,200</u>	<u>17,780,200</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	83.0	83.0	83.0
Operating Subtotal	12,212,500	12,482,300	12,571,800
<b>TOTAL FUNDS EXPENDED</b>	<u>12,212,500</u>	<u>12,482,300</u>	<u>12,571,800</u>
<b>BALANCE FORWARD</b>	5,779,300	5,325,900	5,208,400

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF TRANSPORTATION (Continued)**

<b>AVIATION FEDERAL FUNDS (DTA2267)</b>	<b>A.R.S. § 28-108</b>
<b>Source of Revenue:</b> Federal Grants.	
<b>Purpose of Fund:</b> To develop Grand Canyon Airport; to update the State Aviation System Plan; to conduct FAA Airport Inspection Program.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	0
Revenue	1,006,600	1,210,000	2,110,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,006,600</u>	<u>1,210,000</u>	<u>2,110,000</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	210,000	10,000	10,000
Land Acquisition and Capital Projects	796,600	1,200,000	2,100,000
<b>TOTAL FUNDS EXPENDED</b>	<u>1,006,600</u>	<u>1,210,000</u>	<u>2,110,000</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0

<b>ECONOMIC STRENGTH PROJECT (DTA2244)</b>	<b>A.R.S. § 28-1895.01</b>
<b>Source of Revenue:</b> The fund receives \$1,000,000 each June 15 distributed by the department from the Highway User Revenue Fund and interest from investment of inactive balances.	
<b>Purpose of Fund:</b> The fund provides monies for economic strength highway projects recommended by the Commerce and Economic Development Commission and approved by the State Transportation Board. Monies remaining in the Economic Strength Project Fund at the end of a fiscal year do not revert to the State General Fund.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	1,473,100	1,447,700	1,447,700
Revenue	1,086,500	1,100,000	1,100,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,559,600</u>	<u>2,547,700</u>	<u>2,547,700</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF TRANSPORTATION (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>0</u>	<u>0</u>	<u>0</u>
Economic Strength Highway Projects	1,111,900	1,100,000	1,100,000
TOTAL FUNDS EXPENDED	<u>1,111,900</u>	<u>1,100,000</u>	<u>1,100,000</u>
 BALANCE FORWARD	 1,447,700	 1,447,700	 1,447,700

**EQUIPMENT REVOLVING (DTA2071)**

**A.R.S. § 28-1831**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** The fund consists of monies appropriated by the Legislature (for purchase, repairs and maintenance); equipment rental; sale at auction; insurance recoveries; donations and interest earnings.

**Purpose of Fund:** Provides for ownership, maintenance, service or repair of equipment and consumable material including salaries/benefits. Remaining balances in this revolving fund at the end of a fiscal year shall not revert to the State General or State Highway Funds.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	7,961,300	7,108,000	6,666,400
Revenue	<u>25,253,300</u>	<u>26,000,200</u>	<u>27,575,200</u>
TOTAL FUNDS AVAILABLE	33,214,600	33,108,200	34,241,600
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	229.0	232.0	232.0
Operating Subtotal	<u>26,106,600</u>	<u>26,441,800</u>	<u>27,596,000</u>
TOTAL FUNDS EXPENDED	<u>26,106,600</u>	<u>26,441,800</u>	<u>27,596,000</u>
 BALANCE FORWARD	 7,108,000	 6,666,400	 6,645,600

**FEDERAL GRANTS (DTA2097)**

**A.R.S. § 28-108**

**Source of Revenue:** Federal Grants, other than Aviation Federal Grants.

**Purpose of Fund:** Federal highway construction; fatal accident reporting; purchase of equipment for urban mass transit; assistance to elderly and handicapped; rural public transit; other planning; technical studies; rail planning/replacement of cross ties; highway statistical reporting; commercial driver's license; youth safety; library updates; and pupil transportation.

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF TRANSPORTATION (Continued)

### FEDERAL GRANTS (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	0
Revenue	272,464,100	212,808,500	230,022,700
<b>TOTAL FUNDS AVAILABLE</b>	<u>272,464,100</u>	<u>212,808,500</u>	<u>230,022,700</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	2.5	5.5	6.0
Operating Subtotal	4,034,200	4,308,500	4,322,700
Land Acquisition and Capital Projects	166,575,100	172,500,000	190,000,000
Pass Through (to Non-St. Agencies)/Unclassified Aid	101,854,800	36,000,000	35,700,000
<b>TOTAL FUNDS EXPENDED</b>	<u>272,464,100</u>	<u>212,808,500</u>	<u>230,022,700</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0

### MARICOPA REGIONAL AREA ROAD (DTA2029)

**A.R.S. § 28-1594.01**

**Source of Revenue:** The fund consists of all transportation excise taxes collected pursuant to A.R.S. § 42-1482 and A.R.S. § 42-1482.01 that are designated for deposit in the Regional Area Road Fund in a county with a population of 1.2 million or more, proceeds from the sale of bonds, rents, and interest earnings.

**Purpose of Fund:** The monies in the bond proceeds and construction account may be obligated or expended with certain restrictions for bond related expenses and for the design, purchase of right-of-way or construction of controlled access highways which are included in the county's regional transportation plan and accepted into the state highway system.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	125,569,200	79,192,300	73,870,300
Revenue	207,627,300	262,399,000	277,100,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>333,196,500</u>	<u>341,591,300</u>	<u>350,970,300</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	1.0	1.0	1.0
Operating Subtotal	85,700	86,100	87,200
Construction	107,639,800	129,830,900	155,011,800
Regional Transportation System	6,587,200	6,804,000	7,015,000
Debt Service	139,691,500	131,000,000	131,200,000
<b>TOTAL FUNDS EXPENDED</b>	<u>254,004,200</u>	<u>267,721,000</u>	<u>293,314,000</u>
 <b>BALANCE FORWARD</b>	 79,192,300	 73,870,300	 57,656,300



**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF TRANSPORTATION (Continued)**

**MOTOR CARRIER SAFETY REVOLVING (DTA2380)**

**A.R.S. § 28-2415**

**Source of Revenue:** The fund consists of monies appropriated by the Legislature; fines; forfeitures; fees and taxes deposited pursuant to A.R.S. Title 28, Chapter 19, "Motor Carrier Safety" which applies to all manufacturers, shippers, motor carriers and drivers who transport or cause the transportation of hazardous material, substances or waste; and monies received from private grants or donations.

**Purpose of Fund:** ADOT's MVD, the Attorney General, and DPS use the funds to carry out the provisions of the chapter. DPS conducts investigations, MVD administers hearings, and the Attorney General enforces civil penalties. The fund is exempt from A.R.S. § 35-190, relating to lapsing of appropriations.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	25,200	43,400	43,400
Revenue	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>50,200</u>	<u>68,400</u>	<u>68,400</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	100	25,000	25,000
 <b>TOTAL FUNDS EXPENDED</b>	<u>100</u>	<u>25,000</u>	<u>25,000</u>
 <b>TRANSFERS</b>	6,700	0	0
<b>BALANCE FORWARD</b>	43,400	43,400	43,400

**MOTOR VEHICLE LIABILITY INSURANCE ENFORCEMENT (DTA2285)**

**A.R.S. § 28-1262.J**

**Source of Revenue:** The fund consists of fees received by the department pursuant to A.R.S. Title 28, Chapter 7, Article 8 (mandatory motor vehicle insurance), such as fees to reinstate drivers' licenses and vehicle registrations canceled due to lack of insurance.

**Purpose of Fund:** The fund provides monies for the department to enforce the provisions of A.R.S. Title 28, Chapter 7, Article 8 regarding mandatory motor vehicle liability insurance.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	599,900	557,100	257,100
Revenue	<u>686,700</u>	<u>686,700</u>	<u>686,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,286,600</u>	<u>1,243,800</u>	<u>943,800</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF TRANSPORTATION (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	19.0	16.0	16.0
Operating Subtotal	<u>729,500</u>	<u>986,700</u>	<u>749,900</u>
 TOTAL FUNDS EXPENDED	 <u>729,500</u>	 <u>986,700</u>	 <u>749,900</u>
 BALANCE FORWARD	 557,100	 257,100	 193,900

**SPECIAL ORGANIZATION PLATES (DTA3731)**

**A.R.S. § 28-373**

**This fund is recommended for elimination.**

**Source of Revenue:** The fund consists of application fees for special organizational plates and any interest earnings on the monies.

**Purpose of Fund:** The fund is used to refund application fees for a particular organization plate if too few people apply to pay for the production and program costs of the plates. If the plates are issued, \$8 of each fee is transmitted to the County Auto License Fund established by A.R.S. § 28-301.03 to cover administrative costs. The fund is exempt from the provisions of A.R.S. § 35-190 relating to lapsing of appropriations.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS AVAILABLE	<u>0</u>	<u>0</u>	<u>0</u>
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>
 BALANCE FORWARD	 0	 0	 0

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF TRANSPORTATION (Continued)**

**UNDERGROUND STORAGE TANK REVOLVING (DTA2030)**

**A.R.S. § 28-1599.45.G**

**Source of Revenue:** The fund consists of Underground Storage Tank Taxes paid by persons liable for motor/aviation fuel taxes; or persons who refine, manufacture or produce compounds, blend or import diesel (liquid petroleum).

**Purpose of Fund:** Per subsections A and B of A.R.S. § 28-1599.45, "the director acts only as a collecting agent for the director of the department of environmental quality and assumes no responsibility or the underlying tax liability." The department retains only administrative costs as determined by an intergovernmental agreement between the Arizona Department of Transportation and the Department of Environmental Quality.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	128,100	167,700	167,700
Revenue	<u>123,800</u>	<u>120,900</u>	<u>123,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	251,900	288,600	291,200
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>4.0</u>	<u>4.0</u>	<u>4.0</u>
Operating Subtotal	84,200	120,900	123,500
 <b>TOTAL FUNDS EXPENDED</b>	<u>84,200</u>	<u>120,900</u>	<u>123,500</u>
  <b>BALANCE FORWARD</b>	167,700	167,700	167,700

**VEHICLE INSPECTION AND TITLE ENFORCEMENT (DTA2272)**

**A.R.S. § 28-303.03**

**Source of Revenue:** The fund consists of fees of \$20 and \$50 for performing more detailed level 2 and level 3 inspections of vehicle identification numbers, before issuing restored salvage titles on repaired salvage and similar vehicles.

**Purpose of Fund:** The fund is to defray the cost of investigations involving certificates of title, licensing fraud, registration enforcement and other enforcement related issues.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	2,100	162,100
Revenue	<u>2,100</u>	<u>900,000</u>	<u>900,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	2,100	902,100	1,062,100

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**Fiscal Year 1998 Non-Appropriated Funds**

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**DEPARTMENT OF TRANSPORTATION (Continued)**

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	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	0.0	0.0	12.0
Operating Subtotal	<u>0</u>	<u>740,000</u>	<u>945,900</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>740,000</u>	 <u>945,900</u>
 BALANCE FORWARD	 2,100	 162,100	 116,200

**UNIVERSITIES  
(UNV)**

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA BOARD OF REGENTS**

**Agency Summary of Combined Funds**

**ANALYST: John Lee**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	334,300	419,300	381,800
Revenue	3,347,900	3,065,900	3,065,900
Transfer In: from University Local Funds	<u>409,300</u>	<u>469,300</u>	<u>469,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>4,091,500</b>	<b>3,954,500</b>	<b>3,917,000</b>
 <b>TOTAL FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>3.0</u>	<u>6.0</u>	<u>7.0</u>
Operating Subtotal	400,300	534,800	563,200
Special Line Items Total	<u>938,400</u>	<u>807,400</u>	<u>764,500</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>1,338,700</b>	<b>1,342,200</b>	<b>1,327,700</b>
 TRANSFERS OUT	2,333,500	2,230,500	2,216,000
BALANCE FORWARD	419,300	381,800	373,300

**ARIZONA BOARD OF REGENTS**

**Agency Summary of Local Funds**

**ANALYST: John Lee**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	334,300	419,300	381,800
Revenue	2,569,100	2,415,800	2,415,800
Transfer In: from University Local Funds	<u>409,300</u>	<u>469,300</u>	<u>469,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>3,312,700</b>	<b>3,304,400</b>	<b>3,266,900</b>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>3.0</u>	<u>6.0</u>	<u>7.0</u>
Operating Subtotal	379,100	517,200	545,600
Special Line Items Total	<u>180,800</u>	<u>174,900</u>	<u>132,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>559,900</b>	<b>692,100</b>	<b>677,600</b>
 TRANSFERS OUT	2,333,500	2,230,500	2,216,000
BALANCE FORWARD	419,300	381,800	373,300

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA BOARD OF REGENTS (Continued)**

<b>COLLECTIONS REVOLVING (BRA2183)</b>	<b>A.R.S. § 15-1746</b>
<b>Source of Revenue:</b> Retention of Western Interstate Commission for Higher Education (WICHE) repayments.	
<b>Purpose of Fund:</b> A forward funding mechanism for new WICHE students entering participating professional schools.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	10,900	9,900	9,900
Revenue	<u>(1,000)</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>9,900</b>	<b>9,900</b>	<b>9,900</b>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>BALANCE FORWARD</b>	<b>9,900</b>	<b>9,900</b>	<b>9,900</b>

<b>REGENTS LOCAL FUND (ASU Account)</b>	<b>A.R.S. § 15-1621</b>
<b>Source of Revenue:</b> University Local Funds	
<b>Purpose of Fund:</b> The Arizona Board of Regents supplements its operating budget with the local fund contributions from the 3 universities.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	226,500	352,000	371,900
Revenue	95,300	67,800	67,800
Transfer In: from University Local Funds	<u>409,300</u>	<u>469,300</u>	<u>469,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>731,100</b>	<b>889,100</b>	<b>909,000</b>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>3.0</u>	<u>6.0</u>	<u>7.0</u>
Operating Subtotal	<u>379,100</u>	<u>517,200</u>	<u>545,600</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>379,100</u>	<u>517,200</u>	<u>545,600</u>
<b>BALANCE FORWARD</b>	<b>352,000</b>	<b>371,900</b>	<b>363,400</b>

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA BOARD OF REGENTS (Continued)**

<b>UNIVERSITY LAND FUNDS (BRA3134/3184)</b>	<b>A.R.S. § 15-1662</b>
<b>Source of Revenue:</b> University Land Fund; Normal Schools Land Fund; Agricultural and Mechanical Colleges Land Fund; Military Institutes Land Fund; and University Matching Grant Fund.	
<b>Purpose of Fund:</b> The funds are distributed to universities for the use of the universities.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	96,900	57,400	0
Revenue	<u>2,474,800</u>	<u>2,348,000</u>	<u>2,348,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	2,571,700	2,405,400	2,348,000
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Eminent Scholar Matching Grant	<u>180,800</u>	<u>174,900</u>	<u>132,000</u>
<b>TOTAL FUNDS EXPENDED</b>	180,800	174,900	132,000
<b>TRANSFER TO UNIVERSITIES</b>	2,333,500	2,230,500	2,216,000
<b>BALANCE FORWARD</b>	57,400	0	0

<b>FEDERAL (No Fund Number)</b>	<b>A.R.S. § 15-1620</b>
<b>Source of Revenue:</b> Federal Grants: State Student Incentive Grant (SSIG), Paul Douglas Teacher Scholarship, Eisenhower Math/Science Education.	
<b>Purpose of Fund:</b> To provide financial assistance to students who have demonstrated financial need (SSIG), seeking teaching professions (Paul Douglas), and assistance to enhance teachers' math and science skills.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	0
Revenue	<u>778,800</u>	<u>650,100</u>	<u>650,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	778,800	650,100	650,100
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>21,200</u>	<u>17,600</u>	<u>17,600</u>
Grants	<u>757,600</u>	<u>632,500</u>	<u>632,500</u>
<b>TOTAL FUNDS EXPENDED</b>	778,800	650,100	650,100
<b>BALANCE FORWARD</b>	0	0	0



**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - MAIN CAMPUS**

**Agency Summary of Combined Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	80,639,100	96,389,500	98,154,200
Revenue	<u>249,221,900</u>	<u>244,909,500</u>	<u>253,505,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>329,861,000</u>	<u>341,299,000</u>	<u>351,659,900</u>
 <b>TOTAL FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>2,184.1</u>	<u>2,184.1</u>	<u>2,184.1</u>
Operating Subtotal	<u>201,343,200</u>	<u>209,921,600</u>	<u>218,400,200</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>201,343,200</u>	<u>209,921,600</u>	<u>218,400,200</u>
 TRANSFERS	32,128,300	33,223,200	33,739,400
BALANCE FORWARD	96,389,500	98,154,200	99,520,300

**ARIZONA STATE UNIVERSITY - MAIN CAMPUS**

**Agency Summary of Local Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	79,432,700	95,808,600	97,079,200
Revenue	<u>168,619,700</u>	<u>167,193,600</u>	<u>172,852,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>248,052,400</u>	<u>263,002,200</u>	<u>269,931,900</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>1,450.5</u>	<u>1,450.5</u>	<u>1,450.5</u>
Operating Subtotal	<u>135,446,400</u>	<u>143,884,200</u>	<u>149,892,800</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>135,446,400</u>	<u>143,884,200</u>	<u>149,892,800</u>
 TRANSFERS	16,797,400	22,038,800	22,107,600
BALANCE FORWARD	95,808,600	97,079,200	97,931,500

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - MAIN CAMPUS (Continued)**

**AUXILIARY (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The source of revenue is derived from sales and services from substantially self-supporting activities.

**Purpose of Fund:** The purpose of the fund is to account for transactions of substantially self-supporting activities that primarily provide services for students, faculty, and staff. Auxiliary enterprises include, but are not limited to, residence halls, food services, bookstore and intercollegiate athletics.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	4,995,800	8,533,400	7,773,400
Revenue	69,417,800	67,238,400	69,927,900
<b>TOTAL FUNDS AVAILABLE</b>	<u>74,413,600</u>	<u>75,771,800</u>	<u>77,701,300</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	621.9	621.9	621.9
Operating Subtotal	<u>55,582,400</u>	<u>58,482,900</u>	<u>60,822,200</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>55,582,400</u>	<u>58,482,900</u>	<u>60,822,200</u>
 <b>TRANSFERS</b>	10,297,800	9,515,500	9,515,500
<b>BALANCE FORWARD</b>	8,533,400	7,773,400	7,363,600

**DESIGNATED FUNDS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The sources of revenue are tuition and fees retained by the university, summer session fees, administrative costs of student aid, and unrestricted gifts.

**Purpose of Fund:** The purpose of the fund is primarily to account for transactions related to academic year tuition and fees retained by the university, the summer sessions programs, the recovery of administrative costs of student aid, and the use of unrestricted gifts. The resources in this fund have been designated for specific purposes by the university. Indirect cost recovery, which is part of designated funds, is presented separately.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	35,190,700	40,098,400	40,100,000
Revenue	69,011,900	68,753,900	70,816,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>104,202,600</u>	<u>108,852,300</u>	<u>110,916,500</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - MAIN CAMPUS (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	568.6	568.6	568.6
Operating Subtotal	<u>51,369,300</u>	<u>56,017,400</u>	<u>58,818,300</u>
 TOTAL FUNDS EXPENDED	 <u>51,369,300</u>	 <u>56,017,400</u>	 <u>58,818,300</u>
 TRANSFERS	 12,734,900	 12,734,900	 12,734,900
BALANCE FORWARD	40,098,400	40,100,000	39,363,300

**ENDOWMENT AND LIFE INCOME (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The primary sources of revenue are gifts, investment income, and financial aid trust fund fees assessed to students.

**Purpose of Fund:** Permanent endowment funds are subject to the restrictions of donor gift instrument requiring that the principal be invested in perpetuity and that only the income be used for current operations. Term endowments provide that, upon the passage of a stated period of time, all or part of the principal may be expended. Quasi-endowments have been established by the university for the same purposes as endowment funds. Life income funds are used to account for cash or other property contributed to the university subject to the requirements that the university periodically pay the income earned on such assets to designated beneficiaries.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	23,283,300	30,864,600	32,732,300
Revenue	<u>1,849,200</u>	<u>1,867,700</u>	<u>1,886,400</u>
TOTAL FUNDS AVAILABLE	<u>25,132,500</u>	<u>32,732,300</u>	<u>34,618,700</u>
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>
 TRANSFERS	 (5,732,100)	 0	 0
BALANCE FORWARD	30,864,600	32,732,300	34,618,700

**INDIRECT COST RECOVERY - NON-FEDERAL (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Indirect costs recovered from non-federal sponsored research programs.

**Purpose of Fund:** To account for transactions designated for various activities that assist or promote sponsored research.

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - MAIN CAMPUS (Continued)**

**INDIRECT COST RECOVERY - NON-FEDERAL (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	230,900	137,300	213,700
Revenue	<u>1,711,200</u>	<u>1,817,800</u>	<u>1,890,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,942,100</u>	<u>1,955,100</u>	<u>2,104,200</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>370,600</u>	<u>22,100</u>	<u>23,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>370,600</u>	<u>22,100</u>	<u>23,000</u>
<b>TRANSFERS</b>	1,434,200	1,719,300	1,788,100
<b>BALANCE FORWARD</b>	137,300	213,700	293,100

**LOAN (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The source of revenue is primarily interest on student loans for programs funded by the federal government.

**Purpose of Fund:** The purpose of the fund is to account for loans to students. Provisions of the federal loan program stipulate that: The university match one-third of the capital contributions; and a portion of the loan principal and interest (ranging from 15% to 30% per year) can be canceled and absorbed by the federal government, if the recipient completes certain employment requirements.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	13,226,000	13,083,100	12,909,000
Revenue	<u>643,200</u>	<u>489,900</u>	<u>494,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>13,869,200</u>	<u>13,573,000</u>	<u>13,403,800</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>797,600</u>	<u>669,000</u>	<u>675,700</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>797,600</u>	<u>669,000</u>	<u>675,700</u>
<b>TRANSFERS</b>	(11,500)	(5,000)	(5,000)
<b>BALANCE FORWARD</b>	13,083,100	12,909,000	12,733,100

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - MAIN CAMPUS (Continued)**

**RESTRICTED FUNDS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The primary source of revenues are grants from private donors and non-federal agencies.

**Purpose of Fund:** The purpose of the fund is to account for current funds expended for operating purposes but restricted by donors or non-federal agencies as to the specific purpose for which they may be expended.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	2,506,000	3,091,800	3,350,800
Revenue	<u>25,986,400</u>	<u>27,025,900</u>	<u>27,836,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>28,492,400</u>	<u>30,117,700</u>	<u>31,187,400</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>260.0</u>	<u>260.0</u>	<u>260.0</u>
Operating Subtotal	<u>27,326,500</u>	<u>28,692,800</u>	<u>29,553,600</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>27,326,500</u>	<u>28,692,800</u>	<u>29,553,600</u>
 <b>TRANSFERS</b>	(1,925,900)	(1,925,900)	(1,925,900)
<b>BALANCE FORWARD</b>	3,091,800	3,350,800	3,559,700

**ARIZONA STATE UNIVERSITY - MAIN CAMPUS**

**Agency Summary of Federal Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	1,206,400	580,900	1,075,000
Revenue	<u>80,602,200</u>	<u>77,715,900</u>	<u>80,653,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>81,808,600</u>	<u>78,296,800</u>	<u>81,728,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>733.6</u>	<u>733.6</u>	<u>733.6</u>
Operating Subtotal	<u>65,896,800</u>	<u>66,037,400</u>	<u>68,507,400</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>65,896,800</u>	<u>66,037,400</u>	<u>68,507,400</u>
 <b>TRANSFERS</b>	15,330,900	11,184,400	11,631,800
<b>BALANCE FORWARD</b>	580,900	1,075,000	1,588,800

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - MAIN CAMPUS (Continued)**

**FEDERAL GRANTS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The source of revenue is grants from the federal government.

**Purpose of Fund:** The purpose of the fund is to account for current operating funds restricted in use by the federal government.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	167,300	150,500	147,500
Revenue	69,470,600	65,890,700	68,354,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>69,637,900</u>	<u>66,041,200</u>	<u>68,502,300</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	733.6	733.6	733.6
Operating Subtotal	<u>63,486,000</u>	<u>65,893,700</u>	<u>68,358,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>63,486,000</u>	<u>65,893,700</u>	<u>68,358,000</u>
<b>TRANSFERS</b>	6,001,400	0	0
<b>BALANCE FORWARD</b>	150,500	147,500	144,300

**FEDERAL INDIRECT COST RECOVERY (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The source of revenue is indirect costs recovered from federal sponsored research programs.

**Purpose of Fund:** The purpose of the fund is to account for transactions designated for various activities that assist or promote sponsored research.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	1,039,100	430,400	927,500
Revenue	11,131,600	11,825,200	12,298,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>12,170,700</u>	<u>12,255,600</u>	<u>13,225,700</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>2,410,800</u>	<u>143,700</u>	<u>149,400</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>2,410,800</u>	<u>143,700</u>	<u>149,400</u>
<b>TRANSFERS</b>	9,329,500	11,184,400	11,631,800
<b>BALANCE FORWARD</b>	430,400	927,500	1,444,500

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - EAST CAMPUS**

**Agency Summary of Combined Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	300	2,400	9,500
Revenue	<u>9,700</u>	<u>2,469,700</u>	<u>2,633,200</u>
TOTAL FUNDS AVAILABLE	<u>10,000</u>	<u>2,472,100</u>	<u>2,642,700</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>19.6</u>	<u>20.9</u>
Operating Subtotal	<u>7,600</u>	<u>2,462,600</u>	<u>2,626,000</u>
TOTAL FUNDS EXPENDED	<u>7,600</u>	<u>2,462,600</u>	<u>2,626,000</u>
BALANCE FORWARD	2,400	9,500	16,700

**ARIZONA STATE UNIVERSITY - EAST CAMPUS**

**Agency Summary of Local Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	300	2,400	9,500
Revenue	<u>9,700</u>	<u>1,382,700</u>	<u>1,483,200</u>
TOTAL FUNDS AVAILABLE	<u>10,000</u>	<u>1,385,100</u>	<u>1,492,700</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>10.6</u>	<u>11.3</u>
Operating Subtotal	<u>7,600</u>	<u>1,375,600</u>	<u>1,476,000</u>
TOTAL FUNDS EXPENDED	<u>7,600</u>	<u>1,375,600</u>	<u>1,476,000</u>
BALANCE FORWARD	2,400	9,500	16,700

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - EAST CAMPUS (Continued)**

**DESIGNATED FUNDS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The sources of revenue are tuition and fees retained by the university, summer session fees, administrative costs of student aid, and unrestricted gifts.

**Purpose of Fund:** The purpose of the fund is primarily to account for transactions related to academic year tuition and fees retained by the university, the summer session programs, the recovery of administrative costs of student aid, and the use of unrestricted gifts. The resources in this fund have been designated for specific purposes by the university.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	300	1,600	1,600
Revenue	<u>8,900</u>	<u>114,800</u>	<u>118,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>9,200</u>	<u>116,400</u>	<u>120,400</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>7,600</u>	<u>114,800</u>	<u>118,800</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>7,600</u>	<u>114,800</u>	<u>118,800</u>
  <b>BALANCE FORWARD</b>	  1,600	  1,600	  1,600

**ENDOWMENT AND LIFE INCOME (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Student fees set aside in a permanent endowment.

**Purpose of Fund:** To accumulate a pool of funds so that future earnings can be used for financial aid.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	400	7,500
Revenue	<u>400</u>	<u>7,100</u>	<u>7,200</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>400</u>	<u>7,500</u>	<u>14,700</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>
  <b>BALANCE FORWARD</b>	  400	  7,500	  14,700



**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - EAST CAMPUS (Continued)**

**RESTRICTED FUNDS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The source of revenue is primarily the state appropriated match for the Financial Aid Trust Fund and non-federal grants and contracts.

**Purpose of Fund:** The purpose of the fund is to account for current funds expended for operating purposes but restricted for current financial aid or other purposes specified by donors.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	400	400
Revenue	400	1,260,800	1,357,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>400</u>	<u>1,261,200</u>	<u>1,357,600</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	10.6	11.3
Operating Subtotal	<u>0</u>	<u>1,260,800</u>	<u>1,357,200</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>1,260,800</u>	<u>1,357,200</u>
 <b>BALANCE FORWARD</b>	 400	 400	 400

**FEDERAL GRANTS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The source of revenue is grants from the federal government.

**Purpose of Fund:** The purpose of the fund is to account for current operating funds restricted in use by the federal government.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	0
Revenue	0	1,087,000	1,150,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>1,087,000</u>	<u>1,150,000</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	9.0	9.6
Operating Subtotal	<u>0</u>	<u>1,087,000</u>	<u>1,150,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>1,087,000</u>	<u>1,150,000</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - WEST CAMPUS**

**Agency Summary of Combined Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	1,475,200	1,885,600	2,238,800
Revenue	<u>3,605,000</u>	<u>3,802,900</u>	<u>3,961,400</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>5,080,200</u>	<u>5,688,500</u>	<u>6,200,200</u>
 <b>TOTAL FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>35.5</u>	<u>36.5</u>	<u>36.5</u>
Operating Subtotal	<u>2,999,600</u>	<u>3,245,800</u>	<u>3,459,600</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>2,999,600</u>	<u>3,245,800</u>	<u>3,459,600</u>
 TRANSFERS	195,000	203,900	212,700
BALANCE FORWARD	1,885,600	2,238,800	2,527,900

**ARIZONA STATE UNIVERSITY - WEST CAMPUS**

**Agency Summary of Local Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	1,468,200	1,881,500	2,232,400
Revenue	<u>2,902,800</u>	<u>3,071,400</u>	<u>3,200,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>4,371,000</u>	<u>4,952,900</u>	<u>5,433,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>24.2</u>	<u>25.2</u>	<u>25.2</u>
Operating Subtotal	<u>2,336,900</u>	<u>2,567,400</u>	<u>2,754,100</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>2,336,900</u>	<u>2,567,400</u>	<u>2,754,100</u>
 TRANSFERS	152,600	153,100	159,900
BALANCE FORWARD	1,881,500	2,232,400	2,519,000

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - WEST CAMPUS (Continued)**

<b>AUXILIARY (No Fund Number)</b>	<b>A.R.S. § 15-1601</b>
<b>Source of Revenue:</b> The source of revenue is derived from sales and services from substantially self-supporting activities.	
<b>Purpose of Fund:</b> The purpose of the fund is to account for transactions of substantially self-supporting activities that primarily provide services for students, faculty, and staff.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	385,000	472,000	540,500
Revenue	620,300	651,300	683,900
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,005,300</u>	<u>1,123,300</u>	<u>1,224,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	4.3	4.8	4.8
Operating Subtotal	<u>310,200</u>	<u>359,700</u>	<u>395,700</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>310,200</u>	<u>359,700</u>	<u>395,700</u>
<b>TRANSFERS</b>	223,100	223,100	229,800
<b>BALANCE FORWARD</b>	472,000	540,500	598,900

<b>DESIGNATED FUNDS (No Fund Number)</b>	<b>A.R.S. § 15-1601</b>
<b>Source of Revenue:</b> The sources of revenue are primarily summer sessions fees, tuition and fees retained by the university, and miscellaneous local funds.	
<b>Purpose of Fund:</b> The purpose of the fund is primarily to account for transactions related to summer session and other miscellaneous local funds that have been designated for specific purposes by the university.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	815,900	1,075,300	1,288,100
Revenue	2,026,600	2,152,200	2,238,300
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,842,500</u>	<u>3,227,500</u>	<u>3,526,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	18.9	19.5	19.5
Operating Subtotal	<u>1,840,200</u>	<u>2,012,400</u>	<u>2,153,300</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>1,840,200</u>	<u>2,012,400</u>	<u>2,153,300</u>
<b>TRANSFERS</b>	(73,000)	(73,000)	(73,000)
<b>BALANCE FORWARD</b>	1,075,300	1,288,100	1,446,100

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - WEST CAMPUS (Continued)**

<b>ENDOWMENT AND LIFE INCOME (No Fund Number)</b>	<b>A.R.S. § 15-1601</b>
<b>Source of Revenue:</b> Student fees set aside in a permanent endowment.	
<b>Purpose of Fund:</b> To accumulate a pool of funds so that future earnings can be used for financial aid.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	264,000	329,900	396,500
Revenue	65,900	66,600	67,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>329,900</u>	<u>396,500</u>	<u>463,700</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>BALANCE FORWARD</b>	329,900	396,500	463,700

<b>INDIRECT COST RECOVERY - NON-FEDERAL (No Fund Number)</b>	<b>A.R.S. § 15-1601</b>
<b>Source of Revenue:</b> Indirect costs recovered from non-federal sponsored research programs.	
<b>Purpose of Fund:</b> To account for transactions designated for various activities that assist or promote sponsored research at the university.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	200
Revenue	3,000	3,200	3,300
<b>TOTAL FUNDS AVAILABLE</b>	<u>3,000</u>	<u>3,200</u>	<u>3,500</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	500	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>500</u>	<u>0</u>	<u>0</u>
<b>TRANSFERS</b>	2,500	3,000	3,100
<b>BALANCE FORWARD</b>	0	200	400

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - WEST CAMPUS (Continued)**

<b>LOAN (No Fund Number)</b>	<b>A.R.S. § 15-1601</b>
<b>Source of Revenue:</b> The source of revenue is primarily donations for short-term loan programs.	
<b>Purpose of Fund:</b> The purpose of the fund is to provide short-term loans to students at the West Campus.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	2,300	4,300	6,300
Revenue	2,000	2,000	2,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>4,300</u>	<u>6,300</u>	<u>8,300</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>
<b>BALANCE FORWARD</b>	4,300	6,300	8,300

<b>RESTRICTED FUNDS (No Fund Number)</b>	<b>A.R.S. § 15-1601</b>
<b>Source of Revenue:</b> The source of revenue is primarily the state appropriated match for the Financial Aid Trust Fund and non-federal grants and contracts.	
<b>Purpose of Fund:</b> The purpose of the fund is to account for current funds expended for operating purposes but restricted for current financial aid or other purposes specified by donors.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	1,000	0	800
Revenue	185,000	196,100	205,900
<b>TOTAL FUNDS AVAILABLE</b>	<u>186,000</u>	<u>196,100</u>	<u>206,700</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
Operating Subtotal	<u>186,000</u>	<u>195,300</u>	<u>205,100</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>186,000</u>	<u>195,300</u>	<u>205,100</u>
<b>BALANCE FORWARD</b>	0	800	1,600

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - WEST CAMPUS (Continued)**

**ARIZONA STATE UNIVERSITY - WEST CAMPUS**

**Agency Summary of Federal Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	7,000	4,100	6,400
Revenue	702,200	731,500	760,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>709,200</u>	<u>735,600</u>	<u>767,200</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>11.3</u>	<u>11.3</u>	<u>11.3</u>
Operating Subtotal	662,700	678,400	705,500
 <b>TOTAL FUNDS EXPENDED</b>	<u>662,700</u>	<u>678,400</u>	<u>705,500</u>
 TRANSFERS	42,400	50,800	52,800
BALANCE FORWARD	4,100	6,400	8,900

**FEDERAL GRANTS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** The source of revenue is grants from the federal government.

**Purpose of Fund:** The purpose of the fund is to account for current funds expended for operating purposes but restricted as to use by the federal government agencies. Operating expenditures include indirect cost recovery charges.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	0
Revenue	651,600	677,700	704,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>651,600</u>	<u>677,700</u>	<u>704,800</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>11.3</u>	<u>11.3</u>	<u>11.3</u>
Operating Subtotal	651,600	677,700	704,800
 <b>TOTAL FUNDS EXPENDED</b>	<u>651,600</u>	<u>677,700</u>	<u>704,800</u>
  BALANCE FORWARD	0	0	0

**Fiscal Year 1998 Non-Appropriated Funds**

**ARIZONA STATE UNIVERSITY - WEST CAMPUS (Continued)**

**FEDERAL INDIRECT COST RECOVERY (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Indirect costs recovered from sponsored federal research programs.

**Purpose of Fund:** To account for transactions designated for various activities that assist or promote sponsored research at the university.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	7,000	4,100	6,400
Revenue	<u>50,600</u>	<u>53,800</u>	<u>56,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>57,600</u>	<u>57,900</u>	<u>62,400</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>11,100</u>	<u>700</u>	<u>700</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>11,100</u>	<u>700</u>	<u>700</u>
 <b>TRANSFERS</b>	42,400	50,800	52,800
<b>BALANCE FORWARD</b>	4,100	6,400	8,900

**Fiscal Year 1998 Non-Appropriated Funds**

**NORTHERN ARIZONA UNIVERSITY**

**Agency Summary of Combined Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	34,305,100	38,166,400	37,003,800
Revenue	101,274,700	102,571,100	106,339,100
Transfers In	49,575,300	17,417,200	18,351,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>185,155,100</u>	<u>158,154,700</u>	<u>161,694,400</u>
 <b>TOTAL FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>727.0</u>	<u>750.0</u>	<u>800.0</u>
Operating Subtotal	<u>89,310,100</u>	<u>92,498,800</u>	<u>95,785,200</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>89,310,100</u>	<u>92,498,800</u>	<u>95,785,200</u>
 <b>TRANSFERS OUT</b>	57,678,600	28,652,100	29,094,700
<b>BALANCE FORWARD</b>	38,166,400	37,003,800	36,814,500

**NORTHERN ARIZONA UNIVERSITY**

**Agency Summary of Local Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	33,580,200	37,446,800	36,419,100
Revenue	75,661,000	76,446,300	79,363,100
Transfers In	54,296,500	22,256,500	23,335,800
Other Funds	(50,000)	(39,800)	(41,000)
<b>TOTAL FUNDS AVAILABLE</b>	<u>163,487,700</u>	<u>136,109,800</u>	<u>139,077,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>547.0</u>	<u>562.0</u>	<u>606.0</u>
Operating Subtotal	<u>69,429,700</u>	<u>71,699,800</u>	<u>74,310,400</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>69,429,700</u>	<u>71,699,800</u>	<u>74,310,400</u>
 <b>TRANSFERS OUT</b>	56,611,200	27,990,900	28,365,400
<b>BALANCE FORWARD</b>	37,446,800	36,419,100	36,401,200



**Fiscal Year 1998 Non-Appropriated Funds**

**NORTHERN ARIZONA UNIVERSITY (Continued)**

<b>AUXILIARY ENTERPRISES (No Fund Number)</b>	<b>A.R.S. § 15-1601</b>
<b>Source of Revenue:</b> Revenues primarily from sales and services through substantially self-supporting activities.	
<b>Purpose of Fund:</b> The Auxiliary Enterprises Fund accounts for transactions of substantially self-supporting, noneducational activities that primarily provide a service to students, faculty, staff, and the public. Auxiliary enterprises include student housing, bookstores, student unions, intercollegiate athletics, internal service operations and others.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	10,994,700	12,887,900	12,066,200
Revenue	35,055,600	36,714,200	37,815,600
Transfers In	31,320,500	3,691,700	3,802,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>77,370,800</u>	<u>53,293,800</u>	<u>53,684,300</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	235.0	252.0	260.0
Operating Subtotal	<u>30,886,300</u>	<u>33,424,100</u>	<u>34,426,900</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>30,886,300</u>	<u>33,424,100</u>	<u>34,426,900</u>
<b>TRANSFERS OUT</b>	33,596,600	7,803,500	8,037,600
<b>BALANCE FORWARD</b>	12,887,900	12,066,200	11,219,800

<b>DESIGNATED FUNDS (No Fund Number)</b>	<b>A.R.S. § 15-1601</b>
<b>Source of Revenue:</b> Revenues from summer session fees, tuition and fees retained by the university, and administrative cost recovery from student aid and unrestricted gifts and grants.	
<b>Purpose of Fund:</b> To account for the university's summer session programs, the recovery of administrative programs, the recovery of administrative costs of student aid, and the use of unrestricted gifts and grants.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	8,989,800	10,825,700	10,262,400
Revenue	33,064,000	32,902,900	34,877,100
Transfers In	15,355,600	13,725,500	14,549,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>57,409,400</u>	<u>57,454,100</u>	<u>59,688,500</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**NORTHERN ARIZONA UNIVERSITY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	201.0	233.0	270.0
Operating Subtotal	<u>23,906,200</u>	<u>27,213,100</u>	<u>28,845,700</u>
 TOTAL FUNDS EXPENDED	 <u>23,906,200</u>	 <u>27,213,100</u>	 <u>28,845,700</u>
 TRANSFERS OUT	 22,677,500	 19,978,600	 20,178,400
BALANCE FORWARD	10,825,700	10,262,400	10,664,400

**ENDOWMENT (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Receipts include funds from the state, student fees for the financial aid trust, new donations, and interest earnings.

**Purpose of Fund:** The Endowment Fund consists of endowment and quasi-endowment funds. Endowment funds are subject to restrictions of the donor gift instruments, requiring that the principal be invested in perpetuity and that only the income be utilized.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	4,948,600	5,321,300	5,696,300
Revenue	<u>372,700</u>	<u>375,000</u>	<u>375,000</u>
TOTAL FUNDS AVAILABLE	<u>5,321,300</u>	<u>5,696,300</u>	<u>6,071,300</u>
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>
 BALANCE FORWARD	 5,321,300	 5,696,300	 6,071,300

**Fiscal Year 1998 Non-Appropriated Funds**

**NORTHERN ARIZONA UNIVERSITY (Continued)**

**INDIRECT COST RECOVERY - NON FEDERAL (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Indirect costs recovered from non-federal sponsored research projects and grants.

**Purpose of Fund:** These resources account for transactions related to the summer session programs, the recovery of indirect costs of sponsored research programs, the recovery of administrative programs, the recovery of administrative costs of student aid, and the use of unrestricted gifts and grants.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	204,700	203,000	160,400
Revenue	458,100	446,400	473,100
<b>TOTAL FUNDS AVAILABLE</b>	<u>662,800</u>	<u>649,400</u>	<u>633,500</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	3.0	3.0	3.0
Operating Subtotal	<u>122,700</u>	<u>280,200</u>	<u>297,100</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>122,700</u>	<u>280,200</u>	<u>297,100</u>
 TRANSFERS OUT	337,100	208,800	149,400
BALANCE FORWARD	203,000	160,400	187,000

**LOAN (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Interest earned on student loans for programs funded by the federal government.

**Purpose of Fund:** The Loan Fund, primarily financed by the federal government, is for loans to students. Interest is recorded on an accrual basis. This is a revolving loan fund, and if the program ended, approximately \$5 million would be returned to the federal government.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	6,408,100	6,513,800	6,538,800
Revenue	292,700	300,000	300,000
Transfers In	50,000	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>6,750,800</u>	<u>6,813,800</u>	<u>6,838,800</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>237,000</u>	<u>275,000</u>	<u>275,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>237,000</u>	<u>275,000</u>	<u>275,000</u>
 BALANCE FORWARD	6,513,800	6,538,800	6,563,800

**Fiscal Year 1998 Non-Appropriated Funds**

**NORTHERN ARIZONA UNIVERSITY (Continued)**

**RESTRICTED FUND (Excluding Federal Funds) (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Grants from other than federal sources.

**Purpose of Fund:** The Restricted Fund is used to account for current funds expendable for operating purposes but restricted by donors or other outside agencies as to the specific purposes for which they may be expended.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	2,034,300	1,695,100	1,695,000
Revenue	6,417,900	5,707,800	5,522,300
Transfers In	7,570,400	4,839,300	4,984,300
Other Funds	(50,000)	(39,800)	(41,000)
<b>TOTAL FUNDS AVAILABLE</b>	<u>15,972,600</u>	<u>12,202,400</u>	<u>12,160,600</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>108.0</u>	<u>74.0</u>	<u>73.0</u>
Operating Subtotal	<u>14,277,500</u>	<u>10,507,400</u>	<u>10,465,700</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>14,277,500</u>	<u>10,507,400</u>	<u>10,465,700</u>
<b>BALANCE FORWARD</b>	1,695,100	1,695,000	1,694,900

**NORTHERN ARIZONA UNIVERSITY**

**Agency Summary of Federal Funds**

**ANALYST:** John Lee/Bruce Groll

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	724,900	719,600	584,700
Revenue	25,613,700	26,124,800	26,976,000
Transfers In	(4,721,200)	(4,839,300)	(4,984,300)
Other Funds	50,000	39,800	41,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>21,667,400</u>	<u>22,044,900</u>	<u>22,617,400</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**NORTHERN ARIZONA UNIVERSITY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	180.0	188.0	194.0
Operating Subtotal	<u>19,880,400</u>	<u>20,799,000</u>	<u>21,474,800</u>
 TOTAL FUNDS EXPENDED	 <u>19,880,400</u>	 <u>20,799,000</u>	 <u>21,474,800</u>
 TRANSFERS OUT	 1,067,400	 661,200	 729,300
BALANCE FORWARD	719,600	584,700	413,300

**FEDERAL GRANTS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Federal Grants.

**Purpose of Fund:** Federal grants are used for operating purposes but restricted by federal agencies as to the specific purpose for which they may be expended.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	0	0	0
Revenue	24,163,100	24,711,400	25,477,700
Transfers In	(4,721,200)	(4,839,300)	(4,984,300)
Other Funds	50,000	39,800	41,000
TOTAL FUNDS AVAILABLE	<u>19,491,900</u>	<u>19,911,900</u>	<u>20,534,400</u>
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	173.0	179.0	185.0
Operating Subtotal	<u>19,491,900</u>	<u>19,911,900</u>	<u>20,534,400</u>
 TOTAL FUNDS EXPENDED	 <u>19,491,900</u>	 <u>19,911,900</u>	 <u>20,534,400</u>
  BALANCE FORWARD	  0	  0	  0

**Fiscal Year 1998 Non-Appropriated Funds**

**NORTHERN ARIZONA UNIVERSITY (Continued)**

**FEDERAL INDIRECT COST RECOVERY (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Overhead charges for federal research projects and grants.

**Purpose of Fund:** Overhead charges partially support related administrative costs or research programs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	724,900	719,600	584,700
Revenue	<u>1,450,600</u>	<u>1,413,400</u>	<u>1,498,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,175,500</u>	<u>2,133,000</u>	<u>2,083,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>7.0</u>	<u>9.0</u>	<u>9.0</u>
Operating Subtotal	<u>388,500</u>	<u>887,100</u>	<u>940,400</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>388,500</u>	<u>887,100</u>	<u>940,400</u>
 <b>TRANSFERS OUT</b>	1,067,400	661,200	729,300
<b>BALANCE FORWARD</b>	719,600	584,700	413,300

# **Fiscal Year 1998 Non-Appropriated Funds**

## **UNIVERSITY OF ARIZONA - MAIN CAMPUS**

**Agency Summary of Combined Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	118,952,400	132,777,100	120,268,900
Revenue	413,961,500	406,763,800	419,360,700
Transfers In	26,068,000	16,376,100	16,376,900
<b>TOTAL FUNDS AVAILABLE</b>	<u>558,981,900</u>	<u>555,917,000</u>	<u>556,006,500</u>
 <b>TOTAL FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	3,159.4	3,321.4	3,400.6
Operating Subtotal	<u>366,917,300</u>	<u>383,548,400</u>	<u>380,277,700</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>366,917,300</u>	<u>383,548,400</u>	<u>380,277,700</u>
 <b>TRANSFERS OUT</b>	59,287,500	52,099,700	52,099,700
<b>BALANCE FORWARD</b>	132,777,100	120,268,900	123,629,100

## **UNIVERSITY OF ARIZONA - MAIN CAMPUS**

**Agency Summary of Local Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	101,668,600	116,661,500	117,911,700
Revenue	282,874,800	284,286,700	292,815,400
Transfers In	26,068,000	16,376,100	16,376,900
<b>TOTAL FUNDS AVAILABLE</b>	<u>410,611,400</u>	<u>417,324,300</u>	<u>427,104,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	2,018.9	2,146.4	2,210.7
Operating Subtotal	<u>234,662,400</u>	<u>247,312,900</u>	<u>253,732,400</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>234,662,400</u>	<u>247,312,900</u>	<u>253,732,400</u>
 <b>TRANSFERS OUT</b>	59,287,500	52,099,700	52,099,700
<b>BALANCE FORWARD</b>	116,661,500	117,911,700	121,271,900

## Fiscal Year 1998 Non-Appropriated Funds

### UNIVERSITY OF ARIZONA - MAIN CAMPUS (Continued)

AUXILIARY ENTERPRISE FUND (No Fund Number)	A.R.S. § 15-1601
<p><b>Source of Revenue:</b> Revenues are primarily from sales and services offered to the students, faculty, staff and public, but are not themselves educational activities, including revenues from student housing, bookstores, student union, intercollegiate athletics, and others.</p> <p><b>Purpose of Fund:</b> The Auxiliary Enterprise Funds account for the revenues and expenditures of revenue-producing and substantially self-supporting activities that perform a service to the student body, faculty, and public, but are not themselves educational activities.</p>	

	FY 1996	FY 1997	FY 1998
<b>FUNDS AVAILABLE</b>			
Balance Forward	22,276,500	22,561,400	20,775,200
Revenue	138,485,600	135,274,500	139,332,700
Transfers In	1,345,700	223,500	223,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>162,107,800</u>	<u>158,059,400</u>	<u>160,331,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	952.2	946.3	974.7
Operating Subtotal	<u>126,689,500</u>	<u>125,894,300</u>	<u>128,671,200</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>126,689,500</u>	<u>125,894,300</u>	<u>128,671,200</u>
TRANSFERS OUT	12,856,900	11,389,900	11,389,900
BALANCE FORWARD	22,561,400	20,775,200	20,270,300

DESIGNATED FUNDS (No Fund Number)	A.R.S. § 15-1601
<p><b>Source of Revenue:</b> Revenues are derived from summer session, Extended University, the Alumni Association, conferences, Administrative Service charge, unrestricted gifts, income from short-term investments, and tuition and fees retained by the university.</p> <p><b>Purpose of Fund:</b> The Designated Funds provide current operating funds that are limited for a specific purpose by specific action of the Arizona Board of Regents or by an administrative unit of the university authorized by the board to designate funds.</p>	

	FY 1996	FY 1997	FY 1998
<b>FUNDS AVAILABLE</b>			
Balance Forward	23,024,900	37,921,300	40,020,600
Revenue	105,563,800	107,754,200	110,986,900
Transfers In	9,793,700	1,224,000	1,224,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>138,382,400</u>	<u>146,899,500</u>	<u>152,232,300</u>



**Fiscal Year 1998 Non-Appropriated Funds**

**UNIVERSITY OF ARIZONA - MAIN CAMPUS (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	683.7	805.7	829.9
Operating Subtotal	<u>64,179,100</u>	<u>76,317,700</u>	<u>78,607,200</u>
 TOTAL FUNDS EXPENDED	 <u>64,179,100</u>	 <u>76,317,700</u>	 <u>78,607,200</u>
 TRANSFERS OUT	 36,282,000	 30,561,200	 30,561,200
BALANCE FORWARD	37,921,300	40,020,600	43,063,900

**ENDOWMENT AND SIMILAR FUNDS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Revenues from donors to create new endowments and interest on established endowments.

**Purpose of Fund:** The Endowment and Similar Funds account for private gifts that require the principal to be permanently invested and only the income be utilized for general or specified requirements.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	39,577,500	41,702,100	43,876,700
Revenue	1,668,500	1,718,600	1,770,200
Transfers In	<u>8,986,600</u>	<u>8,986,600</u>	<u>8,986,600</u>
TOTAL FUNDS AVAILABLE	<u>50,232,600</u>	<u>52,407,300</u>	<u>54,633,500</u>
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>3,800</u>	<u>3,900</u>	<u>4,000</u>
 TOTAL FUNDS EXPENDED	 <u>3,800</u>	 <u>3,900</u>	 <u>4,000</u>
 TRANSFERS OUT	 8,526,700	 8,526,700	 8,526,700
BALANCE FORWARD	41,702,100	43,876,700	46,102,800

**LOAN (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Revenues from federally funded or privately sponsored student loan programs.

**Purpose of Fund:** For loans made to assist students in the financing of their education.

# **Fiscal Year 1998 Non-Appropriated Funds**

## **UNIVERSITY OF ARIZONA - MAIN CAMPUS (Continued)**

### **LOAN (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	762,800	820,900	881,000
Revenue	145,800	150,200	154,700
Transfers In	12,700	12,700	12,700
<b>TOTAL FUNDS AVAILABLE</b>	<u>921,300</u>	<u>983,800</u>	<u>1,048,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>80,100</u>	<u>82,500</u>	<u>85,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>80,100</u>	<u>82,500</u>	<u>85,000</u>
<b>TRANSFERS OUT</b>	20,300	20,300	20,300
<b>BALANCE FORWARD</b>	820,900	881,000	943,100

### **RESTRICTED FUNDS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Grants or contracts from private organizations, state and local government entities.

**Purpose of Fund:** The Restricted Funds account for governmental and private gifts, grants and contracts. The purposes are restricted by the donor or supporting agency. Funds not used for the restricted purposes revert to the sponsor or donor.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	16,026,900	13,655,800	12,358,200
Revenue	37,011,100	39,389,200	40,570,900
Transfers In	5,929,300	5,929,300	5,929,300
<b>TOTAL FUNDS AVAILABLE</b>	<u>58,967,300</u>	<u>58,974,300</u>	<u>58,858,400</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	382.9	394.4	406.2
Operating Subtotal	<u>43,709,900</u>	<u>45,014,500</u>	<u>46,365,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>43,709,900</u>	<u>45,014,500</u>	<u>46,365,000</u>
<b>TRANSFERS OUT</b>	1,601,600	1,601,600	1,601,600
<b>BALANCE FORWARD</b>	13,655,800	12,358,200	10,891,800

# Fiscal Year 1998 Non-Appropriated Funds

## UNIVERSITY OF ARIZONA - MAIN CAMPUS (Continued)

UNIVERSITY OF ARIZONA - MAIN CAMPUS			
Agency Summary of Federal Funds		ANALYST: John Lee/Bruce Groll	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	17,283,800	16,115,600	2,357,200
Revenue	131,086,700	122,477,100	126,545,300
TOTAL FUNDS AVAILABLE	148,370,500	138,592,700	128,902,500
FUNDS EXPENDED			
Full Time Equivalent Positions	1,140.6	1,175.1	1,189.9
Operating Subtotal	132,254,900	136,235,500	126,545,300
TOTAL FUNDS EXPENDED	132,254,900	136,235,500	126,545,300
BALANCE FORWARD	16,115,600	2,357,200	2,357,200

FEDERAL GRANTS (No Fund Number)	A.R.S. § 15-1601
Source of Revenue: Grants or contracts from federal government agencies.	
Purpose of Fund: Federal grants and contracts are used for the purposes specified by granting agencies. The purposes are restricted by the supporting agency. Funds not used for the restricted purpose revert to the sponsor.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	17,283,800	16,115,600	2,357,200
Revenue	107,500,600	98,183,900	101,523,300
TOTAL FUNDS AVAILABLE	124,784,400	114,299,500	103,880,500
FUNDS EXPENDED			
Full Time Equivalent Positions	1,140.6	1,175.1	1,189.9
Operating Subtotal	108,668,800	111,942,300	101,523,300
TOTAL FUNDS EXPENDED	108,668,800	111,942,300	101,523,300
BALANCE FORWARD	16,115,600	2,357,200	2,357,200

# Fiscal Year 1998 Non-Appropriated Funds

## UNIVERSITY OF ARIZONA - MAIN CAMPUS (Continued)

### FEDERAL INDIRECT COST RECOVERY (No Fund Number)

A.R.S. § 15-1601

**Source of Revenue:** Indirect Cost revenue is derived by charging certain federal sponsored grant and contract entities a negotiated rate of overhead.

**Purpose of Fund:** The Indirect Cost Recovery Fund provides the source of funds utilized by the institution to cover those costs indirectly associated with grant and contract activity. The fund supplements the general administrative and operating expenses for the university and affected departments.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	0
Revenue	<u>23,586,100</u>	<u>24,293,200</u>	<u>25,022,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>23,586,100</u>	<u>24,293,200</u>	<u>25,022,000</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>23,586,100</u>	<u>24,293,200</u>	<u>25,022,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>23,586,100</u>	<u>24,293,200</u>	<u>25,022,000</u>
  <b>BALANCE FORWARD</b>	  0	  0	  0

**Fiscal Year 1998 Non-Appropriated Funds**

**UNIVERSITY OF ARIZONA - ARIZONA HEALTH SCIENCES CENTER**

**Agency Summary of Combined Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	58,112,600	57,660,800	61,265,500
Revenue	90,926,600	93,981,700	96,801,100
Transfers In	1,479,900	1,478,800	1,478,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>150,519,100</u>	<u>153,121,300</u>	<u>159,545,400</u>
<b>TOTAL FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	839.1	843.6	864.8
Operating Subtotal	<u>90,017,600</u>	<u>89,236,900</u>	<u>91,393,300</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>90,017,600</u>	<u>89,236,900</u>	<u>91,393,300</u>
<b>TRANSFERS OUT</b>	2,840,700	2,618,900	2,618,900
<b>BALANCE FORWARD</b>	57,660,800	61,265,500	65,533,200

**UNIVERSITY OF ARIZONA - ARIZONA HEALTH SCIENCES CENTER**

**Agency Summary of Local Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	57,997,300	57,155,400	61,265,500
Revenue	37,552,600	39,913,700	41,111,200
Transfers In	1,479,900	1,478,800	1,478,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>97,029,800</u>	<u>98,547,900</u>	<u>103,855,500</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	370.1	360.6	371.5
Operating Subtotal	<u>37,033,700</u>	<u>34,663,500</u>	<u>35,703,400</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>37,033,700</u>	<u>34,663,500</u>	<u>35,703,400</u>
<b>TRANSFERS OUT</b>	2,840,700	2,618,900	2,618,900
<b>BALANCE FORWARD</b>	57,155,400	61,265,500	65,533,200

## Fiscal Year 1998 Non-Appropriated Funds

### UNIVERSITY OF ARIZONA - ARIZONA HEALTH SCIENCES CENTER (Continued)

AUXILIARY ENTERPRISE FUND (No Fund Number)	A.R.S. § 15-1601
<b>Source of Revenue:</b> Revenues from student housing, bookstores, student union, stores, intercollegiate athletics, and others.	
<b>Purpose of Fund:</b> The Auxiliary Enterprise Funds for the revenues and expenditures of revenue producing and substantially self-supporting activities that perform a service to the student body, faculty and public, but are not themselves educational activities.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	1,069,600	1,655,500	2,143,900
Revenue	5,254,800	5,132,900	5,286,900
Transfers In	6,000	1,000	1,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>6,330,400</u>	<u>6,789,400</u>	<u>7,431,800</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	39.8	39.6	40.8
Operating Subtotal	<u>4,674,900</u>	<u>4,645,500</u>	<u>4,784,800</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>4,674,900</u>	<u>4,645,500</u>	<u>4,784,800</u>
 <b>BALANCE FORWARD</b>	 1,655,500	 2,143,900	 2,647,000

DESIGNATED FUNDS (No Fund Number)	A.R.S. § 15-1601
<b>Source of Revenue:</b> Revenues generated from summer session, conferences, and unrestricted gifts.	
<b>Purpose of Fund:</b> The Designated Funds provide current operating funds that are limited for a specific purpose by specific action of the Arizona Board of Regents or by an administrative unit of the university authorized by the board to designate funds.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	15,039,800	10,386,300	10,686,300
Revenue	6,782,500	8,500,000	8,755,000
Transfers In	7,000	10,900	10,900
<b>TOTAL FUNDS AVAILABLE</b>	<u>21,829,300</u>	<u>18,897,200</u>	<u>19,452,200</u>

**Fiscal Year 1998 Non-Appropriated Funds**

**UNIVERSITY OF ARIZONA - ARIZONA HEALTH SCIENCES CENTER (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	105.1	89.0	91.7
Operating Subtotal	<u>10,036,200</u>	<u>7,025,900</u>	<u>7,236,800</u>
 TOTAL FUNDS EXPENDED	 <u>10,036,200</u>	 <u>7,025,900</u>	 <u>7,236,800</u>
 TRANSFERS OUT	 1,406,800	 1,185,000	 1,185,000
BALANCE FORWARD	10,386,300	10,686,300	11,030,400

**ENDOWMENT AND SIMILAR FUNDS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Revenues are received from donors to create new endowments and interest on established endowments.

**Purpose of Fund:** The Endowment and Similar Funds account for private gifts that require the principal to be permanently invested and only the income be utilized for general or specified requirements.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
FUNDS AVAILABLE			
Balance Forward	28,905,700	30,239,900	31,599,700
Revenue	852,800	878,400	904,800
Transfers In	1,404,900	1,404,900	1,404,900
TOTAL FUNDS AVAILABLE	<u>31,163,400</u>	<u>32,523,200</u>	<u>33,909,400</u>
 FUNDS EXPENDED			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>
 TRANSFERS OUT	 923,500	 923,500	 923,500
BALANCE FORWARD	30,239,900	31,599,700	32,985,900

**LOAN (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Revenues from federally funded or privately sponsored student loan programs.

**Purpose of Fund:** For loans made to assist students in the financing of their education.

**Fiscal Year 1998 Non-Appropriated Funds**

**UNIVERSITY OF ARIZONA - ARIZONA HEALTH SCIENCES CENTER (Continued)**

**LOAN (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	144,800	156,700	169,000
Revenue	13,200	13,600	14,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>158,000</u>	<u>170,300</u>	<u>183,000</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0
Operating Subtotal	<u>1,300</u>	<u>1,300</u>	<u>1,300</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>1,300</u>	<u>1,300</u>	<u>1,300</u>
<b>BALANCE FORWARD</b>	156,700	169,000	181,700

**RESTRICTED FUNDS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Grants or contracts from private organizations, state and local governmental entities.

**Purpose of Fund:** The Restricted Funds account for governmental and private gifts, grants and contracts. The purposes are restricted by the donor or supporting agency. Funds not used for the restricted purposes revert to the sponsor or donor.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	12,837,400	14,717,000	16,666,600
Revenue	24,649,300	25,388,800	26,150,500
Transfers In	62,000	62,000	62,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>37,548,700</u>	<u>40,167,800</u>	<u>42,879,100</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	225.2	232.0	239.0
Operating Subtotal	<u>22,321,300</u>	<u>22,990,800</u>	<u>23,680,500</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>22,321,300</u>	<u>22,990,800</u>	<u>23,680,500</u>
<b>TRANSFERS OUT</b>	510,400	510,400	510,400
<b>BALANCE FORWARD</b>	14,717,000	16,666,600	18,688,200



**Fiscal Year 1998 Non-Appropriated Funds**

**UNIVERSITY OF ARIZONA - ARIZONA HEALTH SCIENCES CENTER (Continued)**

**UNIVERSITY OF ARIZONA - ARIZONA HEALTH SCIENCES CENTER**

**Agency Summary of Federal Funds**

**ANALYST: John Lee/Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	115,300	505,400	0
Revenue	<u>53,374,000</u>	<u>54,068,000</u>	<u>55,689,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>53,489,300</u>	<u>54,573,400</u>	<u>55,689,900</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>468.9</u>	<u>483.0</u>	<u>493.4</u>
Operating Subtotal	<u>52,983,900</u>	<u>54,573,400</u>	<u>55,689,900</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>52,983,900</u>	<u>54,573,400</u>	<u>55,689,900</u>
  <b>BALANCE FORWARD</b>	  505,400	  0	  0

**FEDERAL GRANTS AND CONTRACTS (No Fund Number)**

**A.R.S. § 15-1601**

**Source of Revenue:** Federal grants and contracts.

**Purpose of Fund:** Federal Funds account for governmental grants and contracts. The purposes are restricted by the donor or supporting agency. Funds not used for the restricted purpose revert to the sponsor or donor.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	115,300	505,400	0
Revenue	<u>40,841,500</u>	<u>41,159,500</u>	<u>42,394,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>40,956,800</u>	<u>41,664,900</u>	<u>42,394,100</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>468.9</u>	<u>483.0</u>	<u>493.4</u>
Operating Subtotal	<u>40,451,400</u>	<u>41,664,900</u>	<u>42,394,100</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>40,451,400</u>	<u>41,664,900</u>	<u>42,394,100</u>
  <b>BALANCE FORWARD</b>	  505,400	  0	  0

# Fiscal Year 1998 Non-Appropriated Funds

## UNIVERSITY OF ARIZONA - ARIZONA HEALTH SCIENCES CENTER (Continued)

### FEDERAL INDIRECT COST RECOVERY (No Fund Number)

A.R.S. § 15-1601

**Source of Revenue:** Indirect Cost revenue is derived by charging certain federal agencies' certain sponsored grant and contract activities a negotiated rate of overhead.

**Purpose of Fund:** The Indirect Cost Recovery Fund provides the source of funds utilized by the institution to cover those costs indirectly associated with grant and contract activity related to departmental and general administration, sponsored projects administration, operation and maintenance of plant, library, student services, equipment use, building use and interest expense.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	0	0	0
Revenue	<u>12,532,500</u>	<u>12,908,500</u>	<u>13,295,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>12,532,500</u>	<u>12,908,500</u>	<u>13,295,800</u>
 <b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>12,532,500</u>	<u>12,908,500</u>	<u>13,295,800</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>12,532,500</u>	<u>12,908,500</u>	<u>13,295,800</u>
  <b>BALANCE FORWARD</b>	0	0	0

**BIENNIAL BUDGET  
AGENCIES**

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF AGRICULTURE**

**Agency Summary**

**ANALYST: Chris Earnest**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	2,444,500	4,626,100 <sup>1/</sup>	4,404,100	4,368,200
Revenue	<u>5,317,400</u>	<u>6,558,900</u>	<u>6,418,900</u>	<u>6,397,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	7,761,900	11,185,000	10,823,000	10,765,900
<b>FUNDS EXPENDED</b>				
Full Time Equivalent Positions	<u>71.8</u>	<u>137.8</u>	<u>135.8</u>	<u>135.8</u>
Operating Subtotal	4,897,400	6,602,400	6,243,900	6,442,000
<b>TOTAL FUNDS EXPENDED</b>	<u>4,897,400</u>	<u>6,602,400</u>	<u>6,243,900</u>	<u>6,442,000</u>
APPROPRIATIONS	80,000	96,900	129,300	135,700
TRANSFERS	74,400	81,600	81,600	81,600
BALANCE FORWARD	2,710,100	4,404,100	4,368,200	4,106,600

<sup>1/</sup> See the Federal/State Inspection Program Fund for Balance Forward difference between FY 1996 and FY 1997.

**ARIZONA WINE PROMOTIONAL (AHA2205)**

**A.R.S. § 3-555**

**Source of Revenue:** Of the wine tax proceeds collected pursuant to A.R.S. § 42-1204.A2, 50% of collections attributable to Arizona wineries or manufacturers shall be deposited to the Wine Promotional Fund. In FY 1994, \$200,000 was appropriated from the Commerce and Economic Development (CECD) Fund as "start-up" monies. Laws 1993, Chapter 40 requires that CECD be repaid that amount. As of yet, no repayment has occurred, leaving the Wine Promotional Fund with a liability not shown below.

**Purpose of Fund:** For use in promoting the Arizona wine industry through trade promotions, marketing or advertising, and for support of Wine Commission staff and operating expenses. A research grant to the University of Arizona is provided by this fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	75,100	85,400	56,100	56,100
Revenue	<u>24,000</u>	<u>24,000</u>	<u>24,000</u>	<u>24,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	99,100	109,400	80,100	80,100

## Fiscal Year 1998 and 1999 Non-Appropriated Funds

### ARIZONA DEPARTMENT OF AGRICULTURE (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
Full Time Equivalent Positions	0.0	0.0	0.0	0.0
Operating Subtotal	3,300	43,000	13,700	13,700
 TOTAL FUNDS EXPENDED	 3,300	 43,000	 13,700	 13,700
 TRANSFERS TO:				
CORPORATION COMMISSION	100	0	0	0
DESIGNATED FUND	10,300	10,300	10,300	10,300
BALANCE FORWARD	85,400	56,100	56,100	56,100

#### BEEF COUNCIL (LIVESTOCK BOARD COLLECTION AND ADMINISTRATION) (AHA2083)

A.R.S. § 3-1236

**Source of Revenue:** Assessments collected on behalf of the Arizona Beef Council as a \$1 per head surcharge when animals are inspected by the department for sale. The department retains 5 cents per dollar (deposited to this fund), while 95 cents per dollar is forwarded to the Arizona Beef Council.

**Purpose of Fund:** For collection and administration costs, and for membership in organizations.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	25,200	21,200	21,200	21,200
Revenue	637,700	637,700	637,700	637,700
TOTAL FUNDS AVAILABLE	662,900	658,900	658,900	658,900
 FUNDS EXPENDED				
Full Time Equivalent Positions	0.0	0.0	0.0	0.0
Operating Subtotal	641,700	637,700	637,700	637,700
 TOTAL FUNDS EXPENDED	 641,700	 637,700	 637,700	 637,700
 BALANCE FORWARD	 21,200	 21,200	 21,200	 21,200

#### CITRUS RESEARCH COUNCIL (AHA2299)

A.R.S. § 3-468

**Source of Revenue:** Revenue consists of an assessment on citrus produced in Arizona as well as fines, other charges, and interest.

**Purpose of Fund:** To support research development and survey programs concerning varietal development, eradication of citrus pests, and other programs deemed appropriate to production, harvesting, handling and hauling from field to market. To enter into contracts to carry out these purposes.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF AGRICULTURE (Continued)**

**CITRUS RESEARCH COUNCIL (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	136,100	113,800	154,600	154,600
Revenue	<u>139,200</u>	<u>139,200</u>	<u>139,200</u>	<u>139,200</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>275,300</u>	<u>253,000</u>	<u>293,800</u>	<u>293,800</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>150,400</u>	<u>87,300</u>	<u>128,100</u>	<u>128,100</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>150,400</u>	<u>87,300</u>	<u>128,100</u>	<u>128,100</u>
 <b>TRANSFER TO DESIGNATED FUND</b>	11,100	11,100	11,100	11,100
<b>BALANCE FORWARD</b>	113,800	154,600	154,600	154,600

**COTTON RESEARCH AND PROTECTION COUNCIL (AHA2013)**

**A.R.S. § 3-1085**

**Source of Revenue:** Revenue consists of assessments on cotton produced in the state, as well as fines and interest earnings.

**Purpose of Fund:** To support research programs related to cotton production or protection, including programs and research for cotton pest eradication; and to provide an incentive program for voluntary boll weevil control. The FTE Positions include about 15 permanent program staff. The remainder are seasonal field staff.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,732,200	1,575,600	1,422,300	1,108,100
Revenue	<u>1,674,800</u>	<u>1,647,100</u>	<u>1,572,000</u>	<u>1,550,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>3,407,000</u>	<u>3,222,700</u>	<u>2,994,300</u>	<u>2,658,900</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>52.0</u>	<u>50.0</u>	<u>50.0</u>	<u>50.0</u>
Operating Subtotal	<u>1,801,400</u>	<u>1,788,100</u>	<u>1,873,900</u>	<u>1,963,900</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>1,801,400</u>	<u>1,788,100</u>	<u>1,873,900</u>	<u>1,963,900</u>
 <b>TRANSFER TO DESIGNATED FUND</b>	30,000	12,300	12,300	12,300
<b>BALANCE FORWARD</b>	1,575,600	1,422,300	1,108,100	682,700

## Fiscal Year 1998 and 1999 Non-Appropriated Funds

### ARIZONA DEPARTMENT OF AGRICULTURE (Continued)

**NOTE:** The Designated Fund is displayed first as a fund summary, then by individual account.

DESIGNATED FUND SUMMARY (AHA3011)	A.R.S. § 3-107
<b>Source of Revenue:</b> See individual accounts for specific revenue sources.	
<b>Purpose of Fund:</b> See individual account for specific account purposes.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	20,400	72,300	72,300	72,300
Revenue	407,900	475,300	475,300	475,300
<b>TOTAL FUNDS AVAILABLE</b>	<u>428,300</u>	<u>547,600</u>	<u>547,600</u>	<u>547,600</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>9.8</u>	<u>9.8</u>	<u>9.8</u>	<u>9.8</u>
Operating Subtotal	276,000	378,400	346,000	339,600
<b>TOTAL FUNDS EXPENDED</b>	<u>276,000</u>	<u>378,400</u>	<u>346,000</u>	<u>339,600</u>
<b>APPROPRIATIONS</b>	80,000	96,900	129,300	135,700
<b>BALANCE FORWARD</b>	72,300	72,300	72,300	72,300

DESIGNATED FUND - ADMINISTRATIVE SUPPORT (AHA3011)	A.R.S. § 3-107
<b>Source of Revenue:</b> Monies are assessed from each commodity council based on prior year expenditures. Various appropriated and other non-appropriated funds also contribute.	
<b>Purpose of Fund:</b> For costs incurred by the department in providing administrative support to the commodity councils and for the administration of other various funds.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	94,500	138,700	84,600	62,900
Revenue	213,900	178,500	210,900	217,300
<b>TOTAL FUNDS AVAILABLE</b>	<u>308,400</u>	<u>317,200</u>	<u>295,500</u>	<u>280,200</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF AGRICULTURE (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>6.3</u>	<u>6.3</u>	<u>6.3</u>	<u>6.3</u>
Operating Subtotal	<u>89,700</u>	<u>135,700</u>	<u>103,300</u>	<u>96,900</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>89,700</u>	 <u>135,700</u>	 <u>103,300</u>	 <u>96,900</u>
<b>APPROPRIATION</b>	80,000	96,900	129,300	135,700
 <b>BALANCE FORWARD</b>	 138,700	 84,600	 62,900	 47,600

**DESIGNATED FUND - STATE AGRICULTURE LABORATORY AND ALL OTHER (AHA3011)**

**A.R.S. § 3-143**

**Source of Revenue:** Fees for laboratory services such as butter grading, meat and poultry inspections, and phytosanitary certifications (for certifying produce that is sent overseas). Revenues also include transfers for special purposes from other state agencies.

**Purpose of Fund:** To support operational costs of laboratory work performed on behalf of other programs. FY 1997 Operating Expenses also include: 1.) \$7,000 for the "Arizona Grown" program; 2.) \$26,000 for the Quarantine and Nursery program; 3.) \$23,000 for pest survey and detection; 4.) \$2,000 for pesticide sampling; and 5.) \$9,000 for the Citrus, Fruit, and Vegetable program's watermelon cooperative.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	(74,100)	(66,400)	(12,300)	9,400
Revenue	<u>194,000</u>	<u>296,800</u>	<u>264,400</u>	<u>258,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>119,900</u>	<u>230,400</u>	<u>252,100</u>	<u>267,400</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>3.5</u>	<u>3.5</u>	<u>3.5</u>	<u>3.5</u>
Operating Subtotal	<u>186,300</u>	<u>242,700</u>	<u>242,700</u>	<u>242,700</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>186,300</u>	 <u>242,700</u>	 <u>242,700</u>	 <u>242,700</u>
 <b>BALANCE FORWARD</b>	 (66,400)	 (12,300)	 9,400	 24,700

**FEDERAL (AHA2000)**

**A.R.S. § 3-103**

**Source of Revenue:** Federal grants. FY 1996 revenue includes a \$1,500,000 federal grant to combat Karnal Bunt.

**Purpose of Fund:** The agency's federal grants relate to exotic pest control (e.g., fire ant, gypsy moth, medfly), agriculture chemicals regulation, farm mediation, endangered species, and meat, poultry and egg inspection. (The meat and poultry inspection amounts are a reimbursement to the General Fund and, therefore, pass through this fund without a net impact on the revenues or expenditures shown here.)



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF AGRICULTURE (Continued)**

**FEDERAL (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	196,500	641,000	269,000	269,000
Revenue	<u>2,166,100</u>	<u>517,400</u>	<u>452,500</u>	<u>452,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,362,600</u>	<u>1,158,400</u>	<u>721,500</u>	<u>721,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>10.0</u>	<u>8.0</u>	<u>6.0</u>	<u>6.0</u>
Operating Subtotal	<u>1,721,600</u>	<u>889,400</u>	<u>452,500</u>	<u>452,500</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>1,721,600</u>	<u>889,400</u>	<u>452,500</u>	<u>452,500</u>
<b>BALANCE FORWARD</b>	641,000	269,000	269,000	269,000

**FEDERAL/STATE INSPECTION PROGRAM (AHA2113)**

**A.R.S. § 3-107**

**Source of Revenue:** Fees for inspection of produce imported from Mexico. Some Federal Grant monies are also credited to the fund.

**Purpose of Fund:** Under a cooperative agreement with the U.S. Department of Agriculture, the Arizona Department of Agriculture became the fiduciary of this program on July 1, 1996, which monitors produce being imported from Mexico at the Nogales Port of Entry. The program generates approximately \$3 million in annual revenue, and transfers approximately \$2.5 million to the department in its role as fiduciary.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	1,916,000 <sup>1/</sup>	2,302,800	2,580,700
Revenue	<u>0</u>	<u>2,849,800</u>	<u>2,849,800</u>	<u>2,849,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>4,765,800</u>	<u>5,152,600</u>	<u>5,430,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>70.0</u>	<u>70.0</u>	<u>70.0</u>
Operating Subtotal	<u>0</u>	<u>2,438,000</u>	<u>2,546,900</u>	<u>2,661,300</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>2,438,000</u>	<u>2,546,900</u>	<u>2,661,300</u>
<b>TRANSFER TO DESIGNATED FUND</b>	0	25,000	25,000	25,000
<b>BALANCE FORWARD</b>	0	2,302,800	2,580,700	2,744,200

<sup>1/</sup> The Fund Balance was transferred to the Arizona Department of Agriculture from the Arizona Cattle Growers Association, which previously administered the program.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF AGRICULTURE (Continued)**

**GRAIN RESEARCH AND PROMOTION COUNCIL (AHA2201)**

**A.R.S. § 3-588**

**Source of Revenue:** An assessment on grain sold commercially.

**Purpose of Fund:** To support the council's promotion and research activities with public or private organizations. Such activities relate to reducing fresh water consumption, developing new varieties, improving production and handling methods, research and design of new or improved harvesting and handling equipment, and similar activities.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	124,700	92,400	30,700	30,700
Revenue	<u>160,600</u>	<u>160,600</u>	<u>160,600</u>	<u>160,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>285,300</u>	<u>253,000</u>	<u>191,300</u>	<u>191,300</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>182,600</u>	<u>212,000</u>	<u>150,300</u>	<u>150,300</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>182,600</u>	<u>212,000</u>	<u>150,300</u>	<u>150,300</u>
 <b>TRANSFER TO DESIGNATED FUND</b>	10,300	10,300	10,300	10,300
<b>BALANCE FORWARD</b>	92,400	30,700	30,700	30,700

**HAY LAW FUND (AHA2143)**

**A.R.S. § 3-2712**

**Source of Revenue:** Annual licensing fees for hay brokers and dealers.

**Purpose of Fund:** Laws 1996, Chapter 229 established this fund. It supports hay regulatory activities, including ensuring that all hay brokers are licensed and bonded.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	500	900
Revenue	<u>0</u>	<u>700</u>	<u>700</u>	<u>700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>700</u>	<u>1,200</u>	<u>1,600</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF AGRICULTURE (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>0</u>	<u>200</u>	<u>300</u>	<u>400</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>200</u>	 <u>300</u>	 <u>400</u>
 BALANCE FORWARD	 0	 500	 900	 1,200

**ICEBERG LETTUCE RESEARCH COUNCIL (AHA2259)**

**A.R.S. § 3-5226**

**Source of Revenue:** The primary revenue source is an assessment on iceberg lettuce prepared for market.

**Purpose of Fund:** To support research, development and survey programs concerning varietal development, including programs for pest eradication, production harvesting, handling and hauling from field to market, and other programs deemed appropriate.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	129,800	96,300	69,600	69,600
Revenue	<u>68,500</u>	<u>68,500</u>	<u>68,500</u>	<u>68,500</u>
TOTAL FUNDS AVAILABLE	198,300	164,800	138,100	138,100
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>89,400</u>	<u>82,600</u>	<u>55,900</u>	<u>55,900</u>
 TOTAL FUNDS EXPENDED	 <u>89,400</u>	 <u>82,600</u>	 <u>55,900</u>	 <u>55,900</u>
 TRANSFER TO DESIGNATED FUND	 12,600	 12,600	 12,600	 12,600
BALANCE FORWARD	96,300	69,600	69,600	69,600

**LIVESTOCK CUSTODY FUND (AHA2065)**

**A.R.S. § 3-1377**

**Source of Revenue:** Proceeds from the sale of stray or seized livestock and from penalty fees for neglectful treatment of livestock.

**Purpose of Fund:** For costs associated with investigating complaints of neglectful livestock treatment and for the seizure, keeping and care of seized and stray livestock. NOTE: Laws 1996, Chapter 220 created this fund by consolidating the Stray, Seizure, and Equine Maintenance Funds. These funds were not consolidated until FY 1997; however, for simplicity they are also shown together for FY 1996.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF AGRICULTURE (Continued)**

**LIVESTOCK CUSTODY FUND (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	4,500	12,100	5,000	5,000
Revenue	<u>38,600</u>	<u>38,600</u>	<u>38,600</u>	<u>38,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>43,100</u>	<u>50,700</u>	<u>43,600</u>	<u>43,600</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>31,000</u>	<u>45,700</u>	<u>38,600</u>	<u>38,600</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>31,000</u>	<u>45,700</u>	<u>38,600</u>	<u>38,600</u>
 <b>BALANCE FORWARD</b>	12,100	5,000	5,000	5,000

**YUMA COUNTY CITRUS PEST CONTROL (AHA2145)**

**A.R.S. § 3-103**

**This fund is recommended for elimination.**

**Source of Revenue:** Monies provided by the Yuma district.

**Purpose of Fund:** For an agreement to assist in the abatement of Red Scale in the Yuma district. **NOTE:** This program is terminated as its purpose has been accomplished.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>				
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	0	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA COMMISSION ON THE ARTS**

**Agency Summary**

**ANALYST: Jim Hillyard**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	199,800	95,800	75,000	2,025,000
Revenue	<u>1,966,800</u>	<u>1,726,400</u>	<u>3,507,600</u>	<u>3,507,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,166,600</u>	<u>1,822,200</u>	<u>3,582,600</u>	<u>5,532,600</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>7.5</u>	<u>7.5</u>	<u>7.5</u>	<u>7.5</u>
Operating Subtotal	<u>603,000</u>	<u>487,800</u>	<u>437,200</u>	<u>416,300</u>
Special Line Items Total	<u>1,467,800</u>	<u>1,259,400</u>	<u>1,120,400</u>	<u>1,091,300</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>2,070,800</u>	<u>1,747,200</u>	<u>1,557,600</u>	<u>1,507,600</u>
 <b>BALANCE FORWARD</b>	 95,800	 75,000	 2,025,000	 4,025,000

**ARTS ENDOWMENT FUND (No Fund Number)**

**A.R.S. § 41-986**

**Source of Revenue:** From FY 1998 - FY 2008, subject to legislative appropriation, the Arts Endowment Fund will receive all revenue from the Amusements Classification of the transaction privilege tax above that classification's total FY 1994 revenues. These revenues shall not exceed \$2 million in any fiscal year. The Arizona Commission on the Arts is only permitted to spend revenue earned from the investment of these funds.

**Purpose of Fund:** Interest earned on this fund's balance is available to the commission for arts stabilization projects.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	2,000,000
Revenue	<u>0</u>	<u>0</u>	<u>2,000,000</u>	<u>2,000,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>0</u>	<u>2,000,000</u>	<u>4,000,000</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	 0	 0	 2,000,000	 4,000,000

# Fiscal Year 1998 and 1999 Non-Appropriated Funds

## ARIZONA COMMISSION ON THE ARTS (Continued)

### ARTS FUND - FEDERAL (HUA2000)

A.R.S. § 41-983B

**Source of Revenue:** Federal grants from the National Endowment on the Arts and other public and private grants, gifts, contributions and legacies.

**Purpose of Fund:** To develop programs to serve tribal communities, rural arts programs, arts in education, and the establishment of local arts agencies within municipal governments.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	(12,900)	(36,900)	0	0
Revenue	<u>803,000</u>	<u>627,700</u>	<u>418,600</u>	<u>418,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>790,100</b>	<b>590,800</b>	<b>418,600</b>	<b>418,600</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>6.0</u>	<u>6.0</u>	<u>6.0</u>	<u>6.0</u>
Operating Subtotal	<u>532,200</u>	<u>402,900</u>	<u>357,300</u>	<u>357,300</u>
Community Service Projects	<u>294,800</u>	<u>187,900</u>	<u>61,300</u>	<u>61,300</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>827,000</b>	<b>590,800</b>	<b>418,600</b>	<b>418,600</b>
 <b>BALANCE FORWARD</b>	 (36,900)	 0	 0	 0

### ARTS SPECIAL REVENUES (HUA2116)

A.R.S. § 41-983A

**Source of Revenue:** Exhibit rentals, admissions, and charges for services.

**Purpose of Fund:** To award grants to arts programs in all areas of the state. Each grant awarded by the Arts Commission must be matched by the applicant organizations.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	107,400	131,300	75,000	25,000
Revenue	<u>141,200</u>	<u>78,700</u>	<u>69,000</u>	<u>69,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>248,600</b>	<b>210,000</b>	<b>144,000</b>	<b>94,000</b>

# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **ATTORNEY GENERAL - DEPARTMENT OF LAW (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>12.4</u>	<u>12.4</u>	<u>12.4</u>	<u>12.4</u>
Operating Subtotal	511,400	499,500	506,600	506,600
 TOTAL FUNDS EXPENDED	 <u>511,400</u>	 <u>499,500</u>	 <u>506,600</u>	 <u>506,600</u>
 BALANCE FORWARD	 2,400	 2,900	 0	 0

### **CONSUMER PROTECTION COURT-ORDERED TRUST ACCOUNT (AGA3180)**

**A.R.S. § 35-142**

**Source of Revenue:** Proceeds from the Petroleum Products Antitrust Litigation Case Settlement were transferred to this account in FY 1995 pursuant to the terms of the U.S. District Court Settlement Order. New revenue is interest income.

**Purpose of Fund:** A trust account for the benefit of the citizens of Arizona. The Attorney General may make application to the U.S. District Court of Central California to expend amounts up to \$300,000 for antitrust or consumer protection. The fund shall be available for a period of 3 years, after which any amounts remaining in the fund are to be transferred to the Consumer Protection Revolving Fund or Antitrust Revolving Fund, at the discretion of the Attorney General. The figures reflect subaccounts 22920 and 22410 combined, which both relate to the consumer protection part of the court order.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	1,369,500	1,428,900	1,408,400	1,386,900
Revenue	<u>77,100</u>	<u>71,400</u>	<u>70,400</u>	<u>69,300</u>
TOTAL FUNDS AVAILABLE	1,446,600	1,500,300	1,478,800	1,456,200
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	17,700	91,900	91,900	91,900
 TOTAL FUNDS EXPENDED	 <u>17,700</u>	 <u>91,900</u>	 <u>91,900</u>	 <u>91,900</u>
 BALANCE FORWARD	 1,428,900	 1,408,400	 1,386,900	 1,364,300

### **COURT-ORDERED TRUST: MISCELLANEOUS ACCOUNTS (AGA3180)**

**A.R.S. § 35-142**

**Source of Revenue:** Court-ordered deposits held in trust from parties to lawsuits, including the ATI, Casework, and AMC cases.

**Purpose of Fund:** To assure funds are available to pay judgements.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ATTORNEY GENERAL - DEPARTMENT OF LAW (Continued)**

**COURT-ORDERED TRUST: MISCELLANEOUS ACCOUNTS (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	123,800	0	0	0
Revenue	<u>384,800</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>508,600</b>	<b>0</b>	<b>0</b>	<b>0</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>384,800</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<b>384,800</b>	<b>0</b>	<b>0</b>	<b>0</b>
 ADJUSTMENT TO BALANCE	123,800	0	0	0
BALANCE FORWARD	0	0	0	0

**FEDERAL FUNDS (AGA2117)**

**A.R.S. § 41-101.01**

**Source of Revenue:** Federal grants for various purposes.

**Purpose of Fund:** These monies are used in accordance with the terms of the individual grants, including drug enforcement, fraud and patient abuse (AHCCCS-related), civil rights enforcement, fair housing education and outreach, and hazardous waste.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,726,900	1,631,000	1,892,900	1,925,900
Revenue	<u>5,859,600</u>	<u>4,181,500</u>	<u>3,867,500</u>	<u>3,867,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>7,586,500</b>	<b>5,812,500</b>	<b>5,760,400</b>	<b>5,793,400</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>62.1</u>	<u>62.1</u>	<u>62.1</u>	<u>62.1</u>
Operating Subtotal	<u>4,595,800</u>	<u>3,893,300</u>	<u>3,814,100</u>	<u>3,814,100</u>
Cost Allocation/Indirect Costs	<u>1,359,700</u>	<u>26,300</u>	<u>20,400</u>	<u>20,400</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>5,955,500</b>	<b>3,919,600</b>	<b>3,834,500</b>	<b>3,834,500</b>
 BALANCE FORWARD	1,631,000	1,892,900	1,925,900	1,958,900



# Fiscal Year 1998 and 1999 Non-Appropriated Funds

## ATTORNEY GENERAL - DEPARTMENT OF LAW (Continued)

### MARICOPA COUNTY SUPERIOR COURT CLERK COURT-ORDERED TRUST ACCOUNT (AGA3180) A.R.S. § 35-142

**Source of Revenue:** Seized assets and interest earnings related to a certain as-yet-unsettled case.

**Purpose of Fund:** To hold assets seized by the Maricopa County Superior Court until this case is resolved.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	7,631,700	8,013,300	8,414,000
Revenue	<u>7,631,700</u>	<u>381,600</u>	<u>400,700</u>	<u>420,700</u>
TOTAL FUNDS AVAILABLE	7,631,700	8,013,300	8,414,000	8,834,700
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL FUNDS EXPENDED	0	0	0	0
BALANCE FORWARD	7,631,700	8,013,300	8,414,000	8,834,700

### PRISONER LITIGATION REVOLVING (AGA2156)

A.R.S. § 31-230

**Source of Revenue:** 30% of all incarceration costs recovered from prisoners committed to the State Department of Corrections who obtain monetary judgements against the state.

**Purpose of Fund:** Reimbursements for litigation of legal actions against prisoners convicted in a state court and committed to the State Department of Corrections. The Attorney General must report semi-annually to Legislative Officers and staff the receipts and expenditures resulting from actions taken under this law. No activity has occurred in this fund since its inception in 1994.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS AVAILABLE	0	0	0	0
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL FUNDS EXPENDED	0	0	0	0
BALANCE FORWARD	0	0	0	0

# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **ATTORNEY GENERAL - DEPARTMENT OF LAW (Continued)**

### **ARIZONA PROSECUTING ATTORNEYS ADVISORY COUNCIL (AGA2057)**

**A.R.S. § 41-1830.03; 41-2401**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** A continuous appropriation from the Criminal Justice Enhancement Fund (CJEF) of 3.10% until February 1997, and 3.03% thereafter.

**Purpose of Fund:** Exclusively for costs of training, technical assistance for prosecuting attorneys of the state and any political subdivision, and expenses for the operation of the council.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	290,700	525,900	334,000	220,100
Revenue	<u>712,100</u>	<u>729,100</u>	<u>763,000</u>	<u>810,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,002,800</u>	<u>1,255,000</u>	<u>1,097,000</u>	<u>1,030,800</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>476,900</u>	<u>921,000</u>	<u>876,900</u>	<u>876,900</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>476,900</u>	<u>921,000</u>	<u>876,900</u>	<u>876,900</u>
<b>BALANCE FORWARD</b>	525,900	334,000	220,100	153,900

### **PUBLIC ACCOMMODATIONS (AGA2021)**

**A.R.S. § 41-1492.12**

**This fund is recommended for elimination.**

**Source of Revenue:** Donations or grants.

**Purpose of Fund:** Enforcing and furthering the Arizonans With Disabilities Act of 1992.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **ATTORNEY GENERAL - DEPARTMENT OF LAW (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 BALANCE FORWARD	 0	 0	 0	 0

### **RURAL GASOLINE ANTITRUST COURT ORDERED TRUST ACCOUNT (AGA3180)**

**A.R.S. § 35-142**

**Source of Revenue:** Proceeds from the Petroleum Products Antitrust Litigation Case Settlement were transferred to this account in FY 1995 pursuant to the terms of the U.S. District Court Settlement Order. This account was established in accordance with that order.

**Purpose of Fund:** A trust account for the residents of all Arizona counties, other than Maricopa and Pima. The fund is to be used for an economic study of Arizona refined petroleum distribution. The account may also be used for the cost of investigating and prosecuting gasoline price-fixing and unfair trade practices affecting the operators of motor vehicles in the rural counties. According to the settlement, at the end of 3 years, if any monies remain the fund shall be liquidated at the discretion of the Attorney General and any surplus be deposited to the Antitrust Revolving Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	994,400	971,200	941,700	910,700
Revenue	<u>54,900</u>	<u>48,600</u>	<u>47,100</u>	<u>45,500</u>
TOTAL FUNDS AVAILABLE	<u>1,049,300</u>	<u>1,019,800</u>	<u>988,800</u>	<u>956,200</u>
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>78,100</u>	<u>78,100</u>	<u>78,100</u>	<u>78,100</u>
 TOTAL FUNDS EXPENDED	 <u>78,100</u>	 <u>78,100</u>	 <u>78,100</u>	 <u>78,100</u>
 BALANCE FORWARD	 971,200	 941,700	 910,700	 878,100

### **VEHICLE EMISSIONS TEST COURT ORDERED TRUST ACCOUNT (AGA3180)**

**A.R.S. § 35-142**

**Source of Revenue:** Proceeds from the Petroleum Products Antitrust Litigation Case Settlement were transferred to this account in FY 1995 pursuant to the U.S. District Court Case Settlement Order. The order established this account.

**Purpose of Fund:** This is a trust fund to be used solely for the purpose of reducing the cost of motor vehicle emission tests in Pima County in 1995 and in Maricopa County in 1995 and 1996. Any funds remaining in this account at the end of calendar year 1996 shall be deposited to the Antitrust Revolving Fund.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ATTORNEY GENERAL - DEPARTMENT OF LAW (Continued)**

**VEHICLE EMISSIONS TEST COURT ORDERED TRUST ACCOUNT (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	3,705,300	787,700	0	0
Revenue	<u>136,600</u>	<u>9,500</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>3,841,900</b>	<b>797,200</b>	<b>0</b>	<b>0</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	3,054,200	797,200	0	0
 <b>TOTAL FUNDS EXPENDED</b>	<b>3,054,200</b>	<b>797,200</b>	<b>0</b>	<b>0</b>
 <b>BALANCE FORWARD</b>	<b>787,700</b>	<b>0</b>	<b>0</b>	<b>0</b>

**VICTIM WITNESS (AGA2228)**

**A.R.S. § 41-2408; 41-2401**

**Source of Revenue:** Grants from the Victim Assistance Fund and the Victims of Crime Act Fund.

**Purpose of Fund:** To assist crime victims and surviving family members who are involved in felony cases and appellate matters. The Arizona Criminal Justice Commission administers the Victims Compensation Fund and the Victims Assistance Fund. These funds are used to support programs that compensate and assist victims of crime.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	10,800	0	0	0
Revenue	<u>140,000</u>	<u>165,100</u>	<u>21,300</u>	<u>21,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>150,800</b>	<b>165,100</b>	<b>21,300</b>	<b>21,300</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>5.0</u>	<u>5.0</u>	<u>0.8</u>	<u>0.8</u>
Operating Subtotal	149,900	165,100	21,300	21,300
 <b>TOTAL FUNDS EXPENDED</b>	<b>149,900</b>	<b>165,100</b>	<b>21,300</b>	<b>21,300</b>
 <b>REVERSION TO GRANTOR</b>	<b>900</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>BALANCE FORWARD</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE BANKING DEPARTMENT**

**Agency Summary**

**ANALYST: Brad Regens**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,214,100	3,288,400	4,905,100	4,687,000
Revenue	2,411,900	2,078,300	226,600	226,600
<b>TOTAL FUNDS AVAILABLE</b>	<u>3,626,000</u>	<u>5,366,700</u>	<u>5,131,700</u>	<u>4,913,600</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	4.0	4.0	4.0	3.0
Operating Subtotal	337,600	347,800	350,900	154,600
<b>TOTAL FUNDS EXPENDED</b>	<u>337,600</u>	<u>347,800</u>	<u>350,900</u>	<u>154,600</u>
<b>TRANSFERS</b>	0	113,800	93,800	93,600
<b>BALANCE FORWARD</b>	3,288,400	4,905,100	4,687,000	4,665,400

**ESCROW RECOVERY (BDA2341)**

**A.R.S. § 6-847.01**

**Source of Revenue:** Within the fund the state treasurer shall keep separate and distinct the following two accounts: (1) real property escrow agents account; (2) all other escrow agents account. All real property escrow agents shall make a one-time contribution of \$5,000 plus 3% of gross escrow fees until the real property escrow sub-account balance reaches \$4,000,000. Real property escrow agents may be asked to resume payment if claims draw the sub-account balance below \$4,000,000 or if there is an open escrow receivership that may require additional monies. Escrow agents other than real property agents pay into the fund as prescribed by the Superintendent. The name of this fund was changed from the Arizona Escrow Guaranty Fund to its present name by Laws 1994, Chapter 362.

**Purpose of Fund:** Monies will be used to pay claims against escrow agents. Each year, the department may be reimbursed up to 2% of the fund balance for administration costs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	956,400	2,825,000	4,646,900	4,637,100
Revenue	1,868,600	1,935,700	84,000	84,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,825,000</u>	<u>4,760,700</u>	<u>4,730,900</u>	<u>4,721,100</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE BANKING DEPARTMENT (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
TRANSFERS TO:				
RECEIVERSHIP REVOLVING				
FUND	0	12,600	12,600	12,600
GENERAL FUND	0	101,200	81,200	81,000
BALANCE FORWARD	2,825,000	4,646,900	4,637,100	4,627,500

**RECEIVERSHIP REVOLVING (BDA3023)**

**A.R.S. § 6-135.01**

**Source of Revenue:** Revenue generated from the sale of assets of firms under receivership, and transfers from the Banking Department Revolving Fund.

**Purpose of Fund:** To cover the department's costs of administering receiverships.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	207,700	421,000	225,500	29,200
Revenue	<u>410,000</u>	<u>12,600</u>	<u>12,600</u>	<u>12,600</u>
TOTAL FUNDS AVAILABLE	617,700	433,600	238,100	41,800
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>	<u>0.0</u>
Operating Subtotal	196,700	208,100	208,900	12,600
 TOTAL FUNDS EXPENDED	 <u>196,700</u>	 <u>208,100</u>	 <u>208,900</u>	 <u>12,600</u>
 BALANCE FORWARD	 421,000	 225,500	 29,200	 29,200

**REVOLVING (BDA2126)**

**A.R.S. § 6-135**

**Source of Revenue:** Investigative costs, attorney fees, or civil penalties recovered as the result of an enforcement action brought by the department or the Attorney General for violations of state banking laws.

**Purpose of Fund:** To support investigative and enforcement activities conducted by the department and the Attorney General. Year end fund balances in excess of \$50,000 are transferred to the Banking Department Receivership Revolving Fund.

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**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

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**STATE BANKING DEPARTMENT (Continued)**

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**REVOLVING (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	50,000	42,400	32,700	20,700
Revenue	<u>133,300</u>	<u>130,000</u>	<u>130,000</u>	<u>130,000</u>
TOTAL FUNDS AVAILABLE	<u>183,300</u>	<u>172,400</u>	<u>162,700</u>	<u>150,700</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>
Operating Subtotal	<u>140,900</u>	<u>139,700</u>	<u>142,000</u>	<u>142,000</u>
TOTAL FUNDS EXPENDED	<u>140,900</u>	<u>139,700</u>	<u>142,000</u>	<u>142,000</u>
BALANCE FORWARD	42,400	32,700	20,700	8,700

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF BUILDING & FIRE SAFETY**

**Agency Summary**

**ANALYST: Chris Earnest**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	3,848,600	4,271,200	4,634,900	5,058,800
Revenue	<u>770,000</u>	<u>796,500</u>	<u>796,500</u>	<u>796,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>4,618,600</u>	<u>5,067,700</u>	<u>5,431,400</u>	<u>5,855,300</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>4.0</u>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>
Operating Subtotal	<u>347,400</u>	<u>407,800</u>	<u>372,600</u>	<u>368,500</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>347,400</u>	<u>407,800</u>	<u>372,600</u>	<u>368,500</u>
 TRANSFERS	0	25,000	0	0
<b>BALANCE FORWARD</b>	4,271,200	4,634,900	5,058,800	5,486,800

**ARSON DETECTION REWARD (MMA2169)**

**A.R.S. § 41-2146**

**This fund is recommended for consolidation in the Building and Fire Safety Fund.**

**Source of Revenue:** Court imposed fines and monies from forfeiture of bail posted for arson convictions; donations.

**Purpose of Fund:** To provide awards for information leading to convictions of arson cases.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	39,500	39,000	38,600	38,200
Revenue	<u>100</u>	<u>100</u>	<u>100</u>	<u>100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>39,600</u>	<u>39,100</u>	<u>38,700</u>	<u>38,300</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>600</u>	<u>500</u>	<u>500</u>	<u>500</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>600</u>	<u>500</u>	<u>500</u>	<u>500</u>
  <b>BALANCE FORWARD</b>	39,000	38,600	38,200	37,800



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF BUILDING & FIRE SAFETY (Continued)**

**BUILDING & FIRE SAFETY FUND (MMA2211)**

**A.R.S. § 41-2173**

**Source of Revenue:** Intergovernmental Agreement with the Department of Environmental Quality and the Department of Health Services. Registration fees charged to fire training school participants.

**Purpose of Fund:** The fund is used: a) to develop, implement, and evaluate a fire and life safety inspection program for the removal or installation of underground or above ground storage tanks; to ensure that owners and operators are complying with and reporting environmental releases to the proper agencies; b) to develop, implement, and evaluate a fire and life safety inspection program for all buildings licenses under Federal Medicaid and Medicare Programs; and provide staff to perform inspections; c) to provide training classes, at the local level, to address the needs of volunteer firefighters in small communities and rural areas of the state.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	114,800	112,300	51,700	26,300
Revenue	188,200	231,600	231,600	231,600
<b>TOTAL FUNDS AVAILABLE</b>	<u>303,000</u>	<u>343,900</u>	<u>283,300</u>	<u>257,900</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	2.0	2.0	2.0	2.0
Operating Subtotal	190,700	267,200	257,000	252,900
<b>TOTAL FUNDS EXPENDED</b>	<u>190,700</u>	<u>267,200</u>	<u>257,000</u>	<u>252,900</u>
<b>TRANSFERS</b>	0	25,000	0	0
<b>BALANCE FORWARD</b>	112,300	51,700	26,300	5,000

**FEDERAL GRANTS (MMA2211)**

**A.R.S. § 41-2173**

**Source of Revenue:** Grants from the Forest Service and the Federal Emergency Management Association.

**Purpose of Fund:** To provide hazardous material training for emergency response personnel and wildland fire training for rural firefighters.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	44,100	25,000	0	0
Revenue	50,800	10,000	10,000	10,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>94,900</u>	<u>35,000</u>	<u>10,000</u>	<u>10,000</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF BUILDING & FIRE SAFETY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	69,900	35,000	10,000	10,000
 <b>TOTAL FUNDS EXPENDED</b>	 <u>69,900</u>	 <u>35,000</u>	 <u>10,000</u>	 <u>10,000</u>
 <b>BALANCE FORWARD</b>	 25,000	 0	 0	 0

**MANUFACTURED HOUSING CASH BOND (MMA3722)**

**A.R.S. § 41-2179**

**Source of Revenue:** Cash deposits made by manufacturers and installers prior to the granting of an original license. Applicants may make a cash deposit for each branch location in lieu of acquiring a surety bond.

**Purpose of Fund:** 1) To make payment to any consumer who is damaged by the failure of any licensee to perform a sales or installation agreement or to perform repairs under warranty. 2) To make payment to the department if the licensee fails to pay any fees or costs owed.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	316,100	335,300	359,500	383,700
Revenue	<u>24,200</u>	<u>24,200</u>	<u>24,200</u>	<u>24,200</u>
<b>TOTAL FUNDS AVAILABLE</b>	340,300	359,500	383,700	407,900
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	5,000	0	0	0
 <b>TOTAL FUNDS EXPENDED</b>	 <u>5,000</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 <b>BALANCE FORWARD</b>	 335,300	 359,500	 383,700	 407,900

**MANUFACTURED HOUSING CONSUMER RECOVERY (MMA3090)**

**A.R.S. § 41-2188**

**Source of Revenue:** Fees charged to dealers and brokers of manufactured homes, mobile homes, or factory-built buildings designed for residential use.

**Purpose of Fund:** The fund is used for: consumer and license education in connection with the manufactured housing and factory-built building industry; and to make payment on damage claims filed by consumers of manufactured homes, mobile homes, or factory-built buildings designed for residential use.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF BUILDING & FIRE SAFETY (Continued)**

**MANUFACTURED HOUSING CONSUMER RECOVERY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	451,400	420,300	389,200	358,100
Revenue	<u>0</u>	<u>23,900</u>	<u>23,900</u>	<u>23,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>451,400</b>	<b>444,200</b>	<b>413,100</b>	<b>382,000</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	31,100	55,000	55,000	55,000
 <b>TOTAL FUNDS EXPENDED</b>	<b>31,100</b>	<b>55,000</b>	<b>55,000</b>	<b>55,000</b>
 <b>BALANCE FORWARD</b>	<b>420,300</b>	<b>389,200</b>	<b>358,100</b>	<b>327,000</b>

**MOBILE HOME RELOCATION (MMA2237)**

**A.R.S. § 33-1476**

**Source of Revenue:** Assessments collected from mobile home owners who do not own the land upon which the mobile home is located and from insurance rebates.

**Purpose of Fund:** To pay premiums and other costs of purchasing, from a private licensed insurer, insurance coverage for tenant relocation costs. If such insurance is not available, or if the insurance costs exceed the amount available from the fund, the fund is used to make direct payments for tenant relocation costs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	2,882,700	3,339,300	3,795,900	4,252,500
Revenue	<u>506,700</u>	<u>506,700</u>	<u>506,700</u>	<u>506,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>3,389,400</b>	<b>3,846,000</b>	<b>4,302,600</b>	<b>4,759,200</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	50,100	50,100	50,100	50,100
 <b>TOTAL FUNDS EXPENDED</b>	<b>50,100</b>	<b>50,100</b>	<b>50,100</b>	<b>50,100</b>
 <b>BALANCE FORWARD</b>	<b>3,339,300</b>	<b>3,795,900</b>	<b>4,252,500</b>	<b>4,709,100</b>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA COLISEUM AND EXPOSITION CENTER BOARD**

**Agency Summary**

**ANALYST: Jim Rounds**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	547,400	668,500	668,500	668,500
Racing Receipts and Interest	339,100	400,000	400,000	400,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>886,500</u>	<u>1,068,500</u>	<u>1,068,500</u>	<u>1,068,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Land, Buildings, and Equipment	218,000	400,000	400,000	400,000
<b>TOTAL FUNDS EXPENDED</b>	<u>218,000</u>	<u>400,000</u>	<u>400,000</u>	<u>400,000</u>
<b>BALANCE FORWARD</b>	668,500	668,500	668,500	668,500

**CAPITAL OUTLAY (CLA4006)**

**A.R.S. § 3-1003**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** Eleven percent of revenue (minimum \$400,000) derived from pari-mutuel taxes on racing receipts, investment interest, and transfers from the Enterprise Fund.

**Purpose of Fund:** Fund monies may only be used for capital outlay expenditures, bond interest, and for retirement of bond liability.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	547,400	668,500	668,500	668,500
Revenue	339,100	400,000	400,000	400,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>886,500</u>	<u>1,068,500</u>	<u>1,068,500</u>	<u>1,068,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Maintenance & Improvements	218,000	400,000	400,000	400,000
<b>TOTAL FUNDS EXPENDED</b>	<u>218,000</u>	<u>400,000</u>	<u>400,000</u>	<u>400,000</u>
<b>BALANCE FORWARD</b>	668,500	668,500	668,500	668,500

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA COLISEUM AND EXPOSITION CENTER BOARD (Continued)**

**COLISEUM IMPROVEMENT REVENUE BOND RESERVE (CLA5002)**

**A.R.S. § 3-1009**

**Source of Revenue:** Originally funded from operating revenues and racing receipts until a balance of \$500,000 was achieved. Currently receives investment interest. This fund was eliminated by Laws 1996, Chapter 220.

**Purpose of Fund:** To fund the scheduled bond retirement in FY 1994. No capital projects may be undertaken unless the balance of this fund exceeds \$500,000.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	0	0	0	0
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	0	0	0	0

**COLISEUM IMPROVEMENT SPECIAL SINKING (CLA5003)**

**A.R.S. § 3-1009**

**Source of Revenue:** Alcoholic beverage sales and investment interest. This fund was eliminated by Laws 1996, Chapter 220.

**Purpose of Fund:** Monies in the fund may only be applied toward the reduction of the Coliseum's bonded indebtedness.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	0	0	0	0
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	0	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA COLISEUM AND EXPOSITION CENTER BOARD (Continued)**

<b>CONSTRUCTION &amp; IMPROVEMENT BOND INTEREST (CLA5001)</b>	<b>A.R.S. § 3-1009</b>
<b>Source of Revenue:</b> Monies equal to the interest payment due, are transferred from the Enterprise Fund, Capital Outlay Fund, or the Bond Reserve Fund. This fund was eliminated by Laws 1996, Chapter 220.	
<b>Purpose of Fund:</b> Monies in this fund are used for interest payments on outstanding bonds.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	0	0	0	0
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	0	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF COMMERCE**

**Agency Summary**

**ANALYST: Lisa Cotter**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	37,053,700	39,120,000	32,048,400	29,529,000
Revenue	32,946,200	32,949,600	47,375,100	46,533,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>69,999,900</u>	<u>72,069,600</u>	<u>79,423,500</u>	<u>76,062,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	46.0	44.0	45.2	45.2
Operating Subtotal	4,631,200	4,512,200	4,267,500	4,276,700
Special Line Items Total	18,738,100	26,797,600	34,647,800	34,922,800
<b>TOTAL FUNDS EXPENDED</b>	<u>23,369,300</u>	<u>31,309,800</u>	<u>38,915,300</u>	<u>39,199,500</u>
APPROPRIATIONS	7,230,600	8,037,100	9,979,200	10,002,900
TRANSFERS	280,000	674,300	1,000,000	1,000,000
BALANCE FORWARD	39,120,000	32,048,400	29,529,000	25,860,100

**CAPITAL MARKETS ACCOUNT (EPA2245)**

**A.R.S. § 41-1505**

**Source of Revenue:** The Capital Markets Account is a subaccount of the Commerce and Economic Development Commission Fund. Registration fees from securities listed and sold in the State of Arizona in accordance with Laws 1991, Chapter 262 (H.B. 2451) are deposited in the Capital Markets Account.

**Purpose of Account:** Loans to help establish a stock exchange in the State of Arizona.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	2,507,000	3,044,500	2,890,500	2,736,500
Revenue	1,387,500	1,346,000	1,346,000	1,346,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>3,894,500</u>	<u>4,390,500</u>	<u>4,236,500</u>	<u>4,082,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Loans	600,000	1,000,000	1,000,000	1,000,000
<b>TOTAL FUNDS EXPENDED</b>	<u>600,000</u>	<u>1,000,000</u>	<u>1,000,000</u>	<u>1,000,000</u>
TRANSFER TO CORPORATION				
COMMISSION (ADMIN FEE)	250,000	500,000	500,000	500,000
BALANCE FORWARD	3,044,500	2,890,500	2,736,500	2,582,500

# Fiscal Year 1998 and 1999 Non-Appropriated Funds

## DEPARTMENT OF COMMERCE (Continued)

### CLEAN AIR (EPA1238)

A.R.S. § 41-1516

**Source of Revenue:** The Clean Air Fund has 7 revenue sources. Their descriptions and estimated FY 1998 revenues to the fund are as follows:

- 1) Legislative appropriations. Laws 1996, 7th Special Session, Chapter 6, Section 44 requires that the first \$1,000,000 of any monies deposited to the General Fund in any fiscal year from the settlement proceeds of the Farm and Home and Northstar cases be appropriated to the Clean Air Fund (The FY 1998 estimate assumes that only \$1,000,000 from the Farm and Home Settlement will be available).
- 2) a \$250,000 annual transfer from DEQ's Air Quality Fund.
- 3) state agency appropriations for purchasing or converting alternative fuel vehicles that have not been spent by the end of each fiscal year (FY 1998 - \$0).
- 4) monies from DEQ's Vehicle Repair Grant Program that have not been spent by the end of each fiscal year (FY 1998 - \$0).
- 5) a fee that owners of new vehicles may pay to forego the vehicle's first emissions inspection (FY 1998 - \$3,237,000).
- 6) 31.5% of revenues from State Lottery bingo games, after \$10 million is deposited in both the State Parks Board Heritage Fund and the Arizona Game and Fish Commission Heritage Fund (FY 1998 - \$5,490,000).
- 7) Gifts, grants, and donations.

**Purpose of Fund:** The Clean Air Fund was established to replace the Alternative Fuel Delivery System Fund under Laws 1996, 7th Special Session, Chapter 6 to promote the use of alternative fuels by supporting the following programs and their administrative costs:

- 1) providing individual grants of up to \$100,000 to providers of alternative fuel who establish alternative fuel delivery systems accessible to the general public.
- 2) providing individual or small business grants of up to \$1,000 for the purchase and installation of an alternative fuel delivery system on the individual's or small business' property. No more than half of the fund's deposits may be used for this purpose.
- 3) providing grants to specified school districts, cities and towns to pay a portion of the cost incurred for alternative fuels programs.
- 4) paying to alter high occupancy vehicle lane sign markings to indicate the lanes may be used by any alternative fuel vehicle.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,575,000	1,301,100	2,849,400	5,439,600
Appropriations	0	0	1,000,000	1,000,000
Revenue	0	2,613,600	8,977,000	7,487,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,575,000</u>	<u>3,914,700</u>	<u>12,826,400</u>	<u>13,926,600</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	1.2	1.2	1.2
Operating Subtotal	273,900	95,300	86,800	86,500
Contracts	0	970,000	6,300,000	6,100,000
<b>TOTAL FUNDS EXPENDED</b>	<u>273,900</u>	<u>1,065,300</u>	<u>6,386,800</u>	<u>6,186,500</u>
<b>APPROPRIATIONS EXPENDED</b>	0	0	1,000,000	1,000,000
<b>BALANCE FORWARD</b>	1,301,100	2,849,400	5,439,600	6,740,100

### COMMERCE AND ECONOMIC DEVELOPMENT (EPA2245)

A.R.S. § 41-1505

**Source of Revenue:** Proceeds from 2 scratch lottery games designated for economic development. (Please see the separate page for information on the Capital Markets Account, a subaccount within the CEDC Fund.)

**Purpose of Fund:** Provides financial assistance for the retention, expansion or location of business or other qualified projects.



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF COMMERCE (Continued)**

**COMMERCE AND ECONOMIC DEVELOPMENT (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	7,250,800	3,519,800	2,518,600	1,490,500
Revenue	<u>2,824,600</u>	<u>4,354,000</u>	<u>3,691,500</u>	<u>3,801,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>10,075,400</b>	<b>7,873,800</b>	<b>6,210,100</b>	<b>5,292,000</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>1.6</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	92,700	0	0	0
Loans	1,301,900	2,000,000	2,500,000	3,000,000
Small Business Innovation Research (SBIR)	<u>475,000</u>	<u>450,000</u>	<u>450,000</u>	<u>450,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>1,869,600</b>	<b>2,450,000</b>	<b>2,950,000</b>	<b>3,450,000</b>
<b>APPROPRIATIONS</b>	<b>4,686,000</b>	<b>2,905,200</b>	<b>1,769,600</b>	<b>1,770,600</b>
<b>BALANCE FORWARD</b>	<b>3,519,800</b>	<b>2,518,600</b>	<b>1,490,500</b>	<b>71,400</b>

**COMMERCE AND SOLAR ENERGY (EPA1020)**

**A.R.S. § 41-1511**

**This fund is recommended for elimination.**

**Source of Revenue:** Fees from department services and publications.

**Purpose of Fund:** To defray the costs of department services and publications.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	80,000	158,900	0	0
Revenue	<u>96,000</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>176,000</b>	<b>158,900</b>	<b>0</b>	<b>0</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	17,100	0	0	0
<b>TOTAL FUNDS EXPENDED</b>	<b>17,100</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TRANSFER TO COMMUNITY</b>				
WORKSHOPS FUND	0	158,900	0	0
<b>BALANCE FORWARD</b>	<b>158,900</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF COMMERCE (Continued)**

<b>COMMUNITY WORKSHOPS (EPA2149)</b>	<b>A.R.S. § 41-1503</b>
<b>Source of Revenue:</b> Workshop registration fees, publication fees, and beginning in FY 1997, environmental certification fees.	
<b>Purpose of Fund:</b> To pay expenses incurred for workshops, the production and distribution of publications, and beginning in FY 1997, the monitoring of recycling industry development.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	329,900	284,000	415,800	372,100
Revenue	232,600	393,000	235,000	235,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>562,500</u>	<u>677,000</u>	<u>650,800</u>	<u>607,100</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.5	0.5	1.0	1.0
Operating Subtotal	278,500	261,200	278,700	279,800
<b>TOTAL FUNDS EXPENDED</b>	<u>278,500</u>	<u>261,200</u>	<u>278,700</u>	<u>279,800</u>
<b>BALANCE FORWARD</b>	284,000	415,800	372,100	327,300

<b>DONATIONS (EPA3189)</b>	<b>A.R.S. § 41-1504</b>
<b>Source of Revenue:</b> Gifts, grants, donations, and other donations.	
<b>Purpose of Fund:</b> Monies are expended in accordance with the restrictions placed on the respective gift, grant, or donation.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	200,300	193,600	194,600	126,600
Revenue	259,000	125,000	25,000	25,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>459,300</u>	<u>318,600</u>	<u>219,600</u>	<u>151,600</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.6	0.5	0.5	0.5
Operating Subtotal	265,700	124,000	93,000	93,000
<b>TOTAL FUNDS EXPENDED</b>	<u>265,700</u>	<u>124,000</u>	<u>93,000</u>	<u>93,000</u>
<b>BALANCE FORWARD</b>	193,600	194,600	126,600	58,600

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF COMMERCE (Continued)**

**FEDERAL GRANTS (EPA2000)**

**A.R.S. § 35-142**

**Source of Revenue:** Federal Grants.

**Purpose of Fund:** To receive all appropriate federal grant monies awarded to the agency.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	285,600	1,092,500	802,600	693,400
Revenue	<u>13,912,900</u>	<u>14,807,900</u>	<u>17,049,300</u>	<u>17,049,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>14,198,500</u>	<u>15,900,400</u>	<u>17,851,900</u>	<u>17,742,700</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>21.9</u>	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>
Operating Subtotal	<u>1,578,800</u>	<u>1,421,200</u>	<u>1,363,500</u>	<u>1,363,500</u>
Pass-Through (Non-State Agencies)	<u>11,507,200</u>	<u>13,661,200</u>	<u>15,795,000</u>	<u>15,795,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>13,086,000</u>	<u>15,082,400</u>	<u>17,158,500</u>	<u>17,158,500</u>
<b>TRANSFERS</b>	20,000	15,400	0	0
<b>BALANCE FORWARD</b>	1,092,500	802,600	693,400	584,200

**HOUSING DEVELOPMENT (No Fund Number)**

**A.R.S. § 41-1518**

**Source of Revenue:** Transfers from the Housing Trust Fund of \$500,000 per year in FY 1998 and FY 1999 and loan processing fees. Monies transferred come from 20% of the proceeds from the sale of abandoned property by the Department of Revenue.

**Purpose of Fund:** The Housing Development Fund was established by Laws 1996, Chapter 355, to provide loans or grants to develop affordable housing in rural areas of the state within 20 miles of an existing or planned prison facility.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	10,000
Revenue	<u>0</u>	<u>0</u>	<u>510,000</u>	<u>510,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>0</u>	<u>510,000</u>	<u>520,000</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Grants	0	0	250,000	250,000
Loans	<u>0</u>	<u>0</u>	<u>250,000</u>	<u>250,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>500,000</u>	<u>500,000</u>
<b>BALANCE FORWARD</b>	0	0	10,000	20,000

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF COMMERCE (Continued)**

<b>HOUSING FINANCE REVIEW (EPA2234)</b>	<b>A.R.S. § 35-142</b>
<b>Source of Revenue:</b> Fees received from developers who participate in the Federal Low-Income Housing Credit Program.	
<b>Purpose of Fund:</b> Provides for administration of the federal program.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	197,500	385,500	400,500	405,300
Revenue	<u>549,700</u>	<u>415,000</u>	<u>415,000</u>	<u>415,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	747,200	800,500	815,500	820,300
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>6.4</u>	<u>6.5</u>	<u>6.5</u>	<u>6.5</u>
Operating Subtotal	361,700	400,000	410,200	413,600
 <b>TOTAL FUNDS EXPENDED</b>	<u>361,700</u>	<u>400,000</u>	<u>410,200</u>	<u>413,600</u>
 <b>BALANCE FORWARD</b>	385,500	400,500	405,300	406,700

<b>HOUSING TRUST (EPA2235)</b>	<b>A.R.S. § 41-1512</b>
<b>Source of Revenue:</b> Fifty-five percent (35% percent prior to FY 1998) of the proceeds from the sale of abandoned property by the Department of Revenue and investment earnings.	
<b>Purpose of Fund:</b> To be used for the operation, construction, or renovation of facilities for housing for low-income households. Beginning in FY 1998, 20% of the proceeds from the sale of abandoned property must be used exclusively for the development of affordable housing in rural areas and purposes authorized under Commerce's Housing Development Fund. In FY 1998 and FY 1999, the Housing Trust Fund must transfer \$500,000 per year to the Housing Development Fund. An amount not to exceed 10% of the housing trust monies may be appropriated annually by the Legislature for administration.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	4,286,900	6,324,600	5,545,700	7,007,700
Revenue	<u>6,216,400</u>	<u>3,379,500</u>	<u>8,672,100</u>	<u>9,235,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	10,503,300	9,704,100	14,217,800	16,243,200
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Aid to Organizations	4,024,500	4,000,000	6,500,000	6,500,000
 <b>TOTAL FUNDS EXPENDED</b>	<u>4,024,500</u>	<u>4,000,000</u>	<u>6,500,000</u>	<u>6,500,000</u>
APPROPRIATIONS	154,200	158,400	210,100	207,700
TRANSFER TO HOUSING DEV FUND	0	0	500,000	500,000
<b>BALANCE FORWARD</b>	6,324,600	5,545,700	7,007,700	9,035,500

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF COMMERCE (Continued)**

**ARIZONA INTERNATIONAL DEVELOPMENT AUTHORITY (No Fund Number)**

**A.R.S. § 41-1553.04**

**Source of Revenue:** Tolls, fees and rents for use of any authority facility or for services rendered by the authority.

**Purpose of Fund:** The International Development Authority (IDA) was established by Laws 1994, Chapter 225, to facilitate international trade and commerce between the state and other countries. The authority, which has the ability to issue bonds, is a corporate body and a political subdivision of the state, independent of the Department of Commerce. The state is not responsible for any costs incurred by the authority including compensation for board members or employees.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	0	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>BALANCE FORWARD</b>	0	0	0	0

**MINORITY BUSINESS FUND (EPA3000)**

**A.R.S. § 41-1105**

**This fund is recommended for elimination.**

**Source of Revenue:** Public and private gifts, grants and donations.

**Purpose of Fund:** To enhance and promote economic growth and enrichment in low-income and minority communities as well as minority and women-owned businesses by conducting an availability study and providing workshops, seminars and conferences to supply technical assistance, training and education. The fund was moved by Executive Order 94-10 from the Governor's Office of Equal Opportunity to the Department of Commerce, effective July 18, 1994. The fund is administered by the department's Arizona Business Assistance Center.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	0	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF COMMERCE (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 BALANCE FORWARD	 0	 0	 0	 0

**OIL OVERCHARGE (EPA3171)**

**A.R.S. § 41-1503**

**Source of Revenue:** Federal court settlements by oil companies who overcharged consumers during the petroleum price controls of the 1970's. The fund also contains interest earnings.

**Purpose of Fund:** To provide restitution to the citizens of Arizona. Restitution is to be made through energy programs administered by the state.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	15,529,800	15,757,300	9,709,600	6,915,300
Revenue	<u>2,508,900</u>	<u>580,600</u>	<u>571,200</u>	<u>571,200</u>
TOTAL FUNDS AVAILABLE	18,038,700	16,337,900	10,280,800	7,486,500
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>14.0</u>	<u>16.3</u>	<u>17.0</u>	<u>17.0</u>
Operating Subtotal	1,706,500	2,138,400	1,963,200	1,963,200
Pass-Through (Non-State Agencies)	113,800	4,286,400	1,217,800	1,217,800
Aid to Individuals	<u>342,100</u>	<u>80,000</u>	<u>60,000</u>	<u>60,000</u>
TOTAL FUNDS EXPENDED	2,162,400	6,504,800	3,241,000	3,241,000
APPROPRIATIONS	119,000	123,500	124,500	124,600
 BALANCE FORWARD	 15,757,300	 9,709,600	 6,915,300	 4,120,900

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF COMMERCE (Continued)**

**RECYCLING (EPA2289)**

**A.R.S. § 49-837**

**Source of Revenue:** Gifts, grants, donations and landfill disposal fees. At least 4%, but not more than 5%, of the Recycling Fund receipts will be transferred from the Department of Environmental Quality (DEQ) to the Department of Commerce. See the DEQ for the remainder of revenues and expenditures.

**Purpose of Fund:** Administration of the Recycled Market Development Program as established by Laws 1993, Chapter 310. The Recycled Market Development Program shall: 1) develop state market development strategies; 2) coordinate business recruitment and expansion programs, as well as provide technical assistance to companies using post-consumer materials; 3) advise the DEQ in evaluation of grants; and 4) coordinate the advisory committee on recycled materials markets.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	15,800	34,500	47,400	33,300
Revenue	<u>85,000</u>	<u>85,000</u>	<u>58,000</u>	<u>58,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	100,800	119,500	105,400	91,300
<b>FUNDS EXPENDED</b>				
Full Time Equivalent Positions	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
Operating Subtotal	56,300	72,100	72,100	77,100
<b>TOTAL FUNDS EXPENDED</b>	<u>56,300</u>	<u>72,100</u>	<u>72,100</u>	<u>77,100</u>
TRANSFERS	10,000	0	0	0
<b>BALANCE FORWARD</b>	34,500	47,400	33,300	14,200

**STATE EMPLOYEE RIDESHARE (EPA2214-Closed)**

**A.R.S. § 41-101**

**Source of Revenue:** Appropriations, grants, gifts, federal funds, and fees.

**Purpose of Fund:** To establish, operate, and administer a ride sharing program for the transportation of state employees between their residences and their place of work. This fund was eliminated by Laws 1996, Chapter 220. The program was transferred to the Department of Administration in FY 1994 and the monies in the fund were transferred to fund ADA2261.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	0	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF COMMERCE (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 BALANCE FORWARD	 0	 0	 0	 0

**WORK FORCE RECRUITMENT AND JOB TRAINING (EPA1237)**

**A.R.S. § 41-1544**

**Source of Revenue:** Legislative appropriations, gifts, grants, interest earned on investments, and other monies.

**Purpose of Fund:** To provide training and retraining for specific employment opportunities with new and expanding businesses or businesses undergoing economic conversion. Training shall be through the community college system, a licensed private postsecondary educational institution, or a community college operated by a tribal government, unless the employer requests another qualified training provider.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	4,795,100	7,023,700	6,673,700	4,298,700
Appropriations	4,500,000	4,500,000	4,500,000	4,500,000
Interest Earned	<u>373,600</u>	<u>350,000</u>	<u>325,000</u>	<u>300,000</u>
TOTAL FUNDS AVAILABLE	9,668,700	11,873,700	11,498,700	9,098,700
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 Aid to Organizations	 373,600	 350,000	 325,000	 300,000
 TOTAL FUNDS EXPENDED	 <u>373,600</u>	 <u>350,000</u>	 <u>325,000</u>	 <u>300,000</u>
APPROPRIATIONS EXPENDED	2,271,400	4,850,000	6,875,000	6,900,000
 BALANCE FORWARD	 7,023,700	 6,673,700	 4,298,700	 1,898,700



# **Fiscal Year 1998 Non-Appropriated Funds**

## **STATE COMPENSATION FUND**

**ANALYST: Jeffrey Schmied**

**STATE COMPENSATION FUND (No Fund Number)**

**A.R.S. § 23-981**

**Source of Revenue:** Workers' compensation insurance premiums; investment income, including capital gains; other income.

**Purpose of Fund:** The State Compensation Fund is established for the purpose of insuring employers against liability for workers' compensation, occupational disease compensation and medical, surgical and hospital benefits pursuant to the provisions of Arizona and federal statutes. By statute, the State Compensation Fund's operating and capital outlay budget is prepared on a calendar year basis and submitted for review and approval by the Joint Legislative Budget Committee.

	<u>CY 1995</u>	<u>CY 1996</u>	<u>CY 1997</u>
<b>FUNDS AVAILABLE</b>			
Balance Forward	177,298,100	185,057,800	218,656,900
Revenue	480,500,000	445,600,000	469,600,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>657,798,100</u>	<u>630,657,800</u>	<u>688,256,900</u>
<b>FUNDS EXPENDED</b>			
<i>Full Time Equivalent Positions</i>	734.0	744.0	746.0
Operating Subtotal	33,832,100	35,438,800	35,655,100
Special Line Items	10,950,500	13,562,100	12,788,300
Compensation and Medical Benefits	346,077,300	306,000,000	350,000,000
Policyholder Dividends	67,600,000	45,000,000	45,000,000
Accounting Adjustments	14,280,400	12,000,000	12,000,000
<b>TOTAL FUNDS EXPENDED</b>	<u>472,740,300</u>	<u>412,000,900</u>	<u>455,443,400</u>
 <b>BALANCE FORWARD</b>	 185,057,800	 218,656,900	 232,813,500

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**REGISTRAR OF CONTRACTORS**

**ANALYST: Lisa Cotter**

**CONTRACTORS' RECOVERY (RGA3155)**

**A.R.S. § 32-1132**

**Source of Revenue:** An assessment, not to exceed \$600 per biennial license period, paid by applicants granted a residential contracting license and by licensees renewing a residential contracting license and other monies.

**Purpose of Fund:** To compensate anyone who is injured by an act, a representation, a transaction, or the conduct of a residential contractor in violation of A.R.S. § 31-1101. Compensation for damages cannot exceed \$20,000.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	4,018,000	3,499,900	3,167,000	3,006,800
Revenue	2,600,800	2,808,900	3,033,600	3,276,300
<b>TOTAL FUNDS AVAILABLE</b>	<b>6,618,800</b>	<b>6,308,800</b>	<b>6,200,600</b>	<b>6,283,100</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	5.0	5.0	5.0	5.0
Operating Subtotal	254,100	341,800	493,800	493,800
Judgements	2,864,800	2,800,000	2,700,000	2,600,000
<b>TOTAL FUNDS EXPENDED</b>	<b>3,118,900</b>	<b>3,141,800</b>	<b>3,193,800</b>	<b>3,093,800</b>
 <b>BALANCE FORWARD</b>	 3,499,900	 3,167,000	 3,006,800	 3,189,300

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

<b>CORPORATION COMMISSION</b>	<b>ANALYST: Brad Regens</b>
<b>FEDERAL (CCA2000)</b>	<b>A.R.S. § 40-441</b>
<b>Source of Revenue:</b> U.S. Department of Transportation grant.	
<b>Purpose of Fund:</b> To pay up to 50% of the costs associated with the inspection of interstate pipelines transporting gas and hazardous liquids, and conduction of a pipeline safety program.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	23,900	103,300	103,300	103,300
Revenue	435,300	370,000	370,000	370,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>459,200</u>	<u>473,300</u>	<u>473,300</u>	<u>473,300</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>104,600</u>	<u>98,000</u>	<u>98,000</u>	<u>98,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>104,600</u>	<u>98,000</u>	<u>98,000</u>	<u>98,000</u>
 TRANSFERS	 251,300	 272,000	 272,000	 272,000
BALANCE FORWARD	103,300	103,300	103,300	103,300

# Fiscal Year 1998 and 1999 Non-Appropriated Funds

## ARIZONA CRIMINAL JUSTICE COMMISSION

### Agency Summary

ANALYST: Jim Hillyard

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	2,364,400	2,926,300	3,284,300	3,660,200
Revenue	11,511,700	16,375,200	15,672,400	16,316,700
<b>TOTAL FUNDS AVAILABLE</b>	<u>13,876,100</u>	<u>19,301,500</u>	<u>18,956,700</u>	<u>19,976,900</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	12.0	12.0	12.0	12.0
Operating Subtotal	526,200	649,200	590,300	590,300
Special Line Items Total	7,986,200	12,421,800	11,908,700	11,908,700
<b>TOTAL FUNDS EXPENDED</b>	<u>8,512,400</u>	<u>13,071,000</u>	<u>12,499,000</u>	<u>12,499,000</u>
<b>TRANSFERS</b>	2,437,400	2,946,200	2,797,500	2,797,500
<b>BALANCE FORWARD</b>	2,926,300	3,284,300	3,660,200	4,680,400

### CRIMINAL JUSTICE ENHANCEMENT FUND (CJEF) (TRA3702)

A.R.S. § 41-2401

**Source of Revenue:** Beginning August 31, 1996, a 47% penalty on fines and forfeitures imposed by the courts for criminal and civil motor vehicle statute violations.

**Purpose of Fund:** CJEF monies are distributed on a percentage basis to various state entities. See individual agency pages for detailed information on each recipient. The distribution formula shown below becomes effective February 28, 1997. For the distribution formula prior to this date, see the FY 1996 Non-Appropriated Funds report.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>	<u>% of CJEF</u>	<u>Approp.</u>
<b>FUNDS AVAILABLE</b>						
Balance Forward	3,559,600	3,559,700	3,559,700	3,559,600		
Revenue	22,970,700	23,699,700	25,180,900	26,754,700		
<b>TOTAL FUNDS AVAILABLE</b>	<u>26,530,300</u>	<u>27,259,400</u>	<u>28,740,600</u>	<u>30,314,300</u>		
<b>FUNDS EXPENDED</b> <sup>1/</sup>						
<b>TRANSFERS OUT:</b>						
Attorney General						
AZ Prosecuting Attorneys Advisory Council (4)	712,100	729,100	763,000	810,700	3.03	no
County Attorneys (7)	2,193,700	2,247,300	2,354,400	2,501,600	9.35	no
Victim's Rights Implementation (13)	1,803,200	1,846,800	1,933,900	2,054,800	7.68	no <sup>2/</sup>
AZ Criminal Justice Commission						
Operation Costs (10)	367,500	376,800	395,300	420,000	1.57	yes
Victim Compensation (14)	1,079,600	1,105,900	1,158,300	1,230,700	4.60	no <sup>2/</sup>
Department of Corrections						
County Sheriffs (9)	2,745,000	2,812,100	2,946,200	3,130,300	11.70	no

# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **ARIZONA CRIMINAL JUSTICE COMMISSION (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>	<u>% of CJEF</u>	<u>Approp.</u>
Department of Public Safety						
Crime Lab Assessment Fund (12)	539,800	552,900	579,200	615,400	2.30	no <sup>2/</sup>
DNA Identification System (6)	300,900	308,800	327,400	347,800	1.30	no <sup>2/</sup>
Fingerprint Identification System (1)	1,516,100	1,553,000	1,626,700	1,728,400	6.46	yes
Peace Officer's Training Fund (3)	3,905,000	4,000,200	4,190,100	4,452,000	16.64	no
State/Local Grants (6)	1,709,000	1,749,800	1,828,100	1,942,400	7.26	<sup>3/</sup>
Department of Juvenile Corrections (2)	379,000	387,800	405,400	430,800	1.61	yes
Supreme Court						
Case Processing (8)	1,412,700	1,447,100	1,515,900	1,610,600	6.02	yes
Juvenile Crime (5)	2,193,700	2,247,300	2,354,400	2,501,600	9.35	yes
Community Punishment Program (15)	0	170,400	536,400	569,900	2.13	yes <sup>4/</sup>
General Fund (11) <sup>5/</sup>	2,113,300	2,164,400	2,266,300	2,407,900	9.00	no
<b>TOTAL FUNDS EXPENDED</b>	<b>22,970,600</b>	<b>23,699,700</b>	<b>25,181,000</b>	<b>26,754,900</b>		
 BALANCE FORWARD	 3,559,700	 3,559,700	 3,559,600	 3,559,400		

- <sup>1/</sup> The numbers following the recipient agencies represent the paragraph numbers from § 41-2401 subsection D, distributing these funds.
- <sup>2/</sup> These monies represent a continuing appropriation from the CJEF, but are appropriated prior to expenditure by the recipient agency.
- <sup>3/</sup> Monies retained by DPS for operating expenditures are appropriated. Those passed through to state and local agencies are non-appropriated.
- <sup>4/</sup> Statute does not indicate this fund's appropriation status. The JLBC Staff recommends appropriation.
- <sup>5/</sup> The JLBC Staff is recommending that this amount be deposited into the Crime Lab Assessment Fund.

### **CRIMINAL JUSTICE RECORDS IMPROVEMENT (JCA2229)**

**A.R.S. § 41-2405**

**Source of Revenue:** Federal Criminal Justice Improvement grant and required local matching funds.

**Purpose of Fund:** To improve the accuracy, timeliness, and completeness of the criminal justice records system in Arizona.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	201,400	230,900	0	0
Revenue	1,415,000	1,605,700	1,603,900	1,694,900
<b>TOTAL FUNDS AVAILABLE</b>	<b>1,616,400</b>	<b>1,836,600</b>	<b>1,603,900</b>	<b>1,694,900</b>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA CRIMINAL JUSTICE COMMISSION (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.5</u>	<u>0.5</u>	<u>0.5</u>	<u>0.5</u>
Operating Subtotal	25,000	99,300	24,300	24,300
Pass Through to State and Local Agencies	1,360,500	1,737,300	1,579,600	1,579,600
<b>TOTAL FUNDS EXPENDED</b>	<u>1,385,500</u>	<u>1,836,600</u>	<u>1,603,900</u>	<u>1,603,900</u>
 <b>BALANCE FORWARD</b>	 230,900	 0	 0	 91,000

**DRUG ENFORCEMENT ACCOUNT (JCA2229)**

**A.R.S. § 41-2402**

**Source of Revenue:** Edward Byrne Memorial Federal grant monies (\$8,331,600), all drug fees and fines (\$3,050,900) (§ 13-811), selected Superior Court fees (\$200,100) (§ 12-284), and local matching funds (\$2,298,600). Estimated amounts represent FY 1997.

**Purpose of Fund:** To make grants in amounts not to exceed the percentages shown to enhance efforts to investigate (30%), prosecute (40%), adjudicate (30%), and punish (30%) drug offenders. In FY 1996, the distribution was as follows: investigation: \$3,088,200; prosecution: \$3,723,800; adjudication: \$1,448,700; detention: \$423,700; education: \$22,000. Superior Court fees are passed through to the Arizona Drug and Gang Prevention Resource Center.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	2,160,000	2,692,100	3,284,300	3,660,200
Revenue	<u>9,878,900</u>	<u>13,881,200</u>	<u>12,961,400</u>	<u>13,514,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>12,038,900</u>	<u>16,573,300</u>	<u>16,245,700</u>	<u>17,174,900</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>10.5</u>	<u>10.5</u>	<u>10.5</u>	<u>10.5</u>
Operating Subtotal	437,400	496,700	516,100	516,100
Pass Through to State and Local Agencies	6,472,000	9,846,100	9,271,900	9,271,900
<b>TOTAL FUNDS EXPENDED</b>	<u>6,909,400</u>	<u>10,342,800</u>	<u>9,788,000</u>	<u>9,788,000</u>
<b>TRANSFERS TO:</b>				
PREVENTION RESOURCE CENTER	203,000	230,000	203,000	203,000
JUDICIARY	1,454,000	1,736,800	1,736,800	1,736,800
ATTORNEY GENERAL	780,400	979,400	857,700	857,700
 <b>BALANCE FORWARD</b>	 2,692,100	 3,284,300	 3,660,200	 4,589,400

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA CRIMINAL JUSTICE COMMISSION (Continued)**

**FEDERAL GRANT PASS THROUGH (JCA2229)**

**A.R.S. § 41-2405**

**Source of Revenue:** Federal Grants for the Local Law Enforcement Block Grants program, the Residential Substance Abuse Treatment Program, and the required local matching funds.

**Purpose of Fund:** The Local Law Enforcement Block Grant program provides funds to local law enforcement agencies for crime reduction programs. The Residential Substance Abuse Treatment program provides funds to state and local correction and detention agencies for substance abuse programs for incarcerated offenders. These funds are distributed based on the terms of the specific federal grant.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	0	838,400	1,057,200	1,057,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>838,400</u>	<u>1,057,200</u>	<u>1,057,200</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Pass Through to State and Local Agencies	0	838,400	1,057,200	1,057,200
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>838,400</u>	<u>1,057,200</u>	<u>1,057,200</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0	 0

**HIGH INTENSITY DRUG TRAFFICKING AREAS (JCA2229)**

**A.R.S. § 41-2405**

**Source of Revenue:** Federal Grant

**Purpose of Fund:** To fund enforcement in federally designated, high intensity drug trafficking areas in Arizona. The program was eliminated at the end of FY 1995.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	153,700	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>153,700</u>	<u>0</u>	<u>0</u>	<u>0</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA CRIMINAL JUSTICE COMMISSION (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Reversion of Federal Funds	153,700	0	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>153,700</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0	 0

**STATISTICAL ANALYSIS CENTER (JCA2229)**

**A.R.S. § 41-2405**

**Source of Revenue:** Federal Grant

**Purpose of Fund:** To provide support for research projects dealing with criminal justice issues.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	3,000	3,300	0	0
Revenue	<u>64,100</u>	<u>49,900</u>	<u>49,900</u>	<u>49,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>67,100</u>	<u>53,200</u>	<u>49,900</u>	<u>49,900</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
Operating Subtotal	63,800	53,200	49,900	49,900
 <b>TOTAL FUNDS EXPENDED</b>	<u>63,800</u>	<u>53,200</u>	<u>49,900</u>	<u>49,900</u>
 <b>BALANCE FORWARD</b>	 3,300	 0	 0	 0



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA STATE SCHOOLS FOR THE DEAF AND THE BLIND**

**Agency Summary**

**ANALYST: Stefan Shepherd**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	623,400	906,500	457,300	246,900
Revenue	<u>3,562,600</u>	<u>2,880,300</u>	<u>3,082,300</u>	<u>3,323,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>4,186,000</b>	<b>3,786,800</b>	<b>3,539,600</b>	<b>3,570,800</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>69.0</u>	<u>73.0</u>	<u>75.0</u>	<u>72.5</u>
Operating Subtotal	<u>3,126,400</u>	<u>3,193,600</u>	<u>3,292,700</u>	<u>3,332,500</u>
 <b>TOTAL FUNDS EXPENDED</b>	<b>3,126,400</b>	<b>3,193,600</b>	<b>3,292,700</b>	<b>3,332,500</b>
APPROPRIATIONS	0	7,300	0	0
TRANSFERS	153,100	128,600	0	0
BALANCE FORWARD	906,500	457,300	246,900	238,300

**ENTERPRISE (SDA4222)**

**A.R.S. § 15-1323**

**Source of Revenue:** Monies received from fees, rentals and other charges from the non-school use of facilities.

**Purpose of Fund:** To defray the costs of renting those facilities (i.e. auditorium).

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	37,800	39,700	42,800	63,700
Revenue	<u>90,400</u>	<u>90,000</u>	<u>100,000</u>	<u>110,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>128,200</b>	<b>129,700</b>	<b>142,800</b>	<b>173,700</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>1.0</u>	<u>0.5</u>	<u>0.5</u>	<u>0.5</u>
Operating Subtotal	<u>88,500</u>	<u>79,600</u>	<u>79,100</u>	<u>79,100</u>
 <b>TOTAL FUNDS EXPENDED</b>	<b>88,500</b>	<b>79,600</b>	<b>79,100</b>	<b>79,100</b>
APPROPRIATIONS	0	7,300	0	0
BALANCE FORWARD	39,700	42,800	63,700	94,600

# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **ARIZONA STATE SCHOOLS FOR THE DEAF AND THE BLIND (Continued)**

### **FEDERAL GRANTS (SDA2000)**

**A.R.S. § 15-1303**

**Source of Revenue:** Federal Grants - Title I aid to schools; vocational rehabilitation; National School Lunch and Breakfast programs; Arizona deaf-blind project; preschool services; Arizona Early Intervention program.

**Purpose of Fund:** To be expended as stipulated by federal statutes authorizing the federal grants.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	334,700	531,500	188,300	12,100
Revenue	<u>1,243,400</u>	<u>1,021,900</u>	<u>928,900</u>	<u>928,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,578,100</u>	<u>1,553,400</u>	<u>1,117,200</u>	<u>941,000</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>23.0</u>	<u>25.5</u>	<u>22.5</u>	<u>17.0</u>
Operating Subtotal	<u>999,900</u>	<u>1,236,500</u>	<u>1,105,100</u>	<u>940,900</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>999,900</u>	<u>1,236,500</u>	<u>1,105,100</u>	<u>940,900</u>
 <b>TRANSFERS</b>	46,700	128,600	0	0
<b>BALANCE FORWARD</b>	531,500	188,300	12,100	100

### **NON-FEDERAL GRANTS (SDA2011)**

**A.R.S. § 15-1303**

**Source of Revenue:** Grants by private corporations and other state agencies.

**Purpose of Fund:** To accomplish specific projects of interest to the donor and the Arizona State Schools for the Deaf and the Blind.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	26,600	11,100	8,100	5,100
Revenue	<u>136,200</u>	<u>10,000</u>	<u>5,000</u>	<u>5,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>162,800</u>	<u>21,100</u>	<u>13,100</u>	<u>10,100</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>126,600</u>	<u>13,000</u>	<u>8,000</u>	<u>8,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>126,600</u>	<u>13,000</u>	<u>8,000</u>	<u>8,000</u>
 <b>TRANSFERS</b>	25,100	0	0	0
<b>BALANCE FORWARD</b>	11,100	8,100	5,100	2,100

## Fiscal Year 1998 and 1999 Non-Appropriated Funds

### ARIZONA STATE SCHOOLS FOR THE DEAF AND THE BLIND (Continued)

REGIONAL COOPERATIVES (SDA4221)

Laws 1987, Chapter 363

Source of Revenue:

Costs of educational and related services are paid by the school district in which the student resides through a tuition agreement. The costs of supplemental services are paid by all participating school districts through a basic membership agreement.

Purpose of Fund:

Funds 3 regional service cooperatives for sensory impaired pupils. The cooperative program provides educational programs, related services, and supplemental services through the pooling of school district resources.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	189,300	293,400	202,800	160,200
Revenue	2,027,900	1,689,400	1,973,400	2,200,000
TOTAL FUNDS AVAILABLE	2,217,200	1,982,800	2,176,200	2,360,200
FUNDS EXPENDED				
Full Time Equivalent Positions	45.0	47.0	52.0	55.0
Operating Subtotal	1,842,500	1,780,000	2,016,000	2,220,000
TOTAL FUNDS EXPENDED	1,842,500	1,780,000	2,016,000	2,220,000
TRANSFERS	81,300	0	0	0
BALANCE FORWARD	293,400	202,800	160,200	140,200

TRUST (SDA3148)		A.R.S. § 15-1323		
<b>Source of Revenue:</b> Monies received from private endowment, which are outside the control of the State Treasurer and are held by the ASDB Board.				
<b>Purpose of Fund:</b> Spent at the discretion of Arizona State Schools for the Deaf and the Blind.				
	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	35,000	30,800	15,300	5,800
Revenue	<u>64,700</u>	<u>69,000</u>	<u>75,000</u>	<u>80,000</u>
TOTAL FUNDS AVAILABLE	99,700	99,800	90,300	85,800
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>68,900</u>	<u>84,500</u>	<u>84,500</u>	<u>84,500</u>
TOTAL FUNDS EXPENDED	<u>68,900</u>	<u>84,500</u>	<u>84,500</u>	<u>84,500</u>
BALANCE FORWARD	30,800	15,300	5,800	1,300

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DRUG AND GANG PREVENTION RESOURCE CENTER**

**ANALYST: Jim Hillyard**

**INTERGOVERNMENTAL AGREEMENTS (No Fund Number)**

**A.R.S. § 41-617**

**Source of Revenue:** Intergovernmental Agreements with the Department of Health Services, the Department of Education, and the Governor's Office of Community Development, and contracts for services with state and local agencies.

**Purpose of Fund:** To provide a clearinghouse of prevention materials, technical program development assistance, and research and evaluation services to communities developing and implementing programs to prevent substance abuse and other social problems.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	102,600	98,600	97,900	97,900
Revenue	<u>1,301,100</u>	<u>2,218,100</u>	<u>2,218,100</u>	<u>2,218,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	1,403,700	2,316,700	2,316,000	2,316,000
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>17.6</u>	<u>18.0</u>	<u>18.0</u>	<u>18.0</u>
Operating Subtotal	<u>1,284,400</u>	<u>1,519,700</u>	<u>1,519,000</u>	<u>1,519,000</u>
Pass Through to Local Organizations	20,700	699,100	699,100	699,100
 <b>TOTAL FUNDS EXPENDED</b>	<u>1,305,100</u>	<u>2,218,800</u>	<u>2,218,100</u>	<u>2,218,100</u>
 <b>BALANCE FORWARD</b>	98,600	97,900	97,900	97,900

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF EMERGENCY AND MILITARY AFFAIRS**

**Agency Summary**

**ANALYST:** Lisa Cotter

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	108,200	1,981,800	1,732,900	3,080,300
Revenue	<u>36,055,700</u>	<u>37,575,100</u>	<u>59,489,800</u>	<u>32,785,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>36,163,900</u>	<u>39,556,900</u>	<u>61,222,700</u>	<u>35,866,000</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>357.0</u>	<u>350.0</u>	<u>350.0</u>	<u>345.5</u>
Operating Subtotal	<u>25,727,400</u>	<u>23,078,400</u>	<u>23,199,200</u>	<u>22,934,300</u>
Special Line Items Total	<u>8,454,700</u>	<u>14,745,600</u>	<u>34,943,200</u>	<u>8,504,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>34,182,100</u>	<u>37,824,000</u>	<u>58,142,400</u>	<u>31,438,300</u>
 <b>BALANCE FORWARD</b>	 1,981,800	 1,732,900	 3,080,300	 4,427,700

**CAMP NAVAJO (MAA2106)**

**A.R.S. § 26-152**

**Source of Revenue:** Federal fees for storage and use of Camp Navajo.

**Purpose of Fund:** Operation and maintenance of the Camp Navajo National Guard training and storage facility.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	385,200	720,700	1,888,200
Revenue	<u>5,543,000</u>	<u>6,494,100</u>	<u>7,520,100</u>	<u>7,520,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>5,543,000</u>	<u>6,879,300</u>	<u>8,240,800</u>	<u>9,408,300</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>91.0</u>	<u>86.0</u>	<u>86.0</u>	<u>86.0</u>
Operating Subtotal	<u>5,157,800</u>	<u>6,158,600</u>	<u>6,352,600</u>	<u>6,352,600</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>5,157,800</u>	<u>6,158,600</u>	<u>6,352,600</u>	<u>6,352,600</u>
 <b>BALANCE FORWARD</b>	 385,200	 720,700	 1,888,200	 3,055,700

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF EMERGENCY AND MILITARY AFFAIRS (Continued)**

**EMERGENCY MANAGEMENT TRAINING FUND (MAA2087)**

**A.R.S. § 26-305**

**Source of Revenue:** Fees collected by the division for coordinating symposiums, training conferences and seminars.

**Purpose of Fund:** Used to meet expenses of coordinating symposiums, training conferences and seminars.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	0	0	0	0
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	0	0	0	0

**FEDERAL FUNDS - EMERGENCY (MAA2000)**

**A.R.S. § 35-142**

**Source of Revenue:** Federal program grants.

**Purpose of Fund:** Used to pay for federal share of emergency planning, response and management programs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	108,200	174,900	0	0
Revenue	<u>11,575,600</u>	<u>16,623,600</u>	<u>36,874,300</u>	<u>10,170,200</u>
<b>TOTAL FUNDS AVAILABLE</b>	11,683,800	16,798,500	36,874,300	10,170,200
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>27.5</u>	<u>28.5</u>	<u>28.5</u>	<u>24.0</u>
Operating Subtotal	3,054,200	2,058,900	1,932,100	1,667,200
Pass-Through (Non-State Agencies)	6,560,300	12,917,900	34,285,100	8,503,000
Aid to Individuals	<u>1,894,400</u>	<u>1,821,700</u>	<u>657,100</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	11,508,900	16,798,500	36,874,300	10,170,200
 <b>BALANCE FORWARD</b>	174,900	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF EMERGENCY AND MILITARY AFFAIRS (Continued)**

**FEDERAL FUNDS - MILITARY (MAA2000)**

**A.R.S. § 35-142**

**Source of Revenue:** Federal Service Contracts for security, maintenance and operations of the National Guard.

**Purpose of Fund:** To provide the federal share for costs of Army National Guard and Air National Guard for related positions, capital and operating expenses.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	1,390,400	985,900	1,165,800
Revenue	<u>18,887,600</u>	<u>14,431,900</u>	<u>15,069,900</u>	<u>15,069,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>18,887,600</u>	<u>15,822,300</u>	<u>16,055,800</u>	<u>16,235,700</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>238.5</u>	<u>235.5</u>	<u>235.5</u>	<u>235.5</u>
Operating Subtotal	<u>17,497,200</u>	<u>14,836,400</u>	<u>14,890,000</u>	<u>14,890,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>17,497,200</u>	<u>14,836,400</u>	<u>14,890,000</u>	<u>14,890,000</u>
<b>BALANCE FORWARD</b>	1,390,400	985,900	1,165,800	1,345,700

**FREEDOM ACADEMY (MAA2104)**

**A.R.S. § 35-142**

**Source of Revenue:** Governor' Office of Drug Policy (state) and the Joint Counter Narcotics Task Force (Department of Justice, through the Department of Defense).

**Purpose of Fund:** For teaching middle and high school students to lead drug and gang-free lives. Student representatives throughout the state are invited to participate in weekend-long programs at Camp Navajo, where they also learn leadership, team-building, communication, and crisis-management skills. The representatives return to their schools to educate fellow-students.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	26,300	26,300	26,300
Revenue	<u>44,500</u>	<u>24,500</u>	<u>24,500</u>	<u>24,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>44,500</u>	<u>50,800</u>	<u>50,800</u>	<u>50,800</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF EMERGENCY AND MILITARY AFFAIRS (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>18,200</u>	<u>24,500</u>	<u>24,500</u>	<u>24,500</u>
 TOTAL FUNDS EXPENDED	 <u>18,200</u>	 <u>24,500</u>	 <u>24,500</u>	 <u>24,500</u>
 BALANCE FORWARD	 26,300	 26,300	 26,300	 26,300

**MORALE, WELFARE AND RECREATION (MAA2124)**

**A.R.S. § 35-153**

**Source of Revenue:** A portion of National Guard Member Special License Plate fees, funds generated from federally-defined recycling activities, monies from the disposal of unserviceable military property belonging to the state, or other non-state-appropriated monies received by the department for morale activities.

**Purpose of Fund:** The Morale, Welfare and Recreation Fund was established by Laws 1996, Chapter 110 to support morale, welfare, and recreation activities for guardsmen and non-state-employed support personnel.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	0	5,000	0	0
Revenue	<u>5,000</u>	<u>1,000</u>	<u>1,000</u>	<u>1,000</u>
TOTAL FUNDS AVAILABLE	<u>5,000</u>	<u>6,000</u>	<u>1,000</u>	<u>1,000</u>
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Unit Allowances	0	6,000	1,000	1,000
TOTAL FUNDS EXPENDED	<u>0</u>	<u>6,000</u>	<u>1,000</u>	<u>1,000</u>
 BALANCE FORWARD	 5,000	 0	 0	 0



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**COMMISSION ON THE ARIZONA ENVIRONMENT**

**Agency Summary**

**ANALYST: Karen Bock**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	50,300	25,000	25,000	25,000
Revenue	<u>19,700</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	70,000	25,000	25,000	25,000
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	45,000	0	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>45,000</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	 25,000	 25,000	 25,000	 25,000

**DONATIONS FUND (ENA3002)**

**A.R.S. § 49-121**

**This fund is recommended for elimination.**

**Source of Revenue:** Special donations related to environmental education activities and to the Arizona Comparative Environmental Risk Project (ACERP). The agency has been eliminated and no future revenue activity is expected.

**Purpose of Fund:** For activities of the Interagency Committee on Environmental Education (established pursuant to A.R.S. § 49-161) and for ACERP projects, as agreed upon by the Commission of the Arizona Environment steering committees, donors, and the director. The Department of Environmental Quality (DEQ) plans to transfer remaining monies out of this fund so that DEQ may continue to use them for ACERP-related expenses.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	15,400	7,800	7,800	7,800
Revenue	<u>9,700</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	25,100	7,800	7,800	7,800
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	17,300	0	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>17,300</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	 7,800	 7,800	 7,800	 7,800

# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **COMMISSION ON THE ARIZONA ENVIRONMENT (Continued)**

<b>SPECIAL REVOLVING (ENA2210)</b>	<b>A.R.S. § 49-121</b>
<b>This fund is recommended for elimination.</b>	
<b>Source of Revenue:</b> Workshop and conference attendance fees; proceeds from the sale of publications; and grants and donations for special projects. The agency has been eliminated and no future revenue activity is expected.	
<b>Purpose of Fund:</b> For workshops and conferences, publications, surveys, studies, and internships.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	34,900	17,200	17,200	17,200
Revenue	<u>10,000</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>44,900</b>	<b>17,200</b>	<b>17,200</b>	<b>17,200</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>27,700</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>27,700</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>BALANCE FORWARD</b>	<b>17,200</b>	<b>17,200</b>	<b>17,200</b>	<b>17,200</b>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF ENVIRONMENTAL QUALITY**

**Agency Summary**

**ANALYST: Chris Earnest**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	58,684,400	50,815,200	17,356,800	11,017,300
Revenue	<u>62,844,100</u>	<u>69,821,900</u>	<u>62,080,500</u>	<u>62,055,200</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>121,528,500</u>	<u>120,637,100</u>	<u>79,437,300</u>	<u>73,072,500</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>399.8</u>	<u>406.7</u>	<u>381.7</u>	<u>402.6</u>
Operating Subtotal	29,207,900	39,537,200	30,907,600	32,266,100
Special Line Items Total	<u>31,725,400</u>	<u>52,124,500</u>	<u>25,008,400</u>	<u>18,611,200</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>60,933,300</u>	<u>91,661,700</u>	<u>55,916,000</u>	<u>50,877,300</u>
APPROPRIATIONS	4,308,100	4,696,100	6,181,800	6,263,400
TRANSFERS	5,471,900	6,922,500	6,322,200	6,342,100
BALANCE FORWARD	50,815,200	17,356,800	11,017,300	9,589,700

**AIR QUALITY (EVA2226)**

**A.R.S. § 49-551**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** The \$1.50 air quality fee collected for each vehicle with the annual vehicle registration.

**Purpose of Fund:** For air quality research programs to bring non-attainment into attainment and to improve air quality and ozone and particulate levels; transfers to the Arizona Department of Administration (ADOA) for travel reduction programs. Beginning January 1, 1997, transfers will no longer be made to the Highway User Revenue Fund (HURF) in the Department of Transportation (ADOT), but will instead be made to the Arizona Clean Air Fund in the Department of Commerce.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	4,434,300	3,775,500	2,623,100	1,393,600
Revenue	<u>4,930,800</u>	<u>4,819,300</u>	<u>4,936,300</u>	<u>4,998,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>9,365,100</u>	<u>8,594,800</u>	<u>7,559,400</u>	<u>6,391,600</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF ENVIRONMENTAL QUALITY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	26.4	26.4	26.4	26.4
Operating Subtotal	3,992,800	4,452,900	4,224,500	4,275,500
 <b>TOTAL FUNDS EXPENDED</b>	 3,992,800	 4,452,900	 4,224,500	 4,275,500
<b>APPROPRIATIONS TO:</b>				
ADOA BUS SUBSIDY	0	0	426,000	490,000
ADOT	36,300	35,800	35,800	35,800
<b>APPROPRIATED AIR QUALITY</b>				
FEE FUND	125,300	0	0	0
WEIGHTS AND MEASURES	324,200	331,400	331,400	331,400
<b>TRANSFERS TO:</b>				
INDIRECT COST FUND	461,000	501,600	498,100	498,100
HURF/ARIZONA CLEAN AIR FUND [A.R.S. § 49-551C]	250,000	250,000	250,000	250,000
ADOA [A.R.S. § 49-551E]	400,000	400,000	400,000	400,000
BALANCE FORWARD	3,775,500	2,623,100	1,393,600	110,800

**CIRCLE K SETTLEMENT (EVA3003)**

**A.R.S. § 35-142; Court Settlement**

**This fund is recommended for consolidation in a new Donations and Settlements Fund.**

**Source of Revenue:** Circle K settlement monies.

**Purpose of Fund:** To fund clean up of leaking underground storage tanks (LUST) at Circle K properties.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	285,500	535,100	631,200	727,800
Revenue	261,000	253,100	254,600	256,100
<b>TOTAL FUNDS AVAILABLE</b>	546,500	788,200	885,800	983,900
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.5	0.5	0.5	0.5
Operating Subtotal	900	19,700	20,000	20,000
LUST Reimbursement	10,100	127,800	127,800	127,800
 <b>TOTAL FUNDS EXPENDED</b>	 11,000	 147,500	 147,800	 147,800
 <b>TRANSFER TO INDIRECT COST FUND</b>	 400	 9,500	 10,200	 10,200
<b>BALANCE FORWARD</b>	535,100	631,200	727,800	825,900

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF ENVIRONMENTAL QUALITY (Continued)**

**FEDERAL (EVA2000)**

**A.R.S. § 49-104**

**Source of Revenue:** Federal grants.

**Purpose of Fund:** The department receives numerous grants related to hazardous waste clean ups; underground storage tank enforcement provisions, air pollution control, a variety of research projects, and other programs. NOTE: Because the federal fiscal year starts later than the state's, fund balances may appear negative.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	(22,800)	147,600	0	0
Revenue	13,133,200	16,936,600	12,351,100	12,351,100
<b>TOTAL FUNDS AVAILABLE</b>	<u>13,110,400</u>	<u>17,084,200</u>	<u>12,351,100</u>	<u>12,351,100</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	148.7	149.4	113.5	133.5
Operating Subtotal	7,054,100	13,749,200	9,944,900	9,944,900
Pass-Through to Non-State Agencies	3,870,000	250,000	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>10,924,100</u>	<u>13,999,200</u>	<u>9,944,900</u>	<u>9,944,900</u>
<b>TRANSFER TO INDIRECT COST FUND</b>	2,038,700	3,085,000	2,406,200	2,406,200
<b>BALANCE FORWARD</b>	147,600	0	0	0

**INDIRECT COST FUND (EVA7000)**

**A.R.S. § 49-104**

**Source of Revenue:** This fund consists of monies transferred from the Arizona Department of Environmental Quality (ADEQ) appropriated and non-appropriated funds, including federal grants. For FY 1998, the amount transferred from each other fund is 52.82% of Personal Services and Employee Related Expenditures of that fund.

**Purpose of Fund:** To pay departmentwide administrative and overhead costs. Administrative expenditures include supporting the director's office, the deputy director's office, and other agencywide administrative functions. Overhead expenditures include rent, Risk Management, telephone contracts, copy service, data center charges, etc.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	(50,300)	1,161,500	2,222,900	3,542,900
Revenue	6,757,600	8,679,400	9,262,200	9,299,600
<b>TOTAL FUNDS AVAILABLE</b>	<u>6,707,300</u>	<u>9,840,900</u>	<u>11,485,100</u>	<u>12,842,500</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF ENVIRONMENTAL QUALITY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	81.1	92.4	95.4	95.4
Operating Subtotal	<u>3,858,600</u>	<u>5,112,400</u>	<u>4,376,900</u>	<u>4,400,500</u>
 TOTAL FUNDS EXPENDED	 <u>3,858,600</u>	 <u>5,112,400</u>	 <u>4,376,900</u>	 <u>4,400,500</u>
APPROPRIATION	1,687,200	2,505,600	3,565,300	3,582,900
 BALANCE FORWARD	 1,161,500	 2,222,900	 3,542,900	 4,859,100

**INTERGOVERNMENTAL AGREEMENTS (EVA2180)**

**A.R.S. § 49-104**

**Source of Revenue:** Grants and intergovernmental agreements from various public entities, including the Game and Fish Department and the U.S. Department of Energy.

**Purpose of Fund:** To be used as specified in the grant or agreement.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	12,600	18,700	37,300	37,300
Revenue	<u>49,300</u>	<u>18,600</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS AVAILABLE	61,900	37,300	37,300	37,300
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>31,500</u>	<u>0</u>	<u>0</u>	<u>0</u>
 TOTAL FUNDS EXPENDED	 <u>31,500</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 TRANSFER TO INDIRECT COST FUND	 11,700	 0	 0	 0
BALANCE FORWARD	18,700	37,300	37,300	37,300

**LANDFILL TRUST FUND (EVA3028)**

**A.R.S. § 49-104; Court Settlement**

**This fund is recommended for consolidation in a new Donations and Settlements Fund.**

**Source of Revenue:** Settlement monies related to a particular lawsuit against a landfill operation.

**Purpose of Fund:** For fencing, monitoring wells, remediation, or costs as necessary to legally and properly close the landfill.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF ENVIRONMENTAL QUALITY (Continued)**

**LANDFILL TRUST FUND (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	110,500	109,500	92,800	75,600
Revenue	<u>6,100</u>	<u>3,300</u>	<u>2,800</u>	<u>2,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>116,600</b>	<b>112,800</b>	<b>95,600</b>	<b>77,900</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	7,100	20,000	20,000	0
<b>TOTAL FUNDS EXPENDED</b>	<b>7,100</b>	<b>20,000</b>	<b>20,000</b>	<b>0</b>
<b>BALANCE FORWARD</b>	<b>109,500</b>	<b>92,800</b>	<b>75,600</b>	<b>77,900</b>

**SMALL WATER SYSTEMS (EVA2225)**

**A.R.S. § 49-355**

**Source of Revenue:** Penalties for violations related to potable water systems.

**Purpose of Fund:** To provide information and assistance to small water systems for improving compliance with drinking water system standards.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	90,700	93,000	63,000	34,900
Revenue	<u>17,200</u>	<u>25,000</u>	<u>11,900</u>	<u>11,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>107,900</b>	<b>118,000</b>	<b>74,900</b>	<b>45,900</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	14,900	55,000	40,000	39,000
<b>TOTAL FUNDS EXPENDED</b>	<b>14,900</b>	<b>55,000</b>	<b>40,000</b>	<b>39,000</b>
<b>BALANCE FORWARD</b>	<b>93,000</b>	<b>63,000</b>	<b>34,900</b>	<b>6,900</b>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF ENVIRONMENTAL QUALITY (Continued)**

**SOLID WASTE RECYCLING (EVA2289)**

**A.R.S. § 49-837**

**Source of Revenue:** Landfill disposal (tipping) fees and special appropriations.

**Purpose of Fund:** For grants to local governments or others for developing recycling markets and programs; for public information and assistance on source reduction and recycling; and for revenue collection and fund administration.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,696,900	2,277,900	1,501,700	914,100
Revenue	<u>1,303,700</u>	<u>1,251,000</u>	<u>1,251,000</u>	<u>1,251,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>3,000,600</b>	<b>3,528,900</b>	<b>2,752,700</b>	<b>2,165,100</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>7.2</u>	<u>7.2</u>	<u>7.2</u>	<u>7.2</u>
Operating Subtotal	<u>641,000</u>	<u>1,910,500</u>	<u>1,721,900</u>	<u>2,048,400</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>641,000</b>	<b>1,910,500</b>	<b>1,721,900</b>	<b>2,048,400</b>
<b>TRANSFER TO INDIRECT</b>				
COST FUND	81,700	116,700	116,700	116,700
<b>BALANCE FORWARD</b>	<b>2,277,900</b>	<b>1,501,700</b>	<b>914,100</b>	<b>0</b>

**USED OIL FUND (EVA3500)**

**A.R.S. § 49-818**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** Penalties for the misuse of used oil.

**Purpose of Fund:** For costs associated with the collection and analysis of used oil marketer fuel samples. Note: Laws 1996, Chapter 258 transferred the Used Oil Program from the Department of Weights and Measures (DWM) to ADEQ. Monies in DWM's Used Oil Fund and 1 FTE position are transferred to the Used Oil Fund administered by ADEQ.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	127,300	145,700	228,400	265,700
Revenue	<u>317,800</u>	<u>238,900</u>	<u>158,900</u>	<u>158,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>445,100</b>	<b>384,600</b>	<b>387,300</b>	<b>424,600</b>



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF ENVIRONMENTAL QUALITY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>2.2</u>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
Operating Subtotal	<u>101,100</u>	<u>135,700</u>	<u>100,800</u>	<u>100,800</u>
 TOTAL FUNDS EXPENDED	 <u>101,100</u>	 <u>135,700</u>	 <u>100,800</u>	 <u>100,800</u>
 TRANSFERS TO:				
INDIRECT COST FUND	39,400	20,500	20,800	20,800
WEIGHTS AND MEASURES	158,900	0	0	0
BALANCE FORWARD	145,700	228,400	265,700	303,000

**NOTE:** The Underground Storage Tank (UST) Fund is displayed first as a fund summary, then by individual account.

<b>UNDERGROUND STORAGE TANK (UST) FUND SUMMARY (EVA2271)</b>	<b>A.R.S. § 49-1015(A)</b>
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**Source of Revenue:** See each account for specific revenue sources.

**Purpose of Fund:** See each account for specific account purpose.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	46,921,500	37,430,600	6,530,700	0
Revenue	<u>27,922,200</u>	<u>29,792,000</u>	<u>25,777,800</u>	<u>25,669,200</u>
TOTAL FUNDS AVAILABLE	<u>74,843,700</u>	<u>67,222,600</u>	<u>32,308,500</u>	<u>25,669,200</u>
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>83.8</u>	<u>82.9</u>	<u>82.9</u>	<u>80.7</u>
Operating Subtotal	<u>8,391,700</u>	<u>7,406,700</u>	<u>5,858,200</u>	<u>5,662,400</u>
Special Line Items Total	27,776,700	51,746,700	24,880,600	18,483,400
 TOTAL FUNDS EXPENDED	 <u>36,168,400</u>	 <u>59,153,400</u>	 <u>30,738,800</u>	 <u>24,145,800</u>
 TRANSFER TO INDIRECT COST FUND	1,244,700	1,538,500	1,569,700	1,523,400
BALANCE FORWARD	37,430,600	6,530,700	0	0

## Fiscal Year 1998 and 1999 Non-Appropriated Funds

### DEPARTMENT OF ENVIRONMENTAL QUALITY (Continued)

#### UST FUND - AREA A ASSURANCE ACCOUNT (EVA2271)

A.R.S. § 49-1051

**Source of Revenue:** Area A (Maricopa County) excise tax revenues, cost recovery, and interest. The excise tax is a 1¢/gallon tax on regulated substances, such as gasoline. FY 1997 includes a \$3,000,000 General Fund appropriation.

**Purpose of Fund:** To provide partial coverage of corrective actions and to reimburse ADEQ if the state is required to take corrective action. Laws 1993, Chapter 1, 6th Special Session divided this account into Area A and non-Maricopa County subaccounts. This account was scheduled to transfer 50% of all revenues to the Emissions Inspection Fund in FY 1997; however, Laws 1996, Chapter 292 eliminated this provision. FY 1997 reimbursements would exceed the projected amounts if sufficient revenues were available.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	7,508,400	6,515,400	0	0
Revenue	11,970,600	14,797,400	11,671,500	11,671,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>19,479,000</u>	<u>21,312,800</u>	<u>11,671,500</u>	<u>11,671,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	11.3	10.7	10.7	10.7
Operating Subtotal	2,591,400	2,012,700	1,449,200	1,449,200
Individual Claims	9,982,200	18,249,500	9,387,300	9,387,300
State Lead Sites	173,800	845,200	625,600	625,600
<b>TOTAL FUNDS EXPENDED</b>	<u>12,747,400</u>	<u>21,107,400</u>	<u>11,462,100</u>	<u>11,462,100</u>
<b>TRANSFER TO INDIRECT COST FUND</b>	216,200	205,400	209,400	209,400
<b>BALANCE FORWARD</b>	6,515,400	0	0	0

#### UST FUND - GRANT ACCOUNT (EVA2271)

A.R.S. § 49-1071

**Source of Revenue:** Ten percent of excise tax, one-time tank fees levied in 1990, and interest. The excise tax is a 1¢/gallon tax on regulated substances, such as gasoline. Amounts received from the excise tax are statutorily capped at \$5,600,000; the account reached this cap in August 1994.

**Purpose of Fund:** To make grants, up to \$100,000, to UST owners/operators for the purposes of: meeting tank performance standards, removing tanks for closure or replacement, or paying the portion of corrective action costs that are less than the deductible amount under the Assurance Fund. The Grant Account was divided into the Area A and non-Maricopa County Accounts by Laws 1993, Chapter 1, 6th Special Session. The table below depicts the entire Grant Account.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	6,696,100	6,997,900	2,601,400	0
Revenue	385,300	231,800	28,200	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>7,081,400</u>	<u>7,229,700</u>	<u>2,629,600</u>	<u>0</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF ENVIRONMENTAL QUALITY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	2.4	2.2	2.2	0.0
Operating Subtotal	71,400	126,000	127,600	0
Grants	0	4,456,800	2,455,700	0
<b>TOTAL FUNDS EXPENDED</b>	71,400	4,582,800	2,583,300	0
<b>TRANSFER TO INDIRECT COST FUND</b>	12,100	45,500	46,300	0
<b>BALANCE FORWARD</b>	6,997,900	2,601,400	0	0

**UST FUND - NON-MARICOPA COUNTY ASSURANCE ACCOUNT (EVA2271)**

**A.R.S. § 49-1051**

**Source of Revenue:** Non-Maricopa County excise tax revenues, cost recovery, and interest. The excise tax is a 1¢/gallon tax on regulated substances, such as gasoline.

**Purpose of Fund:** To provide partial coverage of corrective actions and to reimburse ADEQ if the state is required to take corrective action. Laws 1993, Chapter 1, 6th Special Session divided this account into Area A and non-Maricopa County subaccounts.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	32,133,400	23,591,500	3,864,100	0
Revenue	14,516,600	13,740,600	13,030,200	12,952,900
<b>TOTAL FUNDS AVAILABLE</b>	46,650,000	37,332,100	16,894,300	12,952,900
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	51.9	51.9	51.9	51.9
Operating Subtotal	4,724,500	4,281,300	3,471,000	3,471,100
Individual Claims	17,191,200	25,895,100	10,111,900	6,170,400
State Lead Sites	429,500	2,300,100	2,300,100	2,300,100
<b>TOTAL FUNDS EXPENDED</b>	22,345,200	32,476,500	15,883,000	11,941,600
<b>TRANSFER TO INDIRECT COST FUND</b>	713,300	991,500	1,011,300	1,011,300
<b>BALANCE FORWARD</b>	23,591,500	3,864,100	0	0

**UST FUND - REGULATORY ACCOUNT (EVA2271)**

**A.R.S. § 49-1015**

**Source of Revenue:** Annual tank fees (\$100/tank) and interest.

**Purpose of Fund:** To carry out the state regulatory program, state-initiated corrective actions, and cost recovery activities.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF ENVIRONMENTAL QUALITY (Continued)**

**UST FUND - REGULATORY ACCOUNT (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	583,600	325,800	65,200	0
Revenue	1,049,700	1,022,200	1,047,900	1,044,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,633,300</u>	<u>1,348,000</u>	<u>1,113,100</u>	<u>1,044,800</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	18.2	18.1	18.1	18.1
Operating Subtotal	1,004,400	986,700	810,400	742,100
<b>TOTAL FUNDS EXPENDED</b>	<u>1,004,400</u>	<u>986,700</u>	<u>810,400</u>	<u>742,100</u>
<b>TRANSFER TO INDIRECT COST FUND</b>	303,100	296,100	302,700	302,700
<b>BALANCE FORWARD</b>	325,800	65,200	0	0

**WASTE TIRE GRANT (EVA2290)**

**A.R.S. § 44-1305**

**Source of Revenue:** Transfers in from the Department of Revenue collections on surcharges of new tire purchases and penalties for violations.

**Purpose of Fund:** For grants to local governments for waste tire management, as well as for the state waste tire management. The Department of Revenue collects the tire fees and transfers 3.5% of receipts to the ADEQ for program administration.

Note: Beginning in FY 1997, this fund is consolidated with the appropriated Solid Waste Fee Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	138,600	158,200	0	0
Revenue	170,100	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>308,700</u>	<u>158,200</u>	<u>0</u>	<u>0</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	3.0	0.0	0.0	0.0
Operating Subtotal	104,500	0	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>104,500</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TRANSFERS TO:</b>				
INDIRECT COST FUND	46,000	0	0	0
SOLID WASTE FEE FUND	0	158,200	0	0
<b>BALANCE FORWARD</b>	158,200	0	0	0



# Fiscal Year 1998 and 1999 Non-Appropriated Funds

## DEPARTMENT OF ENVIRONMENTAL QUALITY (Continued)

### WATER QUALITY ASSURANCE REVOLVING FUND (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	4,001,200	3,571,000	3,016,200	3,422,600
Revenue	<u>7,041,900</u>	<u>6,823,300</u>	<u>6,823,300</u>	<u>6,823,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>11,043,100</u>	<u>10,394,300</u>	<u>9,839,500</u>	<u>10,245,900</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>43.9</u>	<u>43.9</u>	<u>51.8</u>	<u>54.9</u>
Operating Subtotal	4,590,200	4,776,500	3,646,900	4,428,700
QPC	68,600	0	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>4,658,800</u>	<u>4,776,500</u>	<u>3,646,900</u>	<u>4,428,700</u>
<b>APPROPRIATIONS:</b>				
AQUIFER PROTECTION PERMIT	632,000	0	0	0 <sup>1/</sup>
GENERAL FUND	1,503,100	1,823,300	1,823,300	1,823,300 <sup>2/</sup>
TRANSFER TO INDIRECT COST FUND	678,200	778,300	946,700	1,012,900
<b>BALANCE FORWARD</b>	<u>3,571,000</u>	<u>3,016,200</u>	<u>3,422,600</u>	<u>2,981,000</u>

- 1/ Beginning in FY 1997, Aquifer Protection Permit (APP) registrations will be deposited into the new Water Quality Fee Fund. WQARF will no longer receive APP revenues nor appropriate monies to the APP program.
- 2/ FY 1998 and FY 1999 General Fund contributions to WQARF are based on the FY 1997 contribution. The JLBC Staff recommendation for these 2 years is under review, but will be higher than the FY 1997 level.

# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **GOVERNOR'S OFFICE OF EQUAL OPPORTUNITY**

**ANALYST:** Brad Regens

### **EQUAL EMPLOYMENT AND ECONOMIC DEVELOPMENT (AFA2000)**

**Executive Order:** 93-2

**Source of Revenue:** Federal funds received by the state of Arizona under the provisions of the Job Training Partnership Act, Public Law 97-300.

**Purpose of Fund:** To increase employment opportunities of Native Americans by training of potential job applicants, information dissemination, intergovernmental agreements, and increased interaction with tribes.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>58,100</u>	<u>58,100</u>	<u>58,100</u>	<u>58,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>58,100</u>	<u>58,100</u>	<u>58,100</u>	<u>58,100</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>1.5</u>	<u>1.5</u>	<u>1.5</u>	<u>1.0</u>
Operating Subtotal	<u>58,100</u>	<u>58,100</u>	<u>58,100</u>	<u>58,100</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>58,100</u>	<u>58,100</u>	<u>58,100</u>	<u>58,100</u>
<b>BALANCE FORWARD</b>	0	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA GAME AND FISH DEPARTMENT**

**Agency Summary**

**ANALYST: Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	20,134,900	17,907,900	17,497,600	17,299,300
Revenue	<u>25,950,300</u>	<u>30,567,600</u>	<u>30,886,300</u>	<u>30,886,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>46,085,200</u>	<u>48,475,500</u>	<u>48,383,900</u>	<u>48,185,600</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>312.7</u>	<u>321.7</u>	<u>321.7</u>	<u>321.7</u>
Operating Subtotal	21,327,000	25,606,300	25,648,900	25,663,700
Special Line Items Total	<u>5,120,500</u>	<u>2,400,000</u>	<u>2,400,000</u>	<u>2,400,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>26,447,500</u>	<u>28,006,300</u>	<u>28,048,900</u>	<u>28,063,700</u>
<b>TRANSFERS</b>	1,729,800	2,971,600	3,035,700	3,035,700
<b>BALANCE FORWARD</b>	17,907,900	17,497,600	17,299,300	17,086,200

**CONSERVATION DEVELOPMENT (GFA2062)**

**A.R.S. § 17-282**

**Source of Revenue:** A \$2 surcharge on hunting and fishing licenses and trout stamps.

**Purpose of Fund:** Serves as repository for surcharge collections. The agency is authorized to issue bonds for capital improvements; if bonds were issued, debt service payments would be made from this fund. Currently, monies in this fund are transferred to the appropriated Capital Improvement Fund for hatcheries construction and the lease-purchase payment for 3 regional offices.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	268,600	390,600	324,600	368,600
Revenue	<u>422,100</u>	<u>440,000</u>	<u>450,000</u>	<u>450,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>690,700</u>	<u>830,600</u>	<u>774,600</u>	<u>818,600</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	100	6,000	6,000	6,000
<b>TOTAL FUNDS EXPENDED</b>	<u>100</u>	<u>6,000</u>	<u>6,000</u>	<u>6,000</u>
<b>TRANSFER TO CAPITAL IMPROVEMENT FUND</b>	300,000	500,000	400,000	400,000
<b>BALANCE FORWARD</b>	390,600	324,600	368,600	412,600



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA GAME AND FISH DEPARTMENT (Continued)**

**OFF HIGHWAY VEHICLE RECREATION (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	222,000	298,400	342,900	386,700
Revenue	<u>630,900</u>	<u>628,500</u>	<u>633,500</u>	<u>633,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>852,900</b>	<b>926,900</b>	<b>976,400</b>	<b>1,020,200</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>9.5</u>	<u>9.5</u>	<u>9.5</u>	<u>9.5</u>
Operating Subtotal	554,500	584,000	589,700	589,700
<b>TOTAL FUNDS EXPENDED</b>	<b>554,500</b>	<b>584,000</b>	<b>589,700</b>	<b>589,700</b>
 <b>BALANCE FORWARD</b>	 298,400	 342,900	 386,700	 430,500

**PUBLICATIONS REVOLVING (GFA4007)**

**A.R.S. § 17-269**

**Source of Revenue:** Sales of agency publications, including Wildlife Views magazine and various field guides.

**Purpose of Fund:** To finance the production of agency publications. Amounts in excess of \$20,000 revert immediately to the Game and Fish Fund. However, in some circumstances, the balance forward may appear to exceed \$20,000 without reversion due to unexpended obligations at fiscal year end that the agency counts against the ending balance.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	39,900	19,000	19,000	19,000
Revenue	<u>175,200</u>	<u>180,000</u>	<u>180,000</u>	<u>180,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>215,100</b>	<b>199,000</b>	<b>199,000</b>	<b>199,000</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	196,100	180,000	180,000	180,000
<b>TOTAL FUNDS EXPENDED</b>	<b>196,100</b>	<b>180,000</b>	<b>180,000</b>	<b>180,000</b>
 <b>BALANCE FORWARD</b>	 19,000	 19,000	 19,000	 19,000

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA GAME AND FISH DEPARTMENT (Continued)**

**TRUST (GFA3111)**

**A.R.S. § 17-265**

**Source of Revenue:** Collections from local governments for the Urban Fishing program; transfers from the state Risk Management Fund; and proceeds from the charitable auction of bighorn sheep tags.

**Purpose of Fund:** Serves as a repository for a variety of agency collections, including insurance settlements, proceeds from charitable activities, and transfers from local governments for participation in the Urban Fishing program.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	577,200	115,800	115,800	115,800
Revenue	<u>178,700</u>	<u>475,000</u>	<u>475,000</u>	<u>475,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>755,900</u>	<u>590,800</u>	<u>590,800</u>	<u>590,800</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>
Operating Subtotal	<u>640,100</u>	<u>475,000</u>	<u>475,000</u>	<u>475,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>640,100</u>	<u>475,000</u>	<u>475,000</u>	<u>475,000</u>
 <b>BALANCE FORWARD</b>	115,800	115,800	115,800	115,800

**WILDLIFE THEFT PREVENTION (GFA2080)**

**A.R.S. § 17-315**

**Source of Revenue:** Fines charged for the unlawful taking of wildlife.

**Purpose of Fund:** To finance reward payments to persons providing information about illegal wildlife activities; to finance the Game and Fish Department's "Operation Game Thief" program; and to promote awareness of the Wildlife Theft Prevention program. The fund is exempt from lapsing, except that any balance in excess of \$50,000 reverts to the Game and Fish Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	17,400	44,100	48,600	49,600
Revenue	<u>223,100</u>	<u>215,000</u>	<u>220,000</u>	<u>220,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>240,500</u>	<u>259,100</u>	<u>268,600</u>	<u>269,600</u>

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**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

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**ARIZONA GAME AND FISH DEPARTMENT (Continued)**

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	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	2.0	2.0	2.0	2.0
Operating Subtotal	<u>196,400</u>	<u>210,500</u>	<u>219,000</u>	<u>225,000</u>
 TOTAL FUNDS EXPENDED	 <u>196,400</u>	 <u>210,500</u>	 <u>219,000</u>	 <u>225,000</u>
 BALANCE FORWARD	 44,100	 48,600	 49,600	 44,600

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA GEOLOGICAL SURVEY**

**Agency Summary**

**ANALYST: Chris Earnest**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	147,100	161,600	158,400	158,900
Revenue	<u>229,200</u>	<u>205,800</u>	<u>241,300</u>	<u>244,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>376,300</u>	<u>367,400</u>	<u>399,700</u>	<u>403,400</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>16.5</u>	<u>16.5</u>	<u>13.5</u>	<u>13.5</u>
Operating Subtotal	<u>214,700</u>	<u>205,300</u>	<u>240,800</u>	<u>241,800</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>214,700</u>	<u>205,300</u>	<u>240,800</u>	<u>241,800</u>
 <b>TRANSFERS</b>	0	3,700	0	0
<b>BALANCE FORWARD</b>	161,600	158,400	158,900	161,600

**DONATIONS (GSA3030)**

**A.R.S. § 27-152**

**This fund is recommended for consolidation in a new Geological Survey Fund.**

**Source of Revenue:** Donations made by individuals and corporations.

**Purpose of Fund:** To facilitate the request of the donor and the objectives of the Geological Survey, especially to investigate, describe, and interpret Arizona's geologic setting.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	2,400	2,400	2,400	2,400
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,400</u>	<u>2,400</u>	<u>2,400</u>	<u>2,400</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	2,400	2,400	2,400	2,400

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA GEOLOGICAL SURVEY (Continued)**

**FEDERAL GRANTS (GSA2000)**

**A.R.S. § 27-152**

**Source of Revenue:** Matching and non-matching grants from various federal agencies, including the U.S. Geological Survey, Bureau of Reclamation, and the Environmental Protection Agency.

**Purpose of Fund:** To perform various studies of Arizona's geologic framework and setting.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	20,500	27,300	26,700	26,700
Revenue	<u>115,700</u>	<u>105,500</u>	<u>138,000</u>	<u>138,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>136,200</u>	<u>132,800</u>	<u>164,700</u>	<u>164,700</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>9.5</u>	<u>10.5</u>	<u>9.5</u>	<u>9.5</u>
Operating Subtotal	<u>108,900</u>	<u>102,400</u>	<u>138,000</u>	<u>138,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>108,900</u>	<u>102,400</u>	<u>138,000</u>	<u>138,000</u>
 <b>TRANSFERS</b>	0	3,700	0	0
<b>BALANCE FORWARD</b>	27,300	26,700	26,700	26,700

**INTERGOVERNMENTAL GRANTS (GSA2800)**

**A.R.S. § 27-152**

**This fund is recommended for consolidation in a new Geological Survey Fund.**

**Source of Revenue:** Monies contracted from local counties and various state agencies.

**Purpose of Fund:** To be used as specified in the contract.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	20,100	21,600	24,300	27,000
Revenue	<u>49,600</u>	<u>40,000</u>	<u>40,000</u>	<u>40,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>69,700</u>	<u>61,600</u>	<u>64,300</u>	<u>67,000</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA GEOLOGICAL SURVEY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
Full Time Equivalent Positions	5.0	4.0	4.0	4.0
Operating Subtotal	<u>48,100</u>	<u>37,300</u>	<u>37,300</u>	<u>37,300</u>
 TOTAL FUNDS EXPENDED	 <u>48,100</u>	 <u>37,300</u>	 <u>37,300</u>	 <u>37,300</u>
 BALANCE FORWARD	 21,600	 24,300	 27,000	 29,700

**OIL AND GAS DRILLING BOND (GSA3704)**

**A.R.S. § 35-142**

**This fund is recommended for consolidation in a new Geological Survey Fund.**

**Source of Revenue:** Forfeited bonds held for drilling, casing and plugging oil and gas wells.

**Purpose of Fund:** To plug abandon oil and gas wells.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	45,000	55,000	55,000	55,000
Revenue	<u>10,000</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS AVAILABLE	55,000	55,000	55,000	55,000
 FUNDS EXPENDED				
Full Time Equivalent Positions	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 BALANCE FORWARD	 55,000	 55,000	 55,000	 55,000

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA GEOLOGICAL SURVEY (Continued)**

**PRINTING REVOLVING (GSA2233)**

**A.R.S. § 27-153**

**This fund is recommended for consolidation in a new Geological Survey Fund.**

**Source of Revenue:** Sales of geologic maps and publications.

**Purpose of Fund:** To finance expenses related to production of geologic maps and publications.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	54,000	50,000	44,500	42,100
Revenue	<u>53,700</u>	<u>60,100</u>	<u>63,100</u>	<u>66,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>107,700</b>	<b>110,100</b>	<b>107,600</b>	<b>108,400</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>2.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>57,700</u>	<u>65,600</u>	<u>65,500</u>	<u>66,500</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>57,700</b>	<b>65,600</b>	<b>65,500</b>	<b>66,500</b>
<b>BALANCE FORWARD</b>	<b>50,000</b>	<b>44,500</b>	<b>42,100</b>	<b>41,900</b>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**OFFICE OF THE GOVERNOR**

**Agency Summary**

**ANALYST: Bob Hull**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	998,300	923,000	934,600	946,300
Revenue	<u>6,237,800</u>	<u>19,417,300</u>	<u>9,814,900</u>	<u>9,814,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>7,236,100</u>	<u>20,340,300</u>	<u>10,749,500</u>	<u>10,761,200</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>21.0</u>	<u>25.0</u>	<u>8.0</u>	<u>8.0</u>
Operating Subtotal	3,046,600	4,970,900	2,927,400	2,927,400
Special Line Items Total	3,266,500	14,434,800	6,875,800	6,875,800
 <b>TOTAL FUNDS EXPENDED</b>	<u>6,313,100</u>	<u>19,405,700</u>	<u>9,803,200</u>	<u>9,803,200</u>
 <b>BALANCE FORWARD</b>	923,000	934,600	946,300	958,000

**THE ARIZONA FUND (GVA3021)**

**A.R.S. § 41-1105**

**Source of Revenue:** A judicial award by the Superior Court of Maricopa County and interest earnings.

**Purpose of Fund:** A public interest fund for the purpose of promoting the interest of the state or to promote and encourage citizen public service to the state.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	26,200	3,500	3,500	3,500
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>26,200</u>	<u>3,500</u>	<u>3,500</u>	<u>3,500</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	22,700	0	0	0
 <b>TOTAL FUNDS EXPENDED</b>	<u>22,700</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	3,500	3,500	3,500	3,500



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**OFFICE OF THE GOVERNOR (Continued)**

**COUNTY FAIRS LIVESTOCK & AGRICULTURAL PROMOTION (GVA2037)**

**A.R.S. § 5-113**

**Source of Revenue:** Thirty-three percent of all revenues derived from pari-mutual receipts and license fees, or \$1.2 million, whichever is less.

**Purpose of Fund:** To promote Arizona's livestock and agricultural resources and conduct an annual Livestock Fair at the Coliseum and Exposition Center. The direct expenses, less receipts, of the annual Livestock Fair are paid from this fund. Net expenditures for the Livestock Fair are limited to 30% of all receipts deposited to this fund during the preceding fiscal year. All expenditures from this fund must be recommended by the Livestock and Agricultural Committee and approved by the Governor.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	914,400	691,200	703,000	714,800
Revenue	<u>965,000</u>	<u>1,200,000</u>	<u>1,200,000</u>	<u>1,200,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,879,400</u>	<u>1,891,200</u>	<u>1,903,000</u>	<u>1,914,800</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>1,188,200</u>	<u>1,188,200</u>	<u>1,188,200</u>	<u>1,188,200</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>1,188,200</u>	<u>1,188,200</u>	<u>1,188,200</u>	<u>1,188,200</u>
 <b>BALANCE FORWARD</b>	691,200	703,000	714,800	726,600

**FEDERAL GRANT (GVA2000)**

**A.R.S. § 4-101.01**

**Source of Revenue:** Federal grants for various purposes, including services for women, children's services, the Office for Excellence in Government and the Office for Drug Policy.

**Purpose of Fund:** To provide separate accounting for the administration, supervision and distribution of federal grants made to the Office of the Governor, on behalf of the State of Arizona.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	29,300	192,500	192,300	192,200
Revenue	<u>5,236,200</u>	<u>18,217,300</u>	<u>8,614,900</u>	<u>8,614,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>5,265,500</u>	<u>18,409,800</u>	<u>8,807,200</u>	<u>8,807,100</u>

# Fiscal Year 1998 and 1999 Non-Appropriated Funds

## OFFICE OF THE GOVERNOR (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	21.0	25.0	8.0	8.0
Operating Subtotal	1,806,500	3,782,700	1,739,200	1,739,200
Aid to Individuals & Organizations	3,266,500	14,434,800	6,875,800	6,875,800
<b>TOTAL FUNDS EXPENDED</b>	<b>5,073,000</b>	<b>18,217,500</b>	<b>8,615,000</b>	<b>8,615,000</b>
 <b>BALANCE FORWARD</b>	 192,500	 192,300	 192,200	 192,100

### GOVERNOR'S ENDOWMENT PARTNERSHIP (GVA3206)

**A.R.S. § 41-1105**

**Source of Revenue:** Public or private gifts, grants and donations. All donations, except those from established non-profit economic development organizations, are limited to \$5,000, per person, each fiscal year.

**Purpose of Fund:** This fund was established by Executive Order 92-7, to promote the interests of the state and encourage public service to Arizona by its citizens. Expenditures may include promoting economic development in Arizona, recruiting new industries, appropriate memorabilia or gifts for dignitaries or citizen volunteers, and promoting good working relationships between the various branches of national, state, and local governments.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	20,600	20,600	20,600	20,600
Revenue	0	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<b>20,600</b>	<b>20,600</b>	<b>20,600</b>	<b>20,600</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
 <b>TOTAL FUNDS EXPENDED</b>	 0	 0	 0	 0
 <b>BALANCE FORWARD</b>	 20,600	 20,600	 20,600	 20,600

### GOVERNOR'S OFFICE FOR CHILDREN (GVA3192)

**A.R.S. § 85-18**

**Source of Revenue:** Donations.

**Purpose of Fund:** To provide for the needs of Arizona's children as directed by individual donors.

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**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

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**OFFICE OF THE GOVERNOR (Continued)**

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**GOVERNOR'S OFFICE FOR CHILDREN (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	7,800	15,200	15,200	15,200
Revenue	36,600	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>44,400</u>	<u>15,200</u>	<u>15,200</u>	<u>15,200</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>29,200</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>29,200</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	 15,200	 15,200	 15,200	 15,200

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA COUNCIL FOR THE HEARING IMPAIRED**

**Agency Summary**

**ANALYST: Jennifer Vermeer**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	8,700	1,400	0	0
Revenue	<u>50,000</u>	<u>57,500</u>	<u>57,500</u>	<u>57,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>58,700</u>	<u>58,900</u>	<u>57,500</u>	<u>57,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>57,300</u>	<u>58,900</u>	<u>57,500</u>	<u>57,500</u>
Special Line Items Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>57,300</u>	<u>58,900</u>	<u>57,500</u>	<u>57,500</u>
 <b>BALANCE FORWARD</b>	 1,400	 0	 0	 0

**DONATIONS (DFA3162)**

**A.R.S. § 36-1945**

**This fund is recommended for consolidation in the Federal Grants Fund.**

**Source of Revenue:** Gifts and donations.

**Purpose of Fund:** To offset the costs of providing information and referral resources for the hearing impaired and the general public.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	8,700	1,400	0	0
Revenue	<u>          </u>	<u>          </u>	<u>          </u>	<u>          </u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>8,700</u>	<u>1,400</u>	<u>0</u>	<u>0</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>7,300</u>	<u>1,400</u>	<u>0</u>	<u>0</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>7,300</u>	 <u>1,400</u>	 <u>0</u>	 <u>0</u>
 <b>BALANCE FORWARD</b>	 1,400	 0	 0	 0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA COUNCIL FOR THE HEARING IMPAIRED (Continued)**

**FEDERAL GRANTS (DFA2048)**

**A.R.S. § 35-142**

**Source of Revenue:** Federal funds received by the State of Arizona under the provisions of the Job Training Partnership Act, Public Law 97-300.

**Purpose of Fund:** To upgrade the skills of sign language interpreters and to conduct educational workshops.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>50,000</u>	<u>57,500</u>	<u>57,500</u>	<u>57,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>50,000</u>	<u>57,500</u>	<u>57,500</u>	<u>57,500</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>50,000</u>	<u>57,500</u>	<u>57,500</u>	<u>57,500</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>50,000</u>	<u>57,500</u>	<u>57,500</u>	<u>57,500</u>
  <b>BALANCE FORWARD</b>	0	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA HISTORICAL SOCIETY**

**Agency Summary**

**ANALYST: Brad Regens**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	956,000	813,400	789,500	757,600
Revenue	<u>1,575,000</u>	<u>1,597,500</u>	<u>1,620,600</u>	<u>1,620,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	2,531,000	2,410,900	2,410,100	2,378,200
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>9.4</u>	<u>9.4</u>	<u>9.4</u>	<u>9.4</u>
Operating Subtotal	<u>1,717,600</u>	<u>1,621,400</u>	<u>1,652,500</u>	<u>1,579,900</u>
 <b>TOTAL FUNDS EXPENDED</b>	1,717,600	1,621,400	1,652,500	1,579,900
 <b>BALANCE FORWARD</b>	813,400	789,500	757,600	798,300

**ENTERPRISE FUNDS (No Fund Number)**

**A.R.S. § 41-821**

**Source of Revenue:** Funds are outside the control of the State Treasurer and by statute are held in trust for the society's use by the Society Treasurer. Receipts from gift shops and restaurants.

**Purpose of Fund:** Used to operate gift shops and restaurants.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	14,600	8,700	11,300	10,200
Revenue	<u>22,800</u>	<u>48,800</u>	<u>76,200</u>	<u>76,200</u>
<b>TOTAL FUNDS AVAILABLE</b>	37,400	57,500	87,500	86,400
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>28,700</u>	<u>46,200</u>	<u>77,300</u>	<u>71,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	28,700	46,200	77,300	71,000
 <b>BALANCE FORWARD</b>	8,700	11,300	10,200	15,400

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA HISTORICAL SOCIETY (Continued)**

<b>GRANT FUNDS (No Fund Number)</b>	<b>A.R.S. § 41-821</b>
<b>Source of Revenue:</b> Funds are outside the control of the State Treasurer and by statute are held in trust for the society's use by the Society Treasurer. Derived from the following sources: AHS Foundation; National Endowment for the Humanities; DeGrazia Foundation.	
<b>Purpose of Fund:</b> Used for specific projects.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	138,300	60,600	61,100	61,600
Revenue	<u>1,013,100</u>	<u>1,000,000</u>	<u>1,000,000</u>	<u>1,000,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,151,400</u>	<u>1,060,600</u>	<u>1,061,100</u>	<u>1,061,600</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>5.6</u>	<u>5.6</u>	<u>5.6</u>	<u>5.6</u>
Operating Subtotal	<u>1,090,800</u>	<u>999,500</u>	<u>999,500</u>	<u>999,500</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>1,090,800</u>	<u>999,500</u>	<u>999,500</u>	<u>999,500</u>
 <b>BALANCE FORWARD</b>	 60,600	 61,100	 61,600	 62,100

<b>HISTORICAL SOCIETY MAGAZINE (HIA2033)</b>	<b>A.R.S. § 41-824</b>
<b>Source of Revenue:</b> Membership fees.	
<b>Purpose of Fund:</b> To publish the <u>Journal of Arizona History</u> .	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	65,500	47,700	52,900	58,100
Revenue	<u>34,400</u>	<u>35,000</u>	<u>35,000</u>	<u>35,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>99,900</u>	<u>82,700</u>	<u>87,900</u>	<u>93,100</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA HISTORICAL SOCIETY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>52,200</u>	<u>29,800</u>	<u>29,800</u>	<u>29,800</u>
 TOTAL FUNDS EXPENDED	 <u>52,200</u>	 <u>29,800</u>	 <u>29,800</u>	 <u>29,800</u>
 BALANCE FORWARD	 47,700	 52,900	 58,100	 63,300

**PRESERVATION AND RESTORATION (HIA2125)**

**A.R.S. § 41-825**

**Source of Revenue:** Reproduction charges

**Purpose of Fund:** Expended by the department in a manner consistent with preserving and restoring historic photographs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	19,100	10,500	11,000	7,200
Revenue	<u>20,200</u>	<u>29,300</u>	<u>25,000</u>	<u>25,000</u>
TOTAL FUNDS AVAILABLE	39,300	39,800	36,000	32,200
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	1.8	1.8	1.8	1.8
Operating Subtotal	<u>28,800</u>	<u>28,800</u>	<u>28,800</u>	<u>28,800</u>
 TOTAL FUNDS EXPENDED	 <u>28,800</u>	 <u>28,800</u>	 <u>28,800</u>	 <u>28,800</u>
 BALANCE FORWARD	 10,500	 11,000	 7,200	 3,400

**PRIVATE FUNDS (No Fund Number)**

**A.R.S. § 41-821**

**Source of Revenue:** Funds are outside the control of the State Treasurer and by statute are held in trust for the society's use by the Society Treasurer. Revenues are generated through memberships, unrestricted donations, and program revenue.

**Purpose of Fund:** Used to pay operating expenses.



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA HISTORICAL SOCIETY (Continued)**

**PRIVATE FUNDS (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	155,800	213,100	270,300	327,500
Revenue	<u>255,100</u>	<u>255,000</u>	<u>255,000</u>	<u>255,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>410,900</b>	<b>468,100</b>	<b>525,300</b>	<b>582,500</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>
Operating Subtotal	197,800	197,800	197,800	197,800
<b>TOTAL FUNDS EXPENDED</b>	<b>197,800</b>	<b>197,800</b>	<b>197,800</b>	<b>197,800</b>
<b>BALANCE FORWARD</b>	<b>213,100</b>	<b>270,300</b>	<b>327,500</b>	<b>384,700</b>

**RESTRICTED FUNDS (No Fund Number)**

**A.R.S. § 41-821**

**Source of Revenue:** Funds are outside the control of the State Treasurer and by statute are held in trust for the society's use by the Society Treasurer. Private restricted donations.

**Purpose of Fund:** Used for specific projects as designated by donor.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	301,700	209,700	117,700	25,700
Revenue	<u>200,100</u>	<u>200,100</u>	<u>200,100</u>	<u>200,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>501,800</b>	<b>409,800</b>	<b>317,800</b>	<b>225,800</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	292,100	292,100	292,100	225,800
<b>TOTAL FUNDS EXPENDED</b>	<b>292,100</b>	<b>292,100</b>	<b>292,100</b>	<b>225,800</b>
<b>BALANCE FORWARD</b>	<b>209,700</b>	<b>117,700</b>	<b>25,700</b>	<b>0</b>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA HISTORICAL SOCIETY (Continued)**

<b>TRUST FUNDS (No Fund Number)</b>	<b>A.R.S. § 41-821</b>
<b>Source of Revenue:</b> Funds are outside the control of the State Treasurer and by statute are held in trust for the society's use by the Society Treasurer. Monies held in trust for several individuals.	
<b>Purpose of Fund:</b> Income generated from the funds is spent on operating expenses.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	261,000	263,100	265,200	267,300
Revenue	<u>29,300</u>	<u>29,300</u>	<u>29,300</u>	<u>29,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	290,300	292,400	294,500	296,600
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	27,200	27,200	27,200	27,200
<b>TOTAL FUNDS EXPENDED</b>	<u>27,200</u>	<u>27,200</u>	<u>27,200</u>	<u>27,200</u>
 <b>BALANCE FORWARD</b>	 263,100	 265,200	 267,300	 269,400

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**PRESCOTT HISTORICAL SOCIETY**

**ANALYST: Brad Regens**

**SHARLOT HALL HISTORICAL SOCIETY (No Fund Number )**

**A.R.S. § 41-831**

**Source of Revenue:** Funds are outside the control of the State Treasurer and by statute are held in trust for the society's use by the Society Treasurer. Monies received from donations, memberships, interest, gift shop and rent.

**Purpose of Fund:** The fund is used to print publications and journals, as well as pay for educational programming, archival and curatorial supplies and graphics.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	134,000	455,800	54,100	62,500
Revenue	<u>597,300</u>	<u>395,100</u>	<u>906,900</u>	<u>919,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>731,300</u>	<u>850,900</u>	<u>961,000</u>	<u>981,600</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>2.3</u>	<u>2.0</u>	<u>2.0</u>
Operating Subtotal	<u>275,500</u>	<u>386,800</u>	<u>398,500</u>	<u>410,100</u>
Special Line Items Total	0	410,000	500,000	500,000
<b>TOTAL FUNDS EXPENDED</b>	<u>275,500</u>	<u>796,800</u>	<u>898,500</u>	<u>910,100</u>
 <b>BALANCE FORWARD</b>	 455,800	 54,100	 62,500	 71,500

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA COMMISSION OF INDIAN AFFAIRS**

**ANALYST: Jim Rounds**

**PUBLICATIONS FUND (IAA4013)**

**A.R.S. § 41-543**

**Source of Revenue:** Sale of commission publications.

**Purpose of Fund:** To produce and distribute Commission publications. At fiscal year end, amounts in excess of \$15,000 revert to the General Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	5,800	7,300	8,300	9,300
Revenue	<u>12,800</u>	<u>13,000</u>	<u>13,500</u>	<u>14,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	18,600	20,300	21,800	23,300
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>11,300</u>	<u>12,000</u>	<u>12,500</u>	<u>13,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>11,300</u>	<u>12,000</u>	<u>12,500</u>	<u>13,000</u>
  <b>BALANCE FORWARD</b>	7,300	8,300	9,300	10,300

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**INDUSTRIAL COMMISSION OF ARIZONA**

**Agency Summary**

**ANALYST: Jim Rounds**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	35,221,100	35,709,200	35,059,800	34,972,900
Revenue	26,584,400	17,869,800	19,014,700	19,479,900
<b>TOTAL FUNDS AVAILABLE</b>	<u>61,805,500</u>	<u>53,579,000</u>	<u>54,074,500</u>	<u>54,452,800</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	24.0	24.0	24.0	24.0
Operating Subtotal	1,678,400	1,692,200	1,714,800	1,737,700
Special Line Items Total	24,417,900	16,827,000	17,386,800	17,778,300
<b>TOTAL FUNDS EXPENDED</b>	<u>26,096,300</u>	<u>18,519,200</u>	<u>19,101,600</u>	<u>19,516,000</u>
 <b>BALANCE FORWARD</b>	 35,709,200	 35,059,800	 34,972,900	 34,936,800

**FEDERAL GRANTS (ICA2095)**

**A.R.S. § 35-142**

**This fund is recommended for elimination.**

**Source of Revenue:** Federal Grants.

**Purpose of Fund:** To enforce occupational safety and health standards in all industries in Arizona except businesses relating to mining and smelting, businesses located on Indian reservations and federal agencies.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	757,400	600,100	561,700	523,300
Revenue	1,393,300	1,533,800	1,533,800	1,533,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,150,700</u>	<u>2,133,900</u>	<u>2,095,500</u>	<u>2,057,100</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	24.0	24.0	24.0	24.0
Operating Subtotal	1,550,600	1,572,200	1,572,200	1,572,200
<b>TOTAL FUNDS EXPENDED</b>	<u>1,550,600</u>	<u>1,572,200</u>	<u>1,572,200</u>	<u>1,572,200</u>
 <b>BALANCE FORWARD</b>	 600,100	 561,700	 523,300	 484,900

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**INDUSTRIAL COMMISSION OF ARIZONA (Continued)**

**REVOLVING (ICA2002)**

**A.R.S. § 35-142**

**Source of Revenue:** Charges for claims education seminars and training materials, charges for medical fee schedules and other miscellaneous revenue.

**Purpose of Fund:** To fund an annual seminar on workers' compensation for insurance carriers and self-insured employers; to print a medical fee schedule for workers' compensation; and to provide a holding account for money owed to employees by their employers for back wages.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	162,400	194,900	198,200	193,900
Revenue	<u>160,300</u>	<u>123,300</u>	<u>138,300</u>	<u>123,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	322,700	318,200	336,500	317,200
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	127,800	120,000	142,600	165,500
 <b>TOTAL FUNDS EXPENDED</b>	<u>127,800</u>	<u>120,000</u>	<u>142,600</u>	<u>165,500</u>
 <b>BALANCE FORWARD</b>	194,900	198,200	193,900	151,700

**SPECIAL FUND (ICA9003)**

**A.R.S. § 23-1065**

**Source of Revenue:** Earnings on investments, excess receipts from the Administration Fund tax and other miscellaneous revenue.

**Purpose of Fund:** To provide medical benefits in excess of original policy limits on claims occurring prior to a 1973 law change requiring unlimited statutory medical benefits, compensation benefits resulting from second injuries, vocational rehabilitation benefits, and benefits on claims against uninsured employers and insolvent insurance carriers.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	34,301,300	34,914,200	34,299,900	34,255,700
Revenue	<u>25,030,800</u>	<u>16,212,700</u>	<u>17,342,600</u>	<u>17,822,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	59,332,100	51,126,900	51,642,500	52,078,500

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**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

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**INDUSTRIAL COMMISSION OF ARIZONA (Continued)**

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	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Claims Payment	13,036,300	12,807,000	13,097,900	13,331,500
Building Costs	4,003,000	3,114,200	3,371,500	3,515,800
Investment Counsel	847,700	862,800	864,800	870,100
Other Miscellaneous Expenses	<u>6,530,900</u>	<u>43,000</u>	<u>52,600</u>	<u>60,900</u>
TOTAL FUNDS EXPENDED	24,417,900	16,827,000	17,386,800	17,778,300
 BALANCE FORWARD	 34,914,200	 34,299,900	 34,255,700	 34,300,200

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF INSURANCE**

**Agency Summary**

**ANALYST: Brad Regens**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	14,860,800	20,291,200	22,157,900	23,840,700
Revenue	40,860,200	34,290,800	32,868,100	8,893,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>55,721,000</u>	<u>54,582,000</u>	<u>55,026,000</u>	<u>32,733,700</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	15.6	28.3	34.1	34.1
Operating Subtotal	8,349,500	8,430,100	8,685,300	8,706,600
Special Line Items Total	27,064,800	23,994,000	22,500,000	5,500,000
<b>TOTAL FUNDS EXPENDED</b>	<u>35,414,300</u>	<u>32,424,100</u>	<u>31,185,300</u>	<u>14,206,600</u>
<b>TRANSFERS</b>	15,500	0	0	0
<b>BALANCE FORWARD</b>	20,291,200	22,157,900	23,840,700	18,527,100

**COMPUTER SYSTEM (IDA2320, IDA2216)**

**A.R.S. § 20-167**

**Source of Revenue:** A surcharge paid by licensees and insurance companies not to exceed 10% of current license fees and certificates of authority. The surcharge is in effect until December 31, 1995.

**Purpose of Fund:** To complete and maintain the department's computer system. Monies have been used to purchase hardware and pay DOS programmers under contract with the department. The first fund (IDA2216) expired January 1, 1993. The second fund (IDA2320) is a continuation of the original fund and expired December 31, 1995. Any monies remaining in this fund on January 1, 1996 reverted to the General Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	187,500	0	0	0
Revenue	83,600	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>271,100</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	1.0	0.0	0.0	0.0
Operating Subtotal	255,600	0	0	0
<b>TOTAL FUNDS EXPENDED</b>	<u>255,600</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TRANSFERS TO GENERAL FUND</b>	15,500	0	0	0
<b>BALANCE FORWARD</b>	0	0	0	0



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF INSURANCE (Continued)**

**EXAMINERS' REVOLVING (IDA2034)**

**A.R.S. § 20-159**

**Source of Revenue:** Payments made by insurance companies for costs of financial, rate, and market conduct examinations performed by contract examiners.

**Purpose of Fund:** To pay contract examiners' per diem compensation and to reimburse contract examiners for travel and living expenses, as approved by the Director of Insurance. Monies also are used to cover the department's related administrative costs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	557,400	613,800	524,900	535,000
Revenue	7,176,700	7,200,000	7,600,000	7,750,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>7,734,100</u>	<u>7,813,800</u>	<u>8,124,900</u>	<u>8,285,000</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	8.5	22.0	27.0	27.0
Operating Subtotal	7,120,300	7,288,900	7,589,900	7,788,200
<b>TOTAL FUNDS EXPENDED</b>	<u>7,120,300</u>	<u>7,288,900</u>	<u>7,589,900</u>	<u>7,788,200</u>
 <b>BALANCE FORWARD</b>	 613,800	 524,900	 535,000	 496,800

**JOINT UNDERWRITING PLAN (IDA2073)**

**A.R.S. § 20-1708**

**This fund is recommended for consolidation in a new Assessments Fund.**

**Source of Revenue:** Assessments paid by insurance companies authorized by the state to sell liability insurance.

**Purpose of Fund:** To contract to ensure that liability insurance coverage is readily available and, if necessary, form insurance plans to provide liability insurance to classes of risk that are entitled but unable to obtain liability coverage. Monies also are used to cover the department's related administrative costs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	67,500	100,900	95,100	111,400
Revenue	156,000	100,000	100,000	100,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>223,500</u>	<u>200,900</u>	<u>195,100</u>	<u>211,400</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF INSURANCE (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	2.0	2.0	2.0	2.0
Operating Subtotal	<u>122,600</u>	<u>105,800</u>	<u>83,700</u>	<u>83,700</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>122,600</u>	 <u>105,800</u>	 <u>83,700</u>	 <u>83,700</u>
 <b>BALANCE FORWARD</b>	 100,900	 95,100	 111,400	 127,700

**LIFE AND DISABILITY INSURANCE GUARANTY (No Fund Number )**

**A.R.S. § 20-683**

**Source of Revenue:** Assessments on life and disability insurance companies and reimbursements from the sale of insolvent companies' assets by the department's Receivership Division.

**Purpose of Fund:** To pay the covered policy claims of insolvent insurance companies for life and disability insurance policies and annuity contracts. The fund also pays the administrative costs of the 9-member Life and Disability Insurance Guaranty Fund Board authorized by A.R.S. § 20-684. Monies are held in a depository designated by the Director of the Department of Insurance.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	4,912,600	9,568,100	14,560,100	17,475,100
Revenue	<u>28,388,500</u>	<u>26,050,000</u>	<u>24,525,000</u>	<u>675,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>33,301,100</u>	<u>35,618,100</u>	<u>39,085,100</u>	<u>18,150,100</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>469,800</u>	<u>664,000</u>	<u>610,000</u>	<u>430,000</u>
Claim Payments and Related Expenses	23,263,200	20,394,000	21,000,000	4,100,000
 <b>TOTAL FUNDS EXPENDED</b>	 <u>23,733,000</u>	 <u>21,058,000</u>	 <u>21,610,000</u>	 <u>4,530,000</u>
 <b>BALANCE FORWARD</b>	 9,568,100	 14,560,100	 17,475,100	 13,620,100

**PROPERTY AND CASUALTY INSURANCE GUARANTY (No Fund Number)**

**A.R.S. § 20-662**

**Source of Revenue:** Assessments on property and casualty insurance companies and reimbursements from the sale of insolvent companies' assets by the department's Receivership Division.

**Purpose of Fund:** To pay the covered policy claims of insolvent insurance companies for property and casualty insurance policies. The fund also pays the administrative costs of the 11-member Property and Casualty Insurance Guaranty Fund Board authorized by A.R.S. § 20-663. Monies are held in a depository designated by the Director of the Department of Insurance.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF INSURANCE (Continued)**

**PROPERTY AND CASUALTY INSURANCE GUARANTY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	8,676,700	9,527,700	6,575,400	5,373,500
Revenue	4,854,000	825,000	500,000	225,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>13,530,700</u>	<u>10,352,700</u>	<u>7,075,400</u>	<u>5,598,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	1.2	2.0	2.0
Operating Subtotal	201,400	177,300	201,900	208,900
Claim Payments and Related Expenses	3,801,600	3,600,000	1,500,000	1,400,000
<b>TOTAL FUNDS EXPENDED</b>	<u>4,003,000</u>	<u>3,777,300</u>	<u>1,701,900</u>	<u>1,608,900</u>
 <b>BALANCE FORWARD</b>	 9,527,700	 6,575,400	 5,373,500	 3,989,600

**RECEIVERSHIP LIQUIDATION (IDA3104)**

**A.R.S. § 20-648**

**Source of Revenue:** Consists of 10% of an insolvent insurer's statutory deposit with the State Treasurer. Upon termination of a receivership, the court may award proceeds to the fund, up to the amount of the department's administrative costs.

**Purpose of Fund:** This fund supports the department's Receivership Division, which administers the liquidation of insurance companies to insure maximum recovery of assets. The balance forward represents cash on hand. It does not include the state's unfunded liability for deposits being held as assurance against future insolvencies.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	442,700	438,900	389,100	337,400
Revenue	149,000	115,800	115,600	115,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>591,700</u>	<u>554,700</u>	<u>504,700</u>	<u>452,900</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	4.0	3.0	3.0	3.0
Operating Subtotal	152,800	165,600	167,300	167,300
<b>TOTAL FUNDS EXPENDED</b>	<u>152,800</u>	<u>165,600</u>	<u>167,300</u>	<u>167,300</u>
 <b>BALANCE FORWARD</b>	 438,900	 389,100	 337,400	 285,600

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF INSURANCE (Continued)**

**SMALL EMPLOYER REINSURANCE (IDA2092)**

**A.R.S. § 20-2341**

**This fund is recommended for consolidation in a new Assessments Fund.**

**Source of Revenue:** Assessments paid by insurance companies authorized as reinsuring carriers.

**Purpose of Fund:** To employ and contract with persons to administer the Small Employers Reinsurance Plan Board. The board ensures the accessibility of small group health insurance by requiring a reinsurance program to spread the risk of insuring small groups.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	16,400	41,800	13,300	8,300
Revenue	<u>52,400</u>	<u>0</u>	<u>27,500</u>	<u>27,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>68,800</u>	<u>41,800</u>	<u>40,800</u>	<u>35,800</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>	<u>0.1</u>
Operating Subtotal	<u>27,000</u>	<u>28,500</u>	<u>32,500</u>	<u>28,500</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>27,000</u>	<u>28,500</u>	<u>32,500</u>	<u>28,500</u>
  <b>BALANCE FORWARD</b>	  41,800	  13,300	  8,300	  7,300

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE LAND DEPARTMENT**

**Agency Summary**

**ANALYST: Jim Rounds**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	3,524,300	3,316,600	1,168,300	922,900
Revenue	<u>5,371,000</u>	<u>6,362,300</u>	<u>3,396,400</u>	<u>3,251,400</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>8,895,300</u>	<u>9,678,900</u>	<u>4,564,700</u>	<u>4,174,300</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>14.5</u>	<u>16.5</u>	<u>16.5</u>	<u>16.5</u>
Operating Subtotal	1,454,300	2,767,800	1,690,300	1,537,300
Special Line Items Total	4,124,400	5,742,800	1,951,500	1,970,700
 <b>TOTAL FUNDS EXPENDED</b>	<u>5,578,700</u>	<u>8,510,600</u>	<u>3,641,800</u>	<u>3,508,000</u>
 <b>BALANCE FORWARD</b>	3,316,600	1,168,300	922,900	666,300

**COOPERATIVE FORESTRY PROGRAM (LDA2232)**

**A.R.S. § 37-624**

**Source of Revenue:** Federal grants, sales of tree seedlings, and other miscellaneous revenue.

**Purpose of Fund:** To protect forests through fire training, tree planting, public education, the managing and planning of development, and insect and disease control.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	0	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE LAND DEPARTMENT (Continued)**

**DEVELOPMENT PLANNING PERMIT APPLICATIONS FUND (LDA2231)**

**A.R.S. § 37-338**

**Source of Revenue:** Fees paid by developers prior to beginning work on a development plan for a parcel of state Land. This fund was eliminated by Laws 1996, Chapter 220. No balance remained.

**Purpose of Fund:** To ensure the financial stability of the developer.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	0	0	0	0
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	0	0	0	0

**FEDERAL (LDA2232)**

**A.R.S. § 37-106**

**Source of Revenue:** Federal grants for urban and rural forestry, resource conservation, development of forest lands, insect and disease control, fire training and protection of forests, and other forestry projects.

**Purpose of Fund:** Resource conservation and development, in accord with the requirements of each grant.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	600,200	236,300	358,800	199,700
Revenue	<u>649,700</u>	<u>1,165,400</u>	<u>813,800</u>	<u>813,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	1,249,900	1,401,700	1,172,600	1,013,500

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE LAND DEPARTMENT (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	10.0	12.0	12.0	12.0
Operating Subtotal	<u>1,013,600</u>	<u>1,042,900</u>	<u>972,900</u>	<u>960,200</u>
 TOTAL FUNDS EXPENDED	 <u>1,013,600</u>	 <u>1,042,900</u>	 <u>972,900</u>	 <u>960,200</u>
 BALANCE FORWARD	 236,300	 358,800	 199,700	 53,300

**FEDERAL RECLAMATION TRUST (LDA2024)**

**A.R.S. § 37-106**

**Source of Revenue:** Reimbursements from lessees and interest payments.

**Purpose of Fund:** To make payments for federal reclamation project assessments when state land lessees are delinquent.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	1,273,900	1,260,500	250,000	92,500
Revenue	<u>100,800</u>	<u>302,500</u>	<u>152,500</u>	<u>102,500</u>
TOTAL FUNDS AVAILABLE	<u>1,374,700</u>	<u>1,563,000</u>	<u>402,500</u>	<u>195,000</u>
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>114,200</u>	<u>1,313,000</u>	<u>310,000</u>	<u>195,000</u>
 TOTAL FUNDS EXPENDED	 <u>114,200</u>	 <u>1,313,000</u>	 <u>310,000</u>	 <u>195,000</u>
 BALANCE FORWARD	 1,260,500	 250,000	 92,500	 0

**FIRE SUPPRESSION (LDA2360)**

**A.R.S. § 37-623.02**

**Source of Revenue:** General Fund appropriations, monies authorized by the Governor's Emergency Council and the Wild Land Fire Emergency Council, and other monies.

**Purpose of Fund:** To cover the costs of fighting fires on public and private lands. In addition to the amounts listed below, the agency is authorized to expend up to \$1 million from the General Fund to cover fire costs.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE LAND DEPARTMENT (Continued)**

**FIRE SUPPRESSION (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,277,500	1,430,700	131,700	159,700
Revenue	4,298,100	4,464,300	2,000,000	2,000,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>5,575,600</u>	<u>5,895,000</u>	<u>2,131,700</u>	<u>2,159,700</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.5	0.5	0.5	0.5
Operating Subtotal	20,500	20,500	20,500	20,500
Temporary Fire Suppression Personnel	421,600	278,500	170,000	170,700
Reimbursement of Local Fire Departments	3,702,800	5,464,300	1,781,500	1,800,000
<b>TOTAL FUNDS EXPENDED</b>	<u>4,144,900</u>	<u>5,763,300</u>	<u>1,972,000</u>	<u>1,991,200</u>
 <b>BALANCE FORWARD</b>	 1,430,700	 131,700	 159,700	 168,500

**INTERAGENCY AGREEMENTS (LDA2212)**

**A.R.S. § 35-148**

**Source of Revenue:** Collections from other state agencies for services and products provided by the State Land Department.

**Purpose of Fund:** To pay for joint projects based upon interagency agreements with other state agencies.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	26,600	39,600	43,000	45,200
Revenue	71,700	55,000	55,000	55,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>98,300</u>	<u>94,600</u>	<u>98,000</u>	<u>100,200</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	1.0	1.0	1.0	1.0
Operating Subtotal	58,700	51,600	52,800	54,000
 <b>TOTAL FUNDS EXPENDED</b>	 <u>58,700</u>	 <u>51,600</u>	 <u>52,800</u>	 <u>54,000</u>
 <b>BALANCE FORWARD</b>	 39,600	 43,000	 45,200	 46,200



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE LAND DEPARTMENT (Continued)**

**LEGAL ADVERTISING REVOLVING (LDA2248)**

**A.R.S. § 37-108**

**Source of Revenue:** Reimbursements from successful bidders on state lands for expenses incurred to advertise land sales.

**Purpose of Fund:** To fund expenses incurred from the advertisement of State Trust Land sales.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	75,100	62,200	62,200	62,200
Revenue	<u>89,700</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>164,800</u>	<u>162,200</u>	<u>162,200</u>	<u>162,200</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>102,600</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>102,600</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>
 <b>BALANCE FORWARD</b>	62,200	62,200	62,200	62,200

**QUITCLAIM DEED APPLICATION REVOLVING (LDA2230)**

**A.R.S. § 37-1103**

**Source of Revenue:** Application fees for quitclaims on streambeds.

**Purpose of Fund:** To defray the cost of processing quitclaim applications and recording deeds. Laws 1987, Chapter 127, surrendered the state's claim to certain water-courses and recognized titleholders on those properties. Quitclaim deed applications permit titleholders to secure ownership of those properties. This act is currently in litigation, rendering this fund inoperative.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,700	1,700	1,700	1,700
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,700</u>	<u>1,700</u>	<u>1,700</u>	<u>1,700</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	1,700	1,700	1,700	1,700

# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **STATE LAND DEPARTMENT (Continued)**

<b>RESOURCE ANALYSIS REVOLVING (LDA4009)</b>	<b>A.R.S. § 37-176</b>
<b>Source of Revenue:</b> Receipts from the provision of Geographic Information Systems (GIS) products and services.	
<b>Purpose of Fund:</b> To offset the costs of GIS supplies and support.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	31,100	23,900	20,000	20,000
Revenue	<u>76,300</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	107,400	73,900	70,000	70,000
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	83,500	53,900	50,000	50,000
 <b>TOTAL FUNDS EXPENDED</b>	<u>83,500</u>	<u>53,900</u>	<u>50,000</u>	<u>50,000</u>
 <b>BALANCE FORWARD</b>	23,900	20,000	20,000	20,000

<b>RIPARIAN ACQUISITION TRUST (LDA3201)</b>	<b>A.R.S. § 31-1108</b>
<b>Source of Revenue:</b> Per acre assessments for land quitclaimed under the Streambed Program (Laws 1987, Ch. 127).	
<b>Purpose of Fund:</b> To fund the acquisition of wetland areas in the state. See Quitclaim Deed Revolving Fund for more information.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	2,100	2,200	2,300	2,400
Revenue	<u>100</u>	<u>100</u>	<u>100</u>	<u>100</u>
<b>TOTAL FUNDS AVAILABLE</b>	2,200	2,300	2,400	2,500
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	2,200	2,300	2,400	2,500

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE LAND DEPARTMENT (Continued)**

**TIMBER SUSPENSE (LDA3158)**

**A.R.S. § 37-482**

**Source of Revenue:** Deduction from proceeds of timber sales.

**Purpose of Fund:** To fund expenses incurred by the State Land Department for the conservation, sale, and administration of timber and timber products located on state lands where the University land Fund is the beneficiary.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	194,900	218,300	272,400	328,300
Revenue	<u>84,600</u>	<u>225,000</u>	<u>215,000</u>	<u>80,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	279,500	443,300	487,400	408,300
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>
Operating Subtotal	61,200	170,900	159,100	132,600
 <b>TOTAL FUNDS EXPENDED</b>	<u>61,200</u>	<u>170,900</u>	<u>159,100</u>	<u>132,600</u>
 <b>BALANCE FORWARD</b>	218,300	272,400	328,300	275,700

**ZONING APPLICATION FEES (LDA2220)**

**A.R.S. § 37-108**

**Source of Revenue:** Reimbursements from successful bidders on state lands for zoning application fees paid by the State Land Department.

**Purpose of Fund:** To pay zoning fees for new projects.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	41,200	41,200	26,200	11,200
Revenue	<u>0</u>	<u>0</u>	<u>10,000</u>	<u>50,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	41,200	41,200	36,200	61,200

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**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

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**STATE LAND DEPARTMENT (Continued)**

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	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	0	15,000	25,000	25,000
TOTAL FUNDS EXPENDED	<u>0</u>	<u>15,000</u>	<u>25,000</u>	<u>25,000</u>
BALANCE FORWARD	41,200	26,200	11,200	36,200

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**LEGISLATURE - AUDITOR GENERAL**

**ANALYST: Bob Hull**

**AUDIT SERVICES REVOLVING (AUA2242)**

**A.R.S. § 41-1279.06**

**Source of Revenue:** Charges to state budget units, counties, community college districts or school districts for audits or accounting services performed by, or under the supervision of, the Auditor General. A majority of the amounts collected are for federal compliance audits required by the Single Audit Act, as such audited entities are reimbursed by the federal government.

**Purpose of Fund:** To conduct audits required under federal law, special audits, or provide accounting services requested by state budget units, counties, community college districts or school districts. Monies in this fund may also be used to pay certified public accountants to conduct audits or provide accounting services.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	332,200	600,800	547,800	402,900
Revenue	<u>1,190,000</u>	<u>1,000,400</u>	<u>1,000,400</u>	<u>1,000,400</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,522,200</u>	<u>1,601,200</u>	<u>1,548,200</u>	<u>1,403,300</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>26.0</u>	<u>26.0</u>	<u>26.0</u>	<u>26.0</u>
Operating Subtotal	<u>921,400</u>	<u>1,053,400</u>	<u>1,145,300</u>	<u>1,145,300</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>921,400</u>	<u>1,053,400</u>	<u>1,145,300</u>	<u>1,145,300</u>
 <b>BALANCE FORWARD</b>	 600,800	 547,800	 402,900	 258,000

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**LEGISLATURE - DEPARTMENT OF LIBRARY, ARCHIVES AND PUBLIC RECORDS**

**Agency Summary**

**ANALYST: Brad Regens**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,774,800	1,886,400	922,300	706,200
Revenue	<u>1,177,400</u>	<u>1,089,400</u>	<u>1,786,900</u>	<u>1,901,900</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>2,952,200</b>	<b>2,975,800</b>	<b>2,709,200</b>	<b>2,608,100</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>7.0</u>	<u>7.0</u>	<u>5.5</u>	<u>4.0</u>
Operating Subtotal	664,900	1,253,500	1,203,000	1,123,700
Special Line Items Total	400,900	800,000	800,000	800,000
 <b>TOTAL FUNDS EXPENDED</b>	<b>1,065,800</b>	<b>2,053,500</b>	<b>2,003,000</b>	<b>1,923,700</b>
 <b>BALANCE FORWARD</b>	<b>1,886,400</b>	<b>922,300</b>	<b>706,200</b>	<b>684,400</b>

**DONATIONS (LAA3149)**

**A.R.S. § 41-1336**

**Source of Revenue:** Monies donated or contributed from private sources. According to the department, donations have only been from patrons of the Library for the Blind and Physically Handicapped.

**Purpose of Fund:** Expended by the department in a manner consistent with the library services for the Blind and Physically Handicapped and/or with the condition of the gift or donation.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	310,300	419,400	279,800	254,800
Revenue	<u>126,900</u>	<u>60,800</u>	<u>60,800</u>	<u>60,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>437,200</b>	<b>480,200</b>	<b>340,600</b>	<b>315,600</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>
Operating Subtotal	17,800	200,400	85,800	85,800
 <b>TOTAL FUNDS EXPENDED</b>	<b>17,800</b>	<b>200,400</b>	<b>85,800</b>	<b>85,800</b>
 <b>BALANCE FORWARD</b>	<b>419,400</b>	<b>279,800</b>	<b>254,800</b>	<b>229,800</b>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**LEGISLATURE - DEPARTMENT OF LIBRARY, ARCHIVES, AND PUBLIC RECORDS (Continued)**

<b>FEDERAL GRANTS (LAA2000)</b>	<b>A.R.S. § 41-1336</b>
<b>Source of Revenue:</b> Federal grants awarded to the state for library purposes.	
<b>Purpose of Fund:</b> To be expended as prescribed by the federal grantor for statewide library purposes.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,167,800	1,163,300	429,800	279,800
Revenue	807,300	836,700	1,570,200	1,720,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,975,100</u>	<u>2,000,000</u>	<u>2,000,000</u>	<u>2,000,000</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>
Operating Subtotal	410,900	770,200	920,200	925,200
Aid to Organizations	400,900	800,000	800,000	800,000
<b>TOTAL FUNDS EXPENDED</b>	<u>811,800</u>	<u>1,570,200</u>	<u>1,720,200</u>	<u>1,725,200</u>
 <b>BALANCE FORWARD</b>	 1,163,300	 429,800	 279,800	 274,800

<b>GIFT SHOP REVOLVING (LAA4008)</b>	<b>A.R.S. § Laws 1984, Ch. 396</b>
<b>Source of Revenue:</b> Sale of merchandise at the Capitol Museum Gift Shop.	
<b>Purpose of Fund:</b> To provide for state-related inventory to be sold at the Capitol Museum Gift Shop.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	108,800	103,100	76,300	69,500
Revenue	65,900	65,900	65,900	65,900
<b>TOTAL FUNDS AVAILABLE</b>	<u>174,700</u>	<u>169,000</u>	<u>142,200</u>	<u>135,400</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	71,600	92,700	72,700	72,700
<b>TOTAL FUNDS EXPENDED</b>	<u>71,600</u>	<u>92,700</u>	<u>72,700</u>	<u>72,700</u>
 <b>BALANCE FORWARD</b>	 103,100	 76,300	 69,500	 62,700

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**LEGISLATURE - DEPARTMENT OF LIBRARY, ARCHIVES, AND PUBLIC RECORDS (Continued)**

<b>MISCELLANEOUS COLLECTIONS (LAA2115)</b>	<b>A.R.S. § 41-1336</b>
<b>Source of Revenue:</b> Monies collected through charges for reproduction of materials in the research department and outside funded projects, such as private grants.	
<b>Purpose of Fund:</b> Expended by the department in a manner consistent with the acquisitions collection for the purpose of purchasing books or materials, and as specified by the funding source.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	187,900	200,600	136,400	102,100
Revenue	<u>177,300</u>	<u>126,000</u>	<u>90,000</u>	<u>55,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	365,200	326,600	226,400	157,100
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>3.0</u>	<u>3.0</u>	<u>1.5</u>	<u>0.0</u>
Operating Subtotal	164,600	190,200	124,300	40,000
 <b>TOTAL FUNDS EXPENDED</b>	<u>164,600</u>	<u>190,200</u>	<u>124,300</u>	<u>40,000</u>
 <b>BALANCE FORWARD</b>	200,600	136,400	102,100	117,100



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF LIQUOR LICENSES & CONTROL**

**Agency Summary**

**ANALYST: Chris Earnest**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	562,300	218,000	171,800	295,800
Revenue	<u>332,700</u>	<u>291,200</u>	<u>470,500</u>	<u>307,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>895,000</u>	<u>509,200</u>	<u>642,300</u>	<u>603,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>6.0</u>	<u>6.0</u>	<u>6.0</u>	<u>6.0</u>
Operating Subtotal	<u>677,000</u>	<u>337,400</u>	<u>346,500</u>	<u>348,700</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>677,000</u>	<u>337,400</u>	<u>346,500</u>	<u>348,700</u>
 <b>BALANCE FORWARD</b>	 218,000	 171,800	 295,800	 254,800

**FEDERAL (LLA2000)**

**A.R.S. § 4-112**

**Source of Revenue:** Pass-through of federal grants from Governor's Office of Highway Safety.

**Purpose of Fund:** Two federal grants were received to fund a youth program and judicial training. The youth program involves undercover officers working with licensed establishments to apprehend youth attempting to purchase liquor, otherwise known as the "Cops in Shops" program. Judicial training is for 2 members of the Liquor Board to attend the National Judicial College in Nevada. All expenditures are initially paid from the General Fund operating budget and then reimbursed from Federal Funds.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>100,200</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>100,200</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>1.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>100,200</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>100,200</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0	 0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF LIQUOR LICENSES & CONTROL (Continued)**

**RACKETEERING (LLA3018)**

**A.R.S. § 13-2314.01**

**This fund is recommended for elimination.**

**Source of Revenue:** U.S. Department of Justice Equitable Sharing Program. When the department participates in federal legal actions, it may share in a portion of any forfeited property.

**Purpose of Fund:** Use of Racketeering Influenced Corrupt Organizations (RICO) Fund monies is restricted to increasing and not supplanting law enforcement resources of the department. The department may not use RICO monies to pay for basic operational expenses. The departmental FTE position formerly participating in RICO activities has been re-assigned within the agency, and the department currently does not anticipate further RICO involvements.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	3,200	0	0	0
Revenue	<u>100</u>	<u>1,100</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	3,300	1,100	0	0
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	3,300	1,100	0	0
 <b>TOTAL FUNDS EXPENDED</b>	<u>3,300</u>	<u>1,100</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	0	0	0	0

**SPECIAL COLLECTIONS (LLA3008)**

**A.R.S. § 4-115 and 4-209**

**Source of Revenue:** Liquor license fees and surcharges. Nearly all of the department's licensing revenue is originally recorded in this account and then distributed to the General Fund, other state agencies, and counties. Annual surcharges on liquor licenses are retained by the department. The department had been retaining a portion of licensing revenues from unincorporated areas of Maricopa and Pima Counties for an automated file and retrieval system. Laws 1995, Chapter 3, 1st Special Session redirected these monies to the General Fund. The lease-purchase payments for the system are now made from a General Fund appropriation.

**Purpose of Fund:** An annual \$20 surcharge on certain liquor licenses is used to support an auditor and support staff position to review revenue requirements for restaurant liquor licenses. A \$25 annual surcharge on all liquor licenses is used to fund an enforcement program to investigate licensees who have been the subject of multiple complaints.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	559,100	218,000	171,800	295,800
Revenue	<u>232,400</u>	<u>290,100</u>	<u>470,500</u>	<u>307,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	791,500	508,100	642,300	603,500

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**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

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**DEPARTMENT OF LIQUOR LICENSES & CONTROL (Continued)**

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	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>5.0</u>	<u>6.0</u>	<u>6.0</u>	<u>6.0</u>
Operating Subtotal	<u>573,500</u>	<u>336,300</u>	<u>346,500</u>	<u>348,700</u>
TOTAL FUNDS EXPENDED	<u>573,500</u>	<u>336,300</u>	<u>346,500</u>	<u>348,700</u>
BALANCE FORWARD	218,000	171,800	295,800	254,800

# Fiscal Year 1998 and 1999 Non-Appropriated Funds

## ARIZONA STATE LOTTERY COMMISSION

ANALYST: Jim Rounds

STATE LOTTERY (LOA2122)

A.R.S. § 5-521

**Source of Revenue:** Sales of lottery tickets, retailer license fees and interest earnings.

**Purpose of Fund:** The fund pays for all costs of the Arizona State Lottery Commission. After all expenses are paid, \$23,000,000 is distributed to the Local Transportation Assistance Fund, \$7,650,000 to the County Assistance Fund, \$20,000,000 to the Heritage Fund, up to 31.5% of "Bingo" revenue to the Arizona Clean Air Fund, 32.5% of revenue from 2 economic development games to the Economic Development Commission, \$17,000,000 to health and welfare programs, at least \$45,000,000 to the General Fund, and up to \$18,000,000 to the Local Transportation Assistance Fund-Mass Transit, revenues permitting.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	11,566,500	14,076,200	14,937,400	14,747,500
Revenue	<u>259,288,400</u>	<u>263,400,000</u>	<u>261,900,000</u>	<u>252,000,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>270,854,900</u>	<u>277,476,200</u>	<u>276,837,400</u>	<u>266,747,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 Prizes	 134,596,700	 136,708,000	 135,928,000	 130,780,000
<b>TOTAL FUNDS EXPENDED</b>	<u>134,596,700</u>	<u>136,708,000</u>	<u>135,928,000</u>	<u>130,780,000</u>
<b>APPROPRIATIONS</b>	37,029,900	41,255,300	42,084,400	40,075,500
<b>TRANSFERS TO:</b>				
LOCAL TRANS. ASST. FUND	23,000,000	23,000,000	23,000,000	23,000,000
COUNTY ASSISTANCE FUND	7,650,000	7,650,000	7,650,000	7,650,000
HERITAGE FUND	20,000,000	20,000,000	20,000,000	20,000,000
GENERAL FUND	32,747,100	31,000,500	25,500,000	21,000,000
CLEAN AIR FUND	0	0	5,490,000	4,000,000
ECONOMIC DEVELOPMENT	1,755,000	2,925,000	2,437,500	2,437,500
MASS TRANSIT TRANSFER	0	0	0	0
PROPOSITION 203 PROGRAMS <sup>1/</sup>	0	0	0	2,630,000
<b>BALANCE FORWARD</b>	14,076,200	14,937,400	14,747,500	15,174,500

<sup>1/</sup> The JLBC Staff believes that Proposition 203 programs will receive no monies until FY 1999. Please contact our office for further information on this topic.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE MINE INSPECTOR**

**ANALYST: Chris Earnest**

**FEDERAL GRANTS (MIA2000)**

**A.R.S. § 27-105**

**Source of Revenue:** Sources include federal grants from the U.S. Department of Labor, Mine Safety and Health Administration (MSHA) and the Department of Interior, Bureau of Land Management (BLM).

**Purpose of Fund:** The Department of Labor grant provides mine safety training to mine employees in Arizona. All mine employees are required under federal regulations to receive initial and annual refresher safety training. The state provides a 20% in-kind match. The BLM grant is for an inventory of abandoned mines on BLM lands.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	5,800	5,200	0	0
Revenue	<u>298,000</u>	<u>327,400</u>	<u>265,000</u>	<u>265,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>303,800</u>	<u>332,600</u>	<u>265,000</u>	<u>265,000</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>6.5</u>	<u>7.5</u>	<u>6.5</u>	<u>6.5</u>
Operating Subtotal	<u>298,600</u>	<u>332,600</u>	<u>265,000</u>	<u>265,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>298,600</u>	<u>332,600</u>	<u>265,000</u>	<u>265,000</u>
<b>BALANCE FORWARD</b>	5,200	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF MINES AND MINERAL RESOURCES**

**Agency Summary**

**ANALYST: Chris Earnest**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	60,800	79,100	58,400	47,200
Revenue	<u>86,200</u>	<u>70,000</u>	<u>70,000</u>	<u>70,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	147,000	149,100	128,400	117,200
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
Operating Subtotal	67,900	90,700	81,200	68,700
 <b>TOTAL FUNDS EXPENDED</b>	<u>67,900</u>	<u>90,700</u>	<u>81,200</u>	<u>68,700</u>
 <b>BALANCE FORWARD</b>	79,100	58,400	47,200	48,500

**MUSEUM DONATIONS (MNA3156)**

**A.R.S. § 27-105**

**Source of Revenue:** Monies are received through donations and the sale of gifts or bequests given to the department, and from federal agencies.

**Purpose of Fund:** To utilize these funds in carrying out the department's objectives of promoting the development of mineral resources in Arizona.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	53,100	71,200	50,000	38,800
Revenue	<u>76,600</u>	<u>60,000</u>	<u>60,000</u>	<u>60,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	129,700	131,200	110,000	98,800
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
Operating Subtotal	58,500	81,200	71,200	59,200
 <b>TOTAL FUNDS EXPENDED</b>	<u>58,500</u>	<u>81,200</u>	<u>71,200</u>	<u>59,200</u>
 <b>BALANCE FORWARD</b>	71,200	50,000	38,800	39,600

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF MINES AND MINERAL RESOURCES (Continued)**

**PRINT REVOLVING (MNA4004)**

**A.R.S. § 27-102**

**Source of Revenue:** Monies are collected through fees for department publications.

**Purpose of Fund:** To cover the cost of publications and data necessary to disseminate information in promoting the development of mineral resources in Arizona.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	7,700	7,900	8,400	8,400
Revenue	<u>9,600</u>	<u>10,000</u>	<u>10,000</u>	<u>10,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	17,300	17,900	18,400	18,400
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	9,400	9,500	10,000	9,500
 <b>TOTAL FUNDS EXPENDED</b>	<u>9,400</u>	<u>9,500</u>	<u>10,000</u>	<u>9,500</u>
 <b>BALANCE FORWARD</b>	7,900	8,400	8,400	8,900

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE BOARD OF NURSING**

**ANALYST:** Jennifer Vermeer

**NURSE AIDE TRAINING AND REGISTRATION (BNA2000)**

**A.R.S. § 35-142**

**Source of Revenue:** Monies from the Department of Health Services (Medicare) and AHCCCS (Title XIX Medicaid), facility payments, and nurse aid fees.

**Purpose of Fund:** To pay for the administrative and testing costs of the Nurse Aide Registration Program as mandated by the Omnibus Budget Reconciliation Act of 1987.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	61,900	56,600	51,300
Revenue	463,800	431,400	431,400	431,400
<b>TOTAL FUNDS AVAILABLE</b>	<u>463,800</u>	<u>493,300</u>	<u>488,000</u>	<u>482,700</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	5.7	5.7	5.7	5.7
Operating Subtotal	<u>401,900</u>	<u>436,700</u>	<u>436,700</u>	<u>436,700</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>401,900</u>	<u>436,700</u>	<u>436,700</u>	<u>436,700</u>
<b>BALANCE FORWARD</b>	61,900	56,600	51,300	46,000



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA STATE PARKS BOARD**

**Agency Summary**

**ANALYST: Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	50,330,700	58,236,500	51,949,100	49,884,600
Revenue	<u>27,775,900</u>	<u>27,355,700</u>	<u>27,888,800</u>	<u>28,690,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>78,106,600</u>	<u>85,592,200</u>	<u>79,837,900</u>	<u>78,574,600</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>120.5</u>	<u>68.0</u>	<u>67.0</u>	<u>67.0</u>
Operating Subtotal	<u>3,401,700</u>	<u>3,787,000</u>	<u>3,958,400</u>	<u>4,112,700</u>
Special Line Items Total	<u>13,298,100</u>	<u>25,114,100</u>	<u>21,769,200</u>	<u>17,477,900</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>16,699,800</u>	<u>28,901,100</u>	<u>25,727,600</u>	<u>21,590,600</u>
APPROPRIATIONS	1,754,300	2,791,500	2,677,300	2,291,700
TRANSFERS	1,416,000	1,950,500	1,548,400	1,550,000
BALANCE FORWARD	58,236,500	51,949,100	49,884,600	53,142,300

**ARIZONA CONSERVATION CORPS (PRA2280)**

**A.R.S. § 41-519.05**

**This fund is recommended for elimination.**

**Source of Revenue:** Matching grants and reimbursements from recipients of services provided by the Arizona Conservation Corps (ACC) and legislative appropriations.

**Purpose of Fund:** To carry out the requirements of Laws 1989, Chapter 287, which establishes the ACC and its purpose as to provide employment and opportunities for the personal development of young adults through projects and activities involving natural conservation and community service.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	206,100	359,900	333,200	333,200
Revenue	<u>1,014,000</u>	<u>30,000</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,220,100</u>	<u>389,900</u>	<u>333,200</u>	<u>333,200</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>60.0</u>	<u>1.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>860,200</u>	<u>56,700</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>860,200</u>	<u>56,700</u>	<u>0</u>	<u>0</u>
<b>BALANCE FORWARD</b>	359,900	333,200	333,200	333,200

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA STATE PARKS BOARD (Continued)**

DONATIONS (PRA3117)	A.R.S. § 41-511.11A
<b>Source of Revenue:</b> Private donations.	
<b>Purpose of Fund:</b> To fulfill the wishes of contributors, especially as they relate to acquisition and preservation of the state's natural features.	

	FY 1996	FY 1997	FY 1998	FY 1999
FUNDS AVAILABLE				
Balance Forward	154,800	153,900	153,400	147,900
Revenue	44,800	44,500	44,500	44,500
TOTAL FUNDS AVAILABLE	199,600	198,400	197,900	192,400
FUNDS EXPENDED				
Full Time Equivalent Positions	0.0	0.0	0.0	0.0
Operating Subtotal	45,700	45,000	50,000	55,000
TOTAL FUNDS EXPENDED	45,700	45,000	50,000	55,000
BALANCE FORWARD	153,900	153,400	147,900	137,400

FEDERAL FUNDS (PRA2000)	A.R.S. § 41-511.04
<b>Source of Revenue:</b> Federal grants from the Department of the Interior, National Park Service; non-federal grants from other state sources.	
<b>Purpose of Fund:</b> To expand and maintain the National Register of Historic Places, and to identify, evaluate and protect other historic properties. Also, to implement the State Comprehensive Outdoor Recreation Plan.	

	FY 1996	FY 1997	FY 1998	FY 1999
FUNDS AVAILABLE				
Balance Forward	354,500	429,300	206,000	206,000
Revenue	1,169,900	1,156,400	791,900	525,000
TOTAL FUNDS AVAILABLE	1,524,400	1,585,700	997,900	731,000
FUNDS EXPENDED				
Full Time Equivalent Positions	10.0	10.0	10.0	10.0
Operating Subtotal	416,700	450,000	450,000	450,000
Parks Projects	140,000	379,700	66,000	25,000
TOTAL FUNDS EXPENDED	556,700	829,700	516,000	475,000
TRANSFERS	538,400	550,000	275,900	50,000
BALANCE FORWARD	429,300	206,000	206,000	206,000

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA STATE PARKS BOARD (Continued)**

**HERITAGE (PRA2296)**

**A.R.S. § 41-501**

**Source of Revenue:** Annual transfer from the Lottery Fund of \$10 million; and interest earnings.

**Purpose of Fund:** To fund programs and capital projects to preserve, protect, and enhance Arizona's natural environment, historical heritage, biological diversity, state, regional, and local parks, wildlife and wildlife habitat, and other similar purposes. Most of the State Parks Heritage Fund is limited to acquisition and development of local, regional, and state parks; development of trails, acquisition of natural areas, and historic preservation projects. FTE positions may be employed to administer grants, manage natural areas, and assist with historic preservation. Interest earnings are to be used for program administration.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	25,905,900	30,016,700	27,620,300	24,716,000
Revenue	11,306,400	11,200,000	11,250,000	11,300,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>37,212,300</u>	<u>41,216,700</u>	<u>38,870,300</u>	<u>36,016,000</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	35.0	36.5	36.5	36.5
Operating Subtotal	1,126,800	1,706,200	1,841,200	1,891,200
State Parks Capital Projects	1,460,200	8,122,800	7,850,500	2,025,000
Heritage Grants	4,608,600	3,767,400	4,462,600	5,336,800
<b>TOTAL FUNDS EXPENDED</b>	<u>7,195,600</u>	<u>13,596,400</u>	<u>14,154,300</u>	<u>9,253,000</u>
 <b>BALANCE FORWARD</b>	 30,016,700	 27,620,300	 24,716,000	 26,763,000

**INTERGOVERNMENTAL AGREEMENT FUND (PRA1024)**

**A.R.S. § 35-142**

**Source of Revenue:** Revenues received from federal, state, and local government agencies through intergovernmental agreements.

**Purpose of Fund:** Revenues include funds received for trails stewardship from the USDA Forest Service and the Bureau of Land Management, and from the U.S. Coast Guard, Lake Havasu City, Mohave County, and the Arizona Game and Fish Department, for operating costs of the multi-use water safety center at Lake Havasu State Park.

	<u>FY 1995</u>	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	14,600	36,800	27,800	15,300
Revenue	49,600	50,500	43,000	12,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>64,200</u>	<u>87,300</u>	<u>70,800</u>	<u>27,800</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA STATE PARKS BOARD (Continued)**

	<u>FY 1995</u>	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal				
Parks Projects	27,400	59,500	55,500	12,500
<b>TOTAL FUNDS EXPENDED</b>	<u>27,400</u>	<u>59,500</u>	<u>55,500</u>	<u>12,500</u>
 <b>BALANCE FORWARD</b>	 36,800	 27,800	 15,300	 15,300

**LAND AND WATER CONSERVATION SURCHARGE (PRA2123)**

**A.R.S. § 41-511.25**

**Source of Revenue:** Reimbursements from local and county recipients of federal Land and Water Conservation Fund grants.

**Purpose of Fund:** To administer federal Land and Water Conservation Fund grants. Grant recipients must provide 10% of the grant amount to the Parks Board for administration.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	270,600	273,600	273,600	273,600
Revenue	<u>33,700</u>	<u>14,000</u>	<u>14,000</u>	<u>14,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>304,300</u>	<u>287,600</u>	<u>287,600</u>	<u>287,600</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>1.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	30,700	14,000	14,000	14,000
<b>TOTAL FUNDS EXPENDED</b>	<u>30,700</u>	<u>14,000</u>	<u>14,000</u>	<u>14,000</u>
 <b>BALANCE FORWARD</b>	 273,600	 273,600	 273,600	 273,600

**OFF HIGHWAY VEHICLE (PRA2253)**

**A.R.S. § 28-2805**

**Source of Revenue:** Transfer from the Motor Vehicle Fuel Tax, equivalent to fifty-five hundredths of one percent of the total license taxes on motor vehicle fuel.

**Purpose of Fund:** To plan, administer, and enforce off-highway vehicle recreation, and to develop facilities consistent with the off-highway vehicle plan. Seventy percent of the monies collected are to be used by the Parks Board for planning, administration, and facilities development; the remainder is transferred to the Game and Fish Department for enforcement.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA STATE PARKS BOARD (Continued)**

**OFF HIGHWAY VEHICLE (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	3,282,700	3,859,700	3,821,500	3,955,600
Revenue	<u>1,613,900</u>	<u>1,626,200</u>	<u>1,699,300</u>	<u>1,774,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>4,896,600</b>	<b>5,485,900</b>	<b>5,520,800</b>	<b>5,729,600</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>4.0</u>	<u>5.5</u>	<u>5.5</u>	<u>5.5</u>
Operating Subtotal	167,900	283,600	292,700	305,900
<b>TOTAL FUNDS EXPENDED</b>	<b>167,900</b>	<b>283,600</b>	<b>292,700</b>	<b>305,900</b>
TRANSFER TO ARIZONA CONSERVATION CORPS	(62,600)	0	0	0
TRANSFER TO GAME & FISH DEPARTMENT	931,600	1,380,800	1,272,500	1,500,000
<b>BALANCE FORWARD</b>	<b>3,859,700</b>	<b>3,821,500</b>	<b>3,955,600</b>	<b>3,923,700</b>

**PUBLICATIONS AND SOUVENIR REVOLVING (PRA4010)**

**A.R.S. § 41-511.21**

**Source of Revenue:** Sales of books, postcards, posters, and souvenir items at state parks and proceeds from the operation of the lodge and restaurant at Tonto Natural Bridge State Park.

**Purpose of Fund:** Production and distribution of agency publications, as well as the purchase of souvenir items for sale at state parks, and the operation of concessions. Monies in excess of \$25,000 remaining in the fund at the end of the fiscal year are transferred to the State Parks Enhancement Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	33,600	23,000	22,900	23,800
Revenue	<u>143,100</u>	<u>261,900</u>	<u>293,500</u>	<u>352,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>176,700</b>	<b>284,900</b>	<b>316,400</b>	<b>375,800</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>
Operating Subtotal	145,100	262,000	292,600	327,600
<b>TOTAL FUNDS EXPENDED</b>	<b>145,100</b>	<b>262,000</b>	<b>292,600</b>	<b>327,600</b>
TRANSFER TO ENHANCEMENT FUND	8,600	0	0	0
<b>BALANCE FORWARD</b>	<b>23,000</b>	<b>22,900</b>	<b>23,800</b>	<b>48,200</b>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA STATE PARKS BOARD (Continued)**

**STATE LAKE IMPROVEMENT (PRA2106)**

**A.R.S. § 5-382**

**Source of Revenue:** Primarily a transfer from the Highway Users Revenue Fund based on a formula that estimates state gasoline taxes paid for boating purposes. Included also is a portion of the watercraft license tax, collected by the Game and Fish Department.

**Purpose of Fund:** To fund projects at boating sites, including launching ramps, parking areas, lake improvement and construction, campgrounds, and acquisition of property to provide access to boating sites. Project grant requests are reviewed by the Arizona Outdoor Recreation and Coordinating Commission and approved by the State Parks Board. Approved projects must be reviewed by the Joint Committee on Capital Review.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	14,206,600	18,891,500	18,497,400	19,146,900
Revenue	8,220,300	8,626,400	9,059,200	9,510,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>22,426,900</u>	<u>27,517,900</u>	<u>27,556,600</u>	<u>28,657,700</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	10.0	13.0	13.0	13.0
Operating Subtotal	558,400	969,500	1,017,900	1,069,000
Capital Outlay (State Parks Projects)	453,400	3,440,900	2,298,600	2,500,000
SLIF Grants (State, Local and County)	2,523,600	4,610,100	5,093,200	5,000,000
<b>TOTAL FUNDS EXPENDED</b>	<u>3,535,400</u>	<u>9,020,500</u>	<u>8,409,700</u>	<u>8,569,000</u>
 <b>BALANCE FORWARD</b>	 18,891,500	 18,497,400	 19,146,900	 20,088,700

**STATE PARKS ENHANCEMENT (PRA2202)**

**A.R.S. § 41-511.11B**

**Source of Revenue:** State Parks user fees and concession sales.

**Purpose of Fund:** One-half of this fund may be appropriated for parks operations, and one-half may be used for parks acquisition and development. The acquisition and development portion is earmarked to complete the acquisition and development of Kartchner Caverns and Tonto Natural Bridge State Parks. Upon completion of the acquisition and development of these parks, this portion of the fund is subject to legislative appropriation.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	5,883,700	4,172,400	993,000	1,066,300
Revenue	4,127,900	4,345,800	4,693,400	5,157,200
<b>TOTAL FUNDS AVAILABLE</b>	<u>10,011,600</u>	<u>8,518,200</u>	<u>5,686,400</u>	<u>6,223,500</u>

## Fiscal Year 1998 and 1999 Non-Appropriated Funds

### ARIZONA STATE PARKS BOARD (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Capital Outlay <sup>1/</sup>	4,084,900	4,733,700	1,942,800	2,578,600
TOTAL FUNDS EXPENDED	4,084,900	4,733,700	1,942,800	2,578,600
APPROPRIATIONS	1,754,300	2,791,500	2,677,300	2,291,700
BALANCE FORWARD	4,172,400	993,000	1,066,300	1,353,200

<sup>1/</sup> Reflects proposed expenditures under current law. JLBC Staff is recommending that any revenue above the FY 1997 level of collections be used to accelerate the lease-purchase of Tonto. See Analysis and Recommendation book for more information.

#### TONTO NATURAL BRIDGE REVOLVING (PRA4012)

A.R.S. § 41-511.23

**Source of Revenue:** Proceeds from the operation of the lodge, restaurant, and gift shop at Tonto Natural Bridge State Park. This fund was eliminated by Laws 1996, Chapter 220 and the remaining balances were transferred to the Publications and Souvenir Revolving Fund (PRA4010).

**Purpose of Fund:** To fund expenses related to the maintenance and operation of the lodge, restaurant, and gift shop at Tonto Natural Bridge State Park.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	16,500	19,700	0	0
Revenue	52,300	0	0	0
TOTAL FUNDS AVAILABLE	68,800	19,700	0	0
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	49,100	0	0	0
TOTAL FUNDS EXPENDED	49,100	0	0	0
TRANSFER TO PUBLICATIONS & SOUVENIR REVOLVING	0	19,700	0	0
BALANCE FORWARD	19,700	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA STATE PARKS BOARD (Continued)**

<b>TRAILS GRANT (PRA2262)</b>	<b>A.R.S. § 41-511.22</b>
<b>This fund is recommended for consolidation in the Donations Fund.</b>	
<b>Source of Revenue:</b> Private donations.	
<b>Purpose of Fund:</b> For the development and maintenance of the state trails as specified by contributions.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,100	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,100</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>1,100</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>1,100</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	0	0	0	0



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA PIONEERS' HOME**

**Agency Summary**

**ANALYST:** Jennifer Vermeer

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	6,138,900	5,540,600	4,833,400	3,926,700
Revenue	<u>1,078,000</u>	<u>1,067,400</u>	<u>1,072,000</u>	<u>1,072,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>7,216,900</u>	<u>6,608,000</u>	<u>5,905,400</u>	<u>4,998,700</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>19.5</u>	<u>19.5</u>	<u>19.5</u>	<u>19.5</u>
Operating Subtotal	<u>486,900</u>	<u>619,000</u>	<u>551,400</u>	<u>591,100</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>486,900</u>	<u>619,000</u>	<u>551,400</u>	<u>591,100</u>
<b>APPROPRIATIONS</b>	1,189,400	1,155,600	1,427,300	1,387,600
 <b>BALANCE FORWARD</b>	5,540,600	4,833,400	3,926,700	3,020,000

**DONATIONS (PIA3143)**

**A.R.S. § 35-149**

**Source of Revenue:** Monies donated or contributed from private sources.

**Purpose of Fund:** To defray expenses or work conducted by the Arizona Pioneers' Home in serving its clientele.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	55,800	91,200	126,600	162,000
Revenue	<u>88,400</u>	<u>88,400</u>	<u>88,400</u>	<u>88,400</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>144,200</u>	<u>179,600</u>	<u>215,000</u>	<u>250,400</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>53,000</u>	<u>53,000</u>	<u>53,000</u>	<u>53,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>53,000</u>	<u>53,000</u>	<u>53,000</u>	<u>53,000</u>
 <b>BALANCE FORWARD</b>	91,200	126,600	162,000	197,400

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA PIONEERS' HOME (Continued)**

**MINERS HOSPITAL LAND EARNINGS (PIA3130)**

**A.R.S. § 37-525**

**Source of Revenue:** Monies are received from interest on the Miners' Hospitals for Disabled Miners Fund as established through Arizona's Enabling Act, Section 25 and monies derived from the rental of these lands and property.

**Purpose of Fund:** To provide a continuous source of funds for a miners' hospital for disabled miners. Starting in FY 1994, funds have been appropriated to the Pioneers' Home.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	6,083,100	5,449,400	4,706,800	3,764,700
Revenue	<u>989,600</u>	<u>979,000</u>	<u>983,600</u>	<u>983,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>7,072,700</b>	<b>6,428,400</b>	<b>5,690,400</b>	<b>4,748,300</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>19.5 <sup>1/</sup></u>	<u>19.5</u>	<u>19.5</u>	<u>19.5</u>
Operating Subtotal	<u>433,900</u>	<u>566,000</u>	<u>498,400</u>	<u>538,100</u>
 <b>TOTAL FUNDS EXPENDED</b>	<b>433,900</b>	<b>566,000</b>	<b>498,400</b>	<b>538,100</b>
<b>APPROPRIATIONS</b>	<b>1,189,400</b>	<b>1,155,600</b>	<b>1,427,300</b>	<b>1,387,600</b>
 <b>BALANCE FORWARD</b>	<b>5,449,400</b>	<b>4,706,800</b>	<b>3,764,700</b>	<b>2,822,600</b>

<sup>1/</sup> Seasonal FTE Positions.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**COMMISSION FOR POSTSECONDARY EDUCATION**

**Agency Summary**

**ANALYST: Bruce Groll**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	1,700	1,700	300
Revenue	<u>11,700</u>	<u>10,000</u>	<u>5,000</u>	<u>5,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	11,700	11,700	6,700	5,300
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>TRANSFERS</b>	10,000	10,000	6,400	5,000
<b>BALANCE FORWARD</b>	1,700	1,700	300	300

**ARIZONA STUDENT PROGRAM INVESTING RESOURCES IN EDUCATION (ASPIRE) (PEA2407)      A.R.S. § 15-1851**

**Source of Revenue:** The source of revenue is legislative appropriations, grants, gifts, devises and donations from any public or private source.

**Purpose of Fund:** To provide guaranteed tuition scholarships to Arizona residents who meet the eligibility criteria for participating in the Arizona Student Program Investing Resources in Education (ASPIRE), and who intend to pursue an undergraduate degree at an eligible university, community college or private postsecondary institution. Student application to the program must be submitted during the year in which the applicant is attending grade three. Subsequent to the initial application, and to establish ASPIRE scholarship eligibility, the applicant must: graduate from high school within nine consecutive years; maintain at least a 3.0 grade point average per semester; and abstain from the consumption of alcohol and the use of illegal controlled substances. The commission may spend an amount no greater than 5% of the total deposits to the fund during any fiscal year for administrative purposes.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	300	300	300
Revenue	<u>300</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	300	300	300	300

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**COMMISSION FOR POSTSECONDARY EDUCATION (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>0</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 <b>BALANCE FORWARD</b>	 300	 300	 300	 300

**ARIZONA TEACHERS INCENTIVE PROGRAM (ATIP) (PEA2249)**

**A.R.S. § 15-1851**

**Source of Revenue:** Monies collected by the Arizona Board of Regents from students who do not meet the conditions for discharge of their loans.

**Purpose of Fund:** To provide loans to encourage students in the 3 universities under the jurisdiction of the board to prepare for teaching positions and seek employment in school districts in this state; and to forgive students' teacher loan indebtedness to the State of Arizona if the recipient of the monies completes a specified period of time teaching in designated school districts which are identified as having high proportions of economically disadvantaged and at risk pupils.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	1,400	1,400	0
Revenue	<u>11,400</u>	<u>10,000</u>	<u>5,000</u>	<u>5,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>11,400</u>	<u>11,400</u>	<u>6,400</u>	<u>5,000</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>0</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 <b>TRANSFER TO POSTSECONDARY FUND</b>	 10,000	 10,000	 6,400	 5,000
<b>BALANCE FORWARD</b>	1,400	1,400	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA POWER AUTHORITY**

**Agency Summary**

**ANALYST:** Chris Earnest

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	27,787,000	20,141,400	19,239,800	18,338,200
Revenue	22,495,600	21,314,500	21,314,500	21,314,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>50,282,600</u>	<u>41,455,900</u>	<u>40,554,300</u>	<u>39,652,700</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	8.0	8.0	8.0	8.0
Operating Subtotal	356,000	358,200	358,200	358,200
Special Line Items Total	29,785,200	21,857,900	21,857,900	21,857,900
<b>TOTAL FUNDS EXPENDED</b>	<u>30,141,200</u>	<u>22,216,100</u>	<u>22,216,100</u>	<u>22,216,100</u>
 <b>BALANCE FORWARD</b>	 20,141,400	 19,239,800	 18,338,200	 17,436,600

**ARIZONA POWER AUTHORITY (No Fund Number)**

**A.R.S. § 30-202**

**Source of Revenue:** The sale of power to Arizona utility companies including Arizona Public Service and Salt River Project. The fund also receives interest from investments.

**Purpose of Fund:** To purchase hydroelectric power generated by Hoover Dam from the U.S. Department of Energy.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	4,932,900	4,930,700	4,739,700	4,548,700
Revenue	3,580,200	2,314,500	2,314,500	2,314,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>8,513,100</u>	<u>7,245,200</u>	<u>7,054,200</u>	<u>6,863,200</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	8.0	8.0	8.0	8.0
Operating Subtotal	356,000	358,200	358,200	358,200
Purchased Power	3,226,400	2,147,300	2,147,300	2,147,300
<b>TOTAL FUNDS EXPENDED</b>	<u>3,582,400</u>	<u>2,505,500</u>	<u>2,505,500</u>	<u>2,505,500</u>
 <b>BALANCE FORWARD</b>	 4,930,700	 4,739,700	 4,548,700	 4,357,700

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA POWER AUTHORITY (Continued)**

<b>HOOVER UPRATING (No Fund Number)</b>	<b>A.R.S. § 30-202</b>
<b>Source of Revenue:</b> The issuing of bonds.	
<b>Purpose of Fund:</b> To uprate generators at the Hoover Dam pursuant to the Hoover Power Plan Act of 1984, authorized by the U.S. Government. The fund is also used to buy and sell power from sources in Arizona. It also pays debt service on the bond issue.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	22,854,100	15,210,700	14,500,100	13,789,500
Revenue	<u>18,915,400</u>	<u>19,000,000</u>	<u>19,000,000</u>	<u>19,000,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>41,769,500</u>	<u>34,210,700</u>	<u>33,500,100</u>	<u>32,789,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Bond Defeasements	<u>7,862,500</u>	<u>1,400,000</u>	<u>1,400,000</u>	<u>1,400,000</u>
Purchased Power/Other	<u>18,696,300</u>	<u>18,310,600</u>	<u>18,310,600</u>	<u>18,310,600</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>26,558,800</u>	<u>19,710,600</u>	<u>19,710,600</u>	<u>19,710,600</u>
 <b>BALANCE FORWARD</b>	 15,210,700	 14,500,100	 13,789,500	 13,078,900

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE BOARD FOR PRIVATE POSTSECONDARY EDUCATION**

**ANALYST: Bruce Groll**

**STUDENT TUITION RECOVERY FUND (PVA3027)**

**A.R.S. § 32-3071**

**Source of Revenue:** Assessments on prepaid tuition paid by newly enrolled students at private postsecondary institutions. Per statute, if the fiscal year-end fund balance exceeds \$300,000, only newly or provisionally licensed institutions pay this assessment.

**Purpose of Fund:** To compensate persons suffering damages as the result of a private postsecondary institution ceasing operations.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	7,600	124,600	238,800	353,800
Revenue	<u>181,500</u>	<u>182,200</u>	<u>183,000</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>189,100</b>	<b>306,800</b>	<b>421,800</b>	<b>353,800</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>2,400</u>	<u>3,000</u>	<u>3,000</u>	<u>3,000</u>
Refunds to Students	<u>46,500</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>48,900</b>	<b>53,000</b>	<b>53,000</b>	<b>53,000</b>
<b>TRANSFER TO PRIVATE POSTSECONDARY EDUCATION FUND</b>				
	15,600	15,000	15,000	15,000
<b>BALANCE FORWARD</b>	<b>124,600</b>	<b>238,800</b>	<b>353,800</b>	<b>285,800</b>

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF PUBLIC SAFETY

Agency Summary

ANALYST: Jim Hillyard

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	3,119,900	3,315,700	2,527,000	2,308,600
Revenue	23,054,500	24,785,300	20,342,700	20,935,700
<b>TOTAL FUNDS AVAILABLE</b>	<u>26,174,400</u>	<u>28,101,000</u>	<u>22,869,700</u>	<u>23,244,300</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	166.8	160.3	117.5	116.5
Operating Subtotal	16,633,600	18,348,000	14,183,500	14,192,000
Special Line Items Total	4,599,600	6,153,100	5,319,600	5,248,500
<b>TOTAL FUNDS EXPENDED</b>	<u>21,233,200</u>	<u>24,501,100</u>	<u>19,503,100</u>	<u>19,440,500</u>
APPROPRIATIONS	964,000	987,800	1,058,000	1,243,400
TRANSFERS	661,500	85,100	0	0
<b>BALANCE FORWARD</b>	<u>3,315,700</u>	<u>2,527,000</u>	<u>2,308,600</u>	<u>2,560,400</u>

### ANTI-DRIVING UNDER THE INFLUENCE (PSA2353)

A.R.S. § 28-697.02

**Source of Revenue:** Money received from the sale of vehicles forfeited under A.R.S. § 28-697, as a result of a DUI conviction. This fund was eliminated by Laws 1996, Chapter 220 and the remaining balances were transferred to the General Fund.

**Purpose of Fund:** Fifty percent to be used for enforcement and prosecution of any offense under A.R.S. § 28-692 and A.R.S. § 28-697; 50% to be used for education and treatment programs on driving under the influence of alcohol or other drugs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	10,100	20,500	0	0
Revenue	10,400	0	0	0
<b>TOTAL FUNDS AVAILABLE</b>	<u>20,500</u>	<u>20,500</u>	<u>0</u>	<u>0</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TRANSFER TO GENERAL FUND	0	20,500	0	0
<b>BALANCE FORWARD</b>	<u>20,500</u>	<u>0</u>	<u>0</u>	<u>0</u>



# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF PUBLIC SAFETY (Continued)

### ANTI-RACKETEERING (PSA3123)

A.R.S. § 13-2314

**Source of Revenue:** Any monies obtained as a result of a Department of Public Safety seizure and a forfeiture by the Attorney General are deposited into this fund. The forfeitures are made under the Racketeering Influenced and Corrupt Organization (RICO) laws.

**Purpose of Fund:** Funds can be used for the investigation and prosecution of any offense relating to racketeering. These funds may also be used for gang prevention programs, substance abuse prevention programs and substance abuse education programs.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	98,400	56,700	56,000	66,300
Revenue	<u>1,990,300</u>	<u>1,592,500</u>	<u>1,274,000</u>	<u>1,019,200</u>
TOTAL FUNDS AVAILABLE	2,088,700	1,649,200	1,330,000	1,085,500
FUNDS EXPENDED				
Full Time Equivalent Positions	<u>12.5</u>	<u>11.0</u>	<u>8.5</u>	<u>7.5</u>
Operating Subtotal	<u>1,860,800</u>	<u>1,422,000</u>	<u>1,092,500</u>	<u>832,700</u>
Substance Abuse and Gang Prevention	<u>171,200</u>	<u>171,200</u>	<u>171,200</u>	<u>171,200</u>
TOTAL FUNDS EXPENDED	2,032,000	1,593,200	1,263,700	1,003,900
BALANCE FORWARD	56,700	56,000	66,300	81,600

### CRIMINAL JUSTICE ENHANCEMENT FUND PASS THROUGH (PSA3702)

A.R.S. § 41-2401

This fund is recommended for complete transfer to appropriated status.

**Source of Revenue:** Beginning February 28, 1997, this fund receives 7.26% of the Criminal Justice Enhancement Fund (CJEF). Beginning August 31, 1996, the CJEF is composed of a 47% penalty on fines and forfeitures imposed by the courts for criminal and civil motor vehicle statute violations.

**Purpose of Fund:** Allocated to state and local law enforcement agencies for: a) enhancement projects designed to prevent residential and commercial burglaries, control street crime, and to locate missing children; and b) to provide support for the fingerprint identification system. CJEF funds retained by the DPS for operating expenditures are appropriated.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	0	576,400	576,400	576,400
Revenue	<u>2,131,000</u>	<u>1,749,800</u>	<u>1,828,100</u>	<u>1,942,400</u>
TOTAL FUNDS AVAILABLE	2,131,000	2,326,200	2,404,500	2,518,800

# **Fiscal Year 1998 Non-Appropriated Funds**

## **DEPARTMENT OF PUBLIC SAFETY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Pass Through to Local Agencies	590,600	762,000	770,100	699,000
TOTAL FUNDS EXPENDED	<u>590,600</u>	<u>762,000</u>	<u>770,100</u>	<u>699,000</u>
APPROPRIATIONS	964,000	987,800	1,058,000	1,243,400
BALANCE FORWARD	576,400	576,400	576,400	576,400

### **DONATIONS (PSA3123)**

**A.R.S. § 41-1833**

**This fund is recommended for elimination.**

**Source of Revenue:** Private gifts, grants, contributions and bequests.

**Purpose of Fund:** For general uses consistent with the terms of the specific donations.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	5,600	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS AVAILABLE	5,600	0	0	0
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal				
TOTAL FUNDS EXPENDED	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TRANSFER TO DPS				
ADMINISTRATION FUND	5,600	0	0	0
BALANCE FORWARD	0	0	0	0

### **DPS ADMINISTRATION FUND (PSA2322)**

**A.R.S. § 41-1713**

**Source of Revenue:** State and local grants.

**Purpose of Fund:** Collection of accounts for administering state and local grants such as Emergency Medical Services Communications (EMSCOM), Arizona Criminal Justice Commission (ACJC), Forensics, Fines Management and DPS Criminal Justice Enhancement Fund (CJEF) project, as well as for operational costs for the Criminal Justice Information System.

# Fiscal Year 1998 Non-Appropriated Funds

## DEPARTMENT OF PUBLIC SAFETY (Continued)

### DPS ADMINISTRATION FUND (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	828,100	162,800	125,700	113,800
Revenue	<u>1,522,200</u>	<u>1,781,200</u>	<u>433,000</u>	<u>433,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,350,300</u>	<u>1,944,000</u>	<u>558,700</u>	<u>546,800</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>20.3</u>	<u>20.3</u>	<u>5.0</u>	<u>5.0</u>
Operating Subtotal	<u>1,673,300</u>	<u>1,767,200</u>	<u>444,900</u>	<u>434,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>1,673,300</u>	<u>1,767,200</u>	<u>444,900</u>	<u>434,000</u>
<b>TRANSFERS TO DNA AND CJEF</b>				
PASS THROUGH FUNDS	514,200	51,100	0	0
<b>BALANCE FORWARD</b>	162,800	125,700	113,800	112,800

### FEDERAL BLOCK GRANTS-PASS THROUGH (PSA2000)

A.R.S. § 41-1833

**Source of Revenue:** Federal block grant.

**Purpose of Fund:** Department of Justice-Victims of Crime Act (VOCA) funds are passed through to private nonprofit and governmental agencies to provide services to Arizona victims of crime. The Department of Public Safety only serves as a pass-through agency and is not a recipient of these funds.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	(14,400)	0	0	0
Revenue	<u>1,272,300</u>	<u>3,015,200</u>	<u>2,574,000</u>	<u>2,574,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,257,900</u>	<u>3,015,200</u>	<u>2,574,000</u>	<u>2,574,000</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
Operating Subtotal	<u>4,900</u>	<u>44,800</u>	<u>57,000</u>	<u>57,000</u>
Pass Through to Local Agencies	1,253,000	2,970,400	2,517,000	2,517,000
<b>TOTAL FUNDS EXPENDED</b>	<u>1,257,900</u>	<u>3,015,200</u>	<u>2,574,000</u>	<u>2,574,000</u>
<b>BALANCE FORWARD</b>	0	0	0	0

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF PUBLIC SAFETY (Continued)**

**FEDERAL GRANTS - GOVERNOR'S OFFICE OF COMMUNITY AND HIGHWAY SAFETY (PSA2000) A.R.S. § 41-1832**

**Source of Revenue:** Federal grants from Federal Highway Administration (FHWA).

**Purpose of Fund:** Administer and provide federal funds to state and local jurisdictions for the promotion of highway safety and related issues throughout the State of Arizona.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	437,800	576,800	605,900	635,300
Revenue	<u>3,347,200</u>	<u>2,923,400</u>	<u>2,514,500</u>	<u>2,514,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>3,785,000</u>	<u>3,500,200</u>	<u>3,120,400</u>	<u>3,149,800</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>8.0</u>	<u>8.0</u>	<u>8.0</u>	<u>8.0</u>
Operating Subtotal	<u>702,600</u>	<u>704,100</u>	<u>658,800</u>	<u>658,800</u>
Pass Through to Local Agencies	<u>2,503,800</u>	<u>2,190,200</u>	<u>1,826,300</u>	<u>1,826,300</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>3,206,400</u>	<u>2,894,300</u>	<u>2,485,100</u>	<u>2,485,100</u>
 <b>TRANSFERS</b>	1,800	0	0	0
<b>BALANCE FORWARD</b>	576,800	605,900	635,300	664,700

**FEDERAL GRANTS AND REIMBURSEMENTS (PSA2000)**

**A.R.S. § 41-1833**

**Source of Revenue:** Federal grants for various activities to include: High Intensity Drug Trafficking Area (HDTA) program; drug control and system improvements; organized crime financial investigations; Rocky Mountain Information Network (RMIN); Project DARE (Drug Abuse Resistance Education) and many other functions.

**Purpose of Fund:** To provide public safety services consistent with the terms of specific grants.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	355,100	27,300	1,100	13,500
Revenue	<u>5,624,000</u>	<u>6,147,000</u>	<u>3,446,300</u>	<u>3,446,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>5,979,100</u>	<u>6,174,300</u>	<u>3,447,400</u>	<u>3,459,800</u>

## Fiscal Year 1998 Non-Appropriated Funds

### DEPARTMENT OF PUBLIC SAFETY (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	86.0	84.0	59.0	59.0
Operating Subtotal	5,778,200	6,113,900	3,398,900	3,398,900
Pass Through to Local Agencies	81,000	59,300	35,000	35,000
<b>TOTAL FUNDS EXPENDED</b>	5,859,200	6,173,200	3,433,900	3,433,900
 <b>TRANSFERS</b>	 92,600	 0	 0	 0
<b>BALANCE FORWARD</b>	27,300	1,100	13,500	25,900

<b>FINGERPRINT (PSA2159)</b>	<b>A.R.S. § 41-1750</b>
<b>This fund is recommended for consolidation in the Records Processing Fund.</b>	
<b>Source of Revenue:</b> Fees collected from state regulatory agencies and political subdivisions for fingerprint processing by the Department of Public Safety.	
<b>Purpose of Fund:</b> To provide a separate accounting for the collection and payment of fees for fingerprint processing. Charges by the federal government for fingerprint processing are paid from this fund. Monies in the fund may also be used for administrative costs. Any excess monies may be used by the department for administrative costs.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	436,600	303,700	217,100	173,400
Revenue	2,874,200	3,391,500	3,900,200	4,368,200
<b>TOTAL FUNDS AVAILABLE</b>	3,310,800	3,695,200	4,117,300	4,541,600
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	5.0	7.0	7.0	7.0
Operating Subtotal	2,965,900	3,474,100	3,943,900	4,138,500
 <b>TOTAL FUNDS EXPENDED</b>	2,965,900	3,474,100	3,943,900	4,138,500
 <b>TRANSFERS TO GENERAL FUND</b>	 41,200	 4,000	 0	 0
<b>BALANCE FORWARD</b>	303,700	217,100	173,400	403,100

<b>MOTOR CARRIER SAFETY RECEIVING FUND (PSA2380)</b>	<b>A.R.S. § 28-2415</b>
<b>Source of Revenue:</b> Monies appropriated by the Legislature, designated private grants and donations, and funds remaining in the Cargo Tank Administration Revolving Fund.	
<b>Purpose of Fund:</b> Enforce the Motor Carrier Safety provisions of the Motor Carrier Safety Act and any rules adopted by the Motor Vehicle Division.	

# **Fiscal Year 1998 Non-Appropriated Funds**

## **DEPARTMENT OF PUBLIC SAFETY (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	400	4,600	0	0
Revenue	<u>6,700</u>	<u>13,000</u>	<u>7,500</u>	<u>7,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>7,100</b>	<b>17,600</b>	<b>7,500</b>	<b>7,500</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>2,500</u>	<u>17,600</u>	<u>7,500</u>	<u>7,500</u>
 <b>TOTAL FUNDS EXPENDED</b>	<b>2,500</b>	<b>17,600</b>	<b>7,500</b>	<b>7,500</b>
 <b>BALANCE FORWARD</b>	<b>4,600</b>	<b>0</b>	<b>0</b>	<b>0</b>

### **PEACE OFFICERS TRAINING FUND (PSA2049)**

**A.R.S. § 41-1825**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** Beginning February 28, 1997, this fund receives 16.64% of the Criminal Justice Enhancement Fund (CJEF). Beginning August 31, 1996, the CJEF receives revenue from a 47% surcharge on a wide variety of criminal and traffic offenses.

**Purpose of Fund:** For training costs, including the operation of the Arizona Law Enforcement Officers Academy, grants to state agencies, cities and towns, and counties for training law enforcement officers and the operation of the Arizona Law Enforcement Officers Advisory Council.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	956,100	1,577,400	944,800	729,900
Revenue	<u>4,115,200</u>	<u>4,000,200</u>	<u>4,190,100</u>	<u>4,452,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>5,071,300</b>	<b>5,577,600</b>	<b>5,134,900</b>	<b>5,181,900</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>33.0</u>	<u>26.0</u>	<u>26.0</u>	<u>26.0</u>
Operating Subtotal	<u>3,493,900</u>	<u>4,632,800</u>	<u>4,405,000</u>	<u>4,486,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<b>3,493,900</b>	<b>4,632,800</b>	<b>4,405,000</b>	<b>4,486,000</b>
 <b>BALANCE FORWARD</b>	<b>1,577,400</b>	<b>944,800</b>	<b>729,900</b>	<b>695,900</b>

**Fiscal Year 1998 Non-Appropriated Funds**

**DEPARTMENT OF PUBLIC SAFETY (Continued)**

**RECORDS PROCESSING (PSA2278)**

**A.R.S. § 41-1750**

**Source of Revenue:** Fees charged to other agencies and local political subdivisions for costs of processing criminal records information requests.

**Purpose of Fund:** Revenues provide an offset for additional records processing costs due to outside requests.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	6,100	9,500	0	0
Revenue	<u>161,000</u>	<u>171,500</u>	<u>175,000</u>	<u>178,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>167,100</u>	<u>181,000</u>	<u>175,000</u>	<u>178,600</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>
Operating Subtotal	<u>151,500</u>	<u>171,500</u>	<u>175,000</u>	<u>178,600</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>151,500</u>	<u>171,500</u>	<u>175,000</u>	<u>178,600</u>
 <b>TRANSFERS</b>	6,100	9,500	0	0
<b>BALANCE FORWARD</b>	9,500	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM**

**Agency Summary**

**ANALYST: Jeffrey Schmied**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	550,800	1,126,800
Revenue	1,239,000	2,030,900	2,140,000	2,220,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,239,000</u>	<u>2,030,900</u>	<u>2,690,800</u>	<u>3,346,800</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	13.0	14.0	14.0	15.0
Operating Subtotal	722,900	899,600	954,100	988,100
Special Line Items Total	516,100	580,500	609,900	628,900
<b>TOTAL FUNDS EXPENDED</b>	<u>1,239,000</u>	<u>1,480,100</u>	<u>1,564,000</u>	<u>1,617,000</u>
 <b>BALANCE FORWARD</b>	 0	 550,800	 1,126,800	 1,729,800

**ADMINISTRATIVE EXPENSES (No Fund Number)**

**A.R.S. § 38-843; 38-802; 38-882**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** Public Safety Personnel Retirement Fund; Elected Officials' Retirement Fund; Correctional Officer Retirement Fund.

**Purpose of Fund:** A 5-member governing board called the Fund Manager administers the Public Safety Personnel Retirement System (PSPRS), the Elected Officials' Retirement Plan (EORP), and the Correctional Officer Retirement Plan (CORP). Authority is granted in statute for the Fund Manager to spend monies from each fund to pay for necessary administration and investment expenses.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Transfer In from Retirement Funds	1,239,000	1,418,900	1,500,000	1,550,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,239,000</u>	<u>1,418,900</u>	<u>1,500,000</u>	<u>1,550,000</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	13.0	14.0	14.0	15.0
Operating Subtotal	722,900	838,400	890,100	921,100
Audit/Actuarial Expenses - PSPRS	78,800	87,300	87,800	88,800
Audit/Actuarial Expenses - EORP	15,400	16,800	17,900	18,900
Audit/Actuarial Expenses - CORP	24,600	22,400	24,200	25,200
Investment Management	397,300	454,000	480,000	496,000
<b>TOTAL FUNDS EXPENDED</b>	<u>1,239,000</u>	<u>1,418,900</u>	<u>1,500,000</u>	<u>1,550,000</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0	 0



# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM (Continued)**

<b>FIRE FIGHTER CANCER INSURANCE POLICY PROGRAM ACCOUNT (No Fund Number)</b>	<b>A.R.S. § 38-643</b>
<b>Source of Revenue:</b> Consists of employer contributions of \$180 per fire fighter participating in the PSPRS as of June 30 of each year.	
<b>Purpose of Fund:</b> The account allows the Fund Manager to obtain a group cancer insurance policy to provide coverage to fire fighters for expenses incurred in the treatment of cancer that was first diagnosed after membership in the PSPRS. The Fund Manager may use up to 10% of the monies deposited in the account for administrative expenses.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	550,800	1,126,800
Revenue	0	612,000	640,000	670,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>0</u>	<u>612,000</u>	<u>1,190,800</u>	<u>1,796,800</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>0</u>	<u>61,200</u>	<u>64,000</u>	<u>67,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>61,200</u>	<u>64,000</u>	<u>67,000</u>
<b>BALANCE FORWARD</b>	0	550,800	1,126,800	1,729,800

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF RACING**

**Agency Summary**

**ANALYST: Jim Rounds**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	809,400	262,300	391,600	324,900
Revenue	<u>1,146,500</u>	<u>1,432,100</u>	<u>1,688,100</u>	<u>1,689,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>1,955,900</b>	<b>1,694,400</b>	<b>2,079,700</b>	<b>2,014,400</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>
Operating Subtotal	49,500	49,800	51,300	51,300
Special Line Items Total	1,644,100	1,253,000	1,703,500	1,685,000
<b>TOTAL FUNDS EXPENDED</b>	<b>1,693,600</b>	<b>1,302,800</b>	<b>1,754,800</b>	<b>1,736,300</b>
 <b>BALANCE FORWARD</b>	 262,300	 391,600	 324,900	 278,100

**ADMINISTRATION OF COUNTY FAIRS RACING BETTERMENT & BREEDERS AWARD (RCA2018) A.R.S. § 5-113**  
**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** One percent of revenue derived from pari-mutuel receipts, license fees, and unclaimed property (or a maximum of \$45,000).

**Purpose of Fund:** For administration of the Arizona County Fairs Racing Betterment Fund, the Arizona Breeders' Award Fund, the Arizona Stallion Award Fund, and the Greyhound Adoption Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	42,600	34,800	25,000	21,800
Revenue	<u>41,700</u>	<u>40,000</u>	<u>48,100</u>	<u>48,100</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>84,300</b>	<b>74,800</b>	<b>73,100</b>	<b>69,900</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>
Operating Subtotal	49,500	49,800	51,300	51,300
<b>TOTAL FUNDS EXPENDED</b>	<b>49,500</b>	<b>49,800</b>	<b>51,300</b>	<b>51,300</b>
 <b>BALANCE FORWARD</b>	 34,800	 25,000	 21,800	 18,600

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF RACING (Continued)**

**ARIZONA BREEDERS AWARD (RCA2206)**

**A.R.S. § 5-113**

**Source of Revenue:** Twenty-two percent of revenue derived from pari-mutuel receipts, license fees, and unclaimed property (or a maximum of \$800,000).

**Purpose of Fund:** Monies are distributed by the department to the breeder of every winning horse or greyhound foaled or whelped in this state.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	502,500	27,700	0	0
Revenue	416,300	679,100	800,000	800,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>918,800</u>	<u>706,800</u>	<u>800,000</u>	<u>800,000</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Breeders Award	891,100	706,800	800,000	800,000
<b>TOTAL FUNDS EXPENDED</b>	<u>891,100</u>	<u>706,800</u>	<u>800,000</u>	<u>800,000</u>
 <b>BALANCE FORWARD</b>	 27,700	 0	 0	 0

**COUNTY FAIRS RACING BETTERMENT (RCA2207)**

**A.R.S. § 5-113**

**Source of Revenue:** Twenty-two percent of revenue derived from pari-mutuel receipts, license fees, and unclaimed property (or a maximum of \$800,000).

**Purpose of Fund:** Monies are distributed by the department to the eligible county fair association or county fair racing association of each county conducting a county fair racing meeting.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	122,600	24,300	158,400	158,400
Revenue	654,700	679,100	800,000	800,000
<b>TOTAL FUNDS AVAILABLE</b>	<u>777,300</u>	<u>703,400</u>	<u>958,400</u>	<u>958,400</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF RACING (Continued)**

**FUNDS EXPENDED**

<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Purses and Betterment of County Fair Racing	753,000	545,000	800,000	800,000
<b>TOTAL FUNDS EXPENDED</b>	<u>753,000</u>	<u>545,000</u>	<u>800,000</u>	<u>800,000</u>
 BALANCE FORWARD	 24,300	 158,400	 158,400	 158,400

**GREYHOUND ADOPTION PROGRAM (RCA2015)**

**A.R.S. § 5-104**

**Source of Revenue:** Twenty-five percent of fees collected from licensing kennels, farms, and other operations where greyhounds are raised for dog racing.

**Purpose of Fund:** To provide grants to non-profit organizations to promote the adoption of retired racing greyhounds as domestic pets.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	1,200	100	100
Revenue	<u>1,200</u>	<u>100</u>	<u>0</u>	<u>1,400</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,200</u>	<u>1,300</u>	<u>100</u>	<u>1,500</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Adoption Grants	0	1,200	0	1,400
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>1,200</u>	<u>0</u>	<u>1,400</u>
 BALANCE FORWARD	 1,200	 100	 100	 100

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA DEPARTMENT OF RACING (Continued)**

**STALLION AWARD (RCA2315)**

**A.R.S. § 5-113**

**Source of Revenue:** One percent of revenue derived from pari-mutuel receipts, license fees, and unclaimed property (or a maximum of \$40,000).

**Purpose of Fund:** Monies will be distributed by the department to owners or lessees of stallions that bred winning horses in this state.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	141,700	174,300	208,100	144,600
Revenue	<u>32,600</u>	<u>33,800</u>	<u>40,000</u>	<u>40,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>174,300</u>	<u>208,100</u>	<u>248,100</u>	<u>184,600</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Stallion Awards	0	0	103,500	83,600
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>103,500</u>	<u>83,600</u>
 <b>BALANCE FORWARD</b>	 174,300	 208,100	 144,600	 101,000

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**RADIATION REGULATORY AGENCY**

**ANALYST:** Lisa Cotter

**FEDERAL GRANTS (AEA2000)**

**A.R.S. § 30-654**

**Source of Revenue:** Federal grants and miscellaneous revenue.

**Purpose of Fund:** To track radiation levels, assess and reduce radon levels, and monitor x-ray facilities in the state.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	<u>124,600</u>	<u>124,600</u>	<u>124,600</u>	<u>124,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	124,600	124,600	124,600	124,600
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>
Operating Subtotal	<u>124,600</u>	<u>124,600</u>	<u>124,600</u>	<u>124,600</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>124,600</u>	<u>124,600</u>	<u>124,600</u>	<u>124,600</u>
  <b>BALANCE FORWARD</b>	0	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**STATE REAL ESTATE DEPARTMENT**

**Agency Summary**

**ANALYST: Brad Regens**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,519,400	1,507,400	1,471,500	1,410,000
Revenue	<u>208,200</u>	<u>208,300</u>	<u>188,700</u>	<u>231,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,727,600</u>	<u>1,715,700</u>	<u>1,660,200</u>	<u>1,641,300</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>	<u>1.0</u>
Operating Subtotal	<u>220,200</u>	<u>244,200</u>	<u>250,200</u>	<u>296,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>220,200</u>	<u>244,200</u>	<u>250,200</u>	<u>296,000</u>
 <b>BALANCE FORWARD</b>	1,507,400	1,471,500	1,410,000	1,345,300

**EDUCATION REVOLVING (REA4011)**

**A.R.S. § 32-2107.D**

**Source of Revenue:** Sale of department educational publications.

**Purpose of Fund:** To cover the department's costs of printing real estate regulation books and other public information publications and to cover the department's costs for other educational efforts. All monies in excess of \$25,000 at the end of the fiscal year shall revert to the General Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	13,900	16,300	24,500	25,000
Revenue	<u>43,800</u>	<u>54,500</u>	<u>28,500</u>	<u>64,500</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>57,700</u>	<u>70,800</u>	<u>53,000</u>	<u>89,500</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>41,400</u>	<u>46,300</u>	<u>28,000</u>	<u>64,900</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>41,400</u>	<u>46,300</u>	<u>28,000</u>	<u>64,900</u>
 <b>BALANCE FORWARD</b>	16,300	24,500	25,000	24,600

# Fiscal Year 1998 and 1999 Non-Appropriated Funds

## STATE REAL ESTATE DEPARTMENT (Continued)

### RECOVERY (REA3119)

A.R.S. § 32-2186

**Source of Revenue:** A fee of \$20 for brokers and \$10 for salespersons, paid upon application for an original real estate or cemetery license. A surcharge on license renewals is assessed if the fund balance on June 30 of any year falls below \$600,000. The fund also consists of restitution paid by persons convicted of real estate fraud and ordered to repay a judgement awarded out of the Recovery Fund.

**Purpose of Fund:** Persons who have been defrauded in a real estate transaction and have subsequently suffered monetary losses may seek a court order for an award from the Recovery Fund if the person who committed the fraud has no assets. Monies also support the department's costs in administering the fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,505,500	1,491,100	1,447,000	1,385,000
Revenue	164,400	153,800	160,200	166,800
<b>TOTAL FUNDS AVAILABLE</b>	<u>1,669,900</u>	<u>1,644,900</u>	<u>1,607,200</u>	<u>1,551,800</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	1.0	1.0	1.0	1.0
Operating Subtotal	178,800	197,900	222,200	231,100
<b>TOTAL FUNDS EXPENDED</b>	<u>178,800</u>	<u>197,900</u>	<u>222,200</u>	<u>231,100</u>
 <b>BALANCE FORWARD</b>	 1,491,100	 1,447,000	 1,385,000	 1,320,700



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA STATE RETIREMENT SYSTEM**

**ANALYST:** Jeffrey Schmied

**ADMINISTRATION ACCOUNT - INVESTMENT EXPENSES (RSA1401)**

**A.R.S. § 38-721**

**Source of Revenue:** Transfer from the State Retirement Fund.

**Purpose of Fund:** The Retirement Fund's Administration account finances the agency's various administrative expenses, including investment management fees and related consulting fees deemed necessary by the Investment Advisory Council. Funding is subject to Retirement Board approval.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	14,534,900	15,232,400	15,586,500	15,586,500
<b>TOTAL FUNDS AVAILABLE</b>	<u>14,534,900</u>	<u>15,232,400</u>	<u>15,586,500</u>	<u>15,586,500</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	13,966,800	14,641,600	14,981,900	14,981,900
Internal Investment Consulting	19,500	20,300	20,800	20,800
Custodial Bank Fees	548,600	570,500	583,800	583,800
<b>TOTAL FUNDS EXPENDED</b>	<u>14,534,900</u>	<u>15,232,400</u>	<u>15,586,500</u>	<u>15,586,500</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0	 0

# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **DEPARTMENT OF REVENUE**

**Agency Summary**

**ANALYST: Bob Hull**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	2,099,400	4,740,000	3,315,600	2,251,500
Revenue	<u>23,980,900</u>	<u>16,276,900</u>	<u>19,944,400</u>	<u>18,514,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>26,080,300</u>	<u>21,016,900</u>	<u>23,260,000</u>	<u>20,765,800</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>4.0</u>	<u>4.0</u>	<u>4.0</u>	<u>4.0</u>
Operating Subtotal	302,600	694,600	383,300	394,700
Special Line Items Total	4,635,300	4,789,200	2,394,600	0
 <b>TOTAL FUNDS EXPENDED</b>	<u>4,937,900</u>	<u>5,483,800</u>	<u>2,777,900</u>	<u>394,700</u>
APPROPRIATIONS	788,500	1,067,600	1,032,300	1,033,000
TRANSFERS	15,613,900	11,149,900	17,198,300	17,503,900
BALANCE FORWARD	4,740,000	3,315,600	2,251,500	1,834,200

## **DOR ESTATE AND UNCLAIMED PROPERTY <sup>U</sup> (RVA1520)**

**A.R.S. § 44-323**

**Source of Revenue:** The fund consists of monies from the sale of abandoned property.

**Purpose of Fund:** The fund provides monies to cover the department's costs of handling, publicizing and selling abandoned property. The department retains not less than \$100,000 to pay allowed claims. Monies associated with unclaimed utility deposits are transferred to the Utility Assistance Fund. Monies from unclaimed shares and dividends of Arizona corporations are transferred to the Permanent State School Fund. The remaining monies are transferred to the following funds in the following percentages beginning with FY 1998: Housing Trust Fund (55%), State General Fund (25%), and Racing Fund (20%). Prior to FY 1998, the remaining monies are transferred to Housing Trust Fund (35%) and State General Fund (65%).

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	1,014,500	3,628,700	2,561,300	1,527,500
Revenue	<u>17,781,600</u>	<u>9,909,500</u>	<u>16,043,000</u>	<u>17,069,800</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>18,796,100</u>	<u>13,538,200</u>	<u>18,604,300</u>	<u>18,597,300</u>

# Fiscal Year 1998 and 1999 Non-Appropriated Funds

## DEPARTMENT OF REVENUE (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL FUNDS EXPENDED	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
APPROPRIATIONS	788,500	1,067,600	1,032,300	1,033,000
TRANSFERS TO:				
GENERAL FUND	7,785,000	5,997,500	3,841,100	3,462,900
HOUSING TRUST FUND	6,024,100	3,229,500	8,447,100	9,010,500
UTILITY ASSISTANCE FUND	514,400	625,000	625,000	625,000
RACING FUND	0	0	3,071,700	3,276,600
PERMANENT SCHOOL FUND	55,400	57,300	59,600	62,000
BALANCE FORWARD	3,628,700	2,561,300	1,527,500	1,127,300

1/ Notwithstanding the title of this fund, it no longer includes the proceeds of escheated estates. Those funds are deposited to the Escheated Estates Fund, RVA3745.

### ESCHEATED ESTATES (RVA3745)

A.R.S. § 12-885

**Source of Revenue:** The fund consists of monies from the sale of escheated estates.

**Purpose of Fund:** Proceeds from the sale of escheated property are deposited and held in the fund for 12 months, from which payment of claims may be made, before being transferred to the Permanent State School Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	691,600	662,500	633,400	604,300
Revenue	<u>306,600</u>	<u>306,600 <sup>1/</sup></u>	<u>306,600 <sup>1/</sup></u>	<u>306,600 <sup>1/</sup></u>
TOTAL FUNDS AVAILABLE	<u>998,200</u>	<u>969,100</u>	<u>940,000</u>	<u>910,900</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
TOTAL FUNDS EXPENDED	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TRANSFERS	335,700	335,700	335,700	335,700
BALANCE FORWARD	662,500	633,400	604,300	575,200

1/ FY 1996 Actual is used for display purposes, since the department declined to estimate receipts due to wide fluctuations.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF REVENUE (Continued)**

**LIABILITY SETOFF REVOLVING (RVA2179)**

**A.R.S. § 42-133**

**This fund is recommended for transfer to appropriated status.**

**Source of Revenue:** Fees collected from agencies or taxpayers utilizing the setoff procedure. The Department of Revenue withholds taxpayer refunds to satisfy debts owed by the taxpayers to certain state agencies, such as delinquent child support payments owed to the Department of Economic Security.

**Purpose of Fund:** To cover the Department of Revenue's costs of administering the Liability Setoff program.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	337,800	381,900	56,200	57,600
Revenue	<u>253,400</u>	<u>266,000</u>	<u>271,400</u>	<u>279,400</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>591,200</u>	<u>647,900</u>	<u>327,600</u>	<u>337,000</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>4.0</u>	<u>4.0</u>	<u>4.0</u>	<u>4.0</u>
Operating Subtotal	<u>209,300</u>	<u>591,700</u>	<u>270,000</u>	<u>270,300</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>209,300</u>	<u>591,700</u>	<u>270,000</u>	<u>270,300</u>
 <b>BALANCE FORWARD</b>	381,900	56,200	57,600	66,700

**REVENUE PUBLICATIONS REVOLVING (RVA2166)**

**A.R.S. § 42-104**

**Source of Revenue:** Receipts from the sale of department tax-related publications.

**Purpose of Fund:** To offset costs of publishing and distributing tax-related publications.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	55,500	66,900	64,700	62,100
Revenue	<u>104,700</u>	<u>100,700</u>	<u>110,700</u>	<u>127,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>160,200</u>	<u>167,600</u>	<u>175,400</u>	<u>189,400</u>

## Fiscal Year 1998 and 1999 Non-Appropriated Funds

### DEPARTMENT OF REVENUE (Continued)

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>93,300</u>	<u>102,900</u>	<u>113,300</u>	<u>124,400</u>
 TOTAL FUNDS EXPENDED	 <u>93,300</u>	 <u>102,900</u>	 <u>113,300</u>	 <u>124,400</u>
 BALANCE FORWARD	 66,900	 64,700	 62,100	 65,000

#### SPECIAL COLLECTIONS (RVA2168)

A.R.S. § 42-104.F

**Source of Revenue:** This fund consists of all monies received pursuant to contingent fee contracts to collect delinquent state taxes, penalties and interest due under A.R.S. Title 43 (taxation of income) and Title 42, Chapter 8, Article 1 (transaction privilege taxes).

**Purpose of Fund:** The fund is used to pay all fees and court costs provided for in contingent fee collection contracts authorized by A.R.S. § 42-104.B.3. The remainder of the amounts collected are distributed to the state or political subdivisions according to the distribution proportions for the tax collected.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	0	0	0	0
Revenue	<u>731,200</u>	<u>731,200 <sup>1/</sup></u>	<u>731,200 <sup>1/</sup></u>	<u>731,200 <sup>1/</sup></u>
TOTAL FUNDS AVAILABLE	<u>731,200</u>	<u>731,200</u>	<u>731,200</u>	<u>731,200</u>
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 DISTRIBUTION OF TAXES	 731,200	 731,200	 731,200	 731,200
BALANCE FORWARD	0	0	0	0

<sup>1/</sup> FY 1996 Actual is used for display purposes, since the department includes these dollars in its General Fund revenue forecast but does not forecast them separately.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF REVENUE (Continued)**

**WASTE TIRE (RVA2356)**

**A.R.S. § 44-1305**

**Source of Revenue:** Collections from a fee on new tire purchases and penalties for violations.

**Purpose of Fund:** Up to 3.5% of the monies in the fund are transferred quarterly to the Department of Environmental Quality (DEQ) to monitor and enforce the requirements of A.R.S. Title 44, Chapter 9, Article 8, Waste Tire Disposal. The remainder is distributed quarterly to counties to establish and implement waste tire programs. Monies in the fund are exempt from lapsing under A.R.S. § 35-190.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	0	0
Revenue	4,803,400	4,962,900	2,481,500 <sup>1/</sup>	0 <sup>1/</sup>
<b>TOTAL FUNDS AVAILABLE</b>	<u>4,803,400</u>	<u>4,962,900</u>	<u>2,481,500</u>	<u>0</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Distribution to Counties	4,635,300	4,789,200	2,394,600	0
<b>TOTAL FUNDS EXPENDED</b>	<u>4,635,300</u>	<u>4,789,200</u>	<u>2,394,600</u>	<u>0</u>
<b>TRANSFERS</b>	168,100	173,700	86,900	0
<b>BALANCE FORWARD</b>	0	0	0	0

<sup>1/</sup> Laws 1995, Chapter 194 repeals A.R.S. § 44-1305, the Waste Tire Fund and program, effective January 1, 1998.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**SECRETARY OF STATE - DEPARTMENT OF STATE**

**Agency Summary**

**ANALYST: Lynne Smith**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	9,800	31,000	21,800	26,700
Revenue	<u>21,200</u>	<u>125,800</u>	<u>127,900</u>	<u>131,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>31,000</b>	<b>156,800</b>	<b>149,700</b>	<b>157,700</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>0</u>	<u>135,000</u>	<u>123,000</u>	<u>127,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>0</b>	<b>135,000</b>	<b>123,000</b>	<b>127,000</b>
 <b>BALANCE FORWARD</b>	 <b>31,000</b>	 <b>21,800</b>	 <b>26,700</b>	 <b>30,700</b>

**ARIZONA BLUE BOOK REVOLVING (STA2006)**

**A.R.S. § 41-131**

**Source of Revenue:** Proceeds from the sales of Arizona Blue Books are deposited in the fund. Monies may also be appropriated to the fund.

**Purpose of Fund:** Monies in the fund are used to compile, publish and distribute the Arizona Blue Book, which contains information about the state's executive, legislative and judicial branches.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	9,800	22,600	7,600	7,600
Sales - Arizona Blue Books	<u>12,800</u>	<u>25,000</u>	<u>27,000</u>	<u>30,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>22,600</b>	<b>47,600</b>	<b>34,600</b>	<b>37,600</b>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>0</u>	<u>40,000</u>	<u>27,000</u>	<u>30,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<b>0</b>	<b>40,000</b>	<b>27,000</b>	<b>30,000</b>
 <b>BALANCE FORWARD</b>	 <b>22,600</b>	 <b>7,600</b>	 <b>7,600</b>	 <b>7,600</b>

# Fiscal Year 1998 and 1999 Non-Appropriated Funds

## SECRETARY OF STATE - DEPARTMENT OF STATE (Continued)

DATA PROCESSING ACQUISITION (STA2265)	A.R.S. § 41-127
<p><b>Source of Revenue:</b> A special recording fee on Uniform Commercial Code filings. This fund was added by Laws 1996, Chapter 273, effective April 23, 1996.</p> <p><b>Purpose of Fund:</b> To defray the cost of improving data processing within the office of the Secretary of State. Any uncommitted monies in excess of \$250,000 at the close of the calendar year revert to the General Fund.</p>	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	0	8,400	14,200	19,100
Revenue	<u>8,400</u>	<u>100,800</u>	<u>100,900</u>	<u>101,000</u>
TOTAL FUNDS AVAILABLE	8,400	109,200	115,100	120,100
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	0	95,000	96,000	97,000
TOTAL FUNDS EXPENDED	<u>0</u>	<u>95,000</u>	<u>96,000</u>	<u>97,000</u>
BALANCE FORWARD	8,400	14,200	19,100	23,100



# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **STRUCTURAL PEST CONTROL COMMISSION**

**ANALYST:** Lisa Cotter

### **FEDERAL CERTIFICATION AND ENFORCEMENT GRANT (SBA2000)**

**A.R.S. § 32-2332**

**Source of Revenue:** Monies in the fund are Environmental Protection Agency grants.

**Purpose of Fund:** To maintain Structural Pest Control's licensing and regulation activities.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	32,400	6,000	100	100
Revenue	<u>201,700</u>	<u>97,000</u>	<u>97,000</u>	<u>97,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>234,100</u>	<u>103,000</u>	<u>97,100</u>	<u>97,100</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>4.0</u>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>
Operating Subtotal	<u>228,100</u>	<u>102,900</u>	<u>97,000</u>	<u>97,000</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>228,100</u>	<u>102,900</u>	<u>97,000</u>	<u>97,000</u>
 <b>BALANCE FORWARD</b>	 6,000	 100	 100	 100

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**OFFICE OF TOURISM**

**ANALYST:** Lisa Cotter

**TOURISM WORKSHOP (TOA3163)**

**A.R.S. § 35-142**

**Source of Revenue:** Workshop registration fees, trade marketing recoveries, advertising recoveries, and other operating revenue.

**Purpose of Fund:** To pay expenses incurred for the annual Governor's Tourism Conference and other projects.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	260,300	277,000	180,200	83,400
Revenue	<u>198,200</u>	<u>198,200</u>	<u>198,200</u>	<u>198,200</u>
<b>TOTAL FUNDS AVAILABLE</b>	458,500	475,200	378,400	281,600
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>181,500</u>	<u>295,000</u>	<u>295,000</u>	<u>275,000</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>181,500</u>	<u>295,000</u>	<u>295,000</u>	<u>275,000</u>
 <b>BALANCE FORWARD</b>	277,000	180,200	83,400	6,600

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA VETERANS' SERVICE COMMISSION**

**Agency Summary**

**ANALYST: Jennifer Vermeer**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	32,100	26,900	5,300	5,300
Revenue	<u>957,700</u>	<u>665,700</u>	<u>165,700</u>	<u>165,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>989,800</u>	<u>692,600</u>	<u>171,000</u>	<u>171,000</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>4.1</u>	<u>4.1</u>	<u>4.1</u>	<u>4.1</u>
Operating Subtotal	<u>962,900</u>	<u>687,300</u>	<u>165,700</u>	<u>165,700</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>962,900</u>	<u>687,300</u>	<u>165,700</u>	<u>165,700</u>
 <b>BALANCE FORWARD</b>	 26,900	 5,300	 5,300	 5,300

**DESERT STORM MEMORIAL (VSA3703)**

**A.R.S. § Laws 1992, Ch. 132**

**This fund is recommended for elimination.**

**Source of Revenue:** Appropriation and donations.

**Purpose of Fund:** To pay the costs and expenses of constructing and dedicating a memorial to Arizona's Desert Storm veterans in the Wesley Bolin Memorial Plaza. The project will be complete by the end of FY 1997 and any unexpended funds shall revert to the General Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	11,200	21,600	0	0
Revenue	<u>10,400</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>21,600</u>	<u>21,600</u>	<u>0</u>	<u>0</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	<u>0</u>	<u>21,600</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>21,600</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	 21,600	 0	 0	 0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**ARIZONA VETERANS' SERVICE COMMISSION (Continued)**

<b>FEDERAL FUNDS (VSA2000)</b>	<b>A.R.S. § 35-142E</b>
<b>Source of Revenue:</b> U.S. Department of Veterans' Affairs grant.	
<b>Purpose of Fund:</b> Supervision and qualification of educational and training programs.	

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	20,900	5,300	5,300	5,300
Revenue	<u>947,300</u>	<u>665,700</u>	<u>165,700</u>	<u>165,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	968,200	671,000	171,000	171,000
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>4.1</u>	<u>4.1</u>	<u>4.1</u>	<u>4.1</u>
Operating Subtotal	<u>962,900</u>	<u>665,700</u>	<u>165,700</u>	<u>165,700</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>962,900</u>	<u>665,700</u>	<u>165,700</u>	<u>165,700</u>
  <b>BALANCE FORWARD</b>	5,300	5,300	5,300	5,300

# **Fiscal Year 1998 and 1999 Non-Appropriated Funds**

## **DEPARTMENT OF WATER RESOURCES**

**Agency Summary**

**ANALYST: Chris Earnest**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	34,418,600	43,719,500	20,158,300	13,379,500
Revenue	<u>20,184,800</u>	<u>13,533,500</u>	<u>11,087,300</u>	<u>19,620,300</u>
<b>TOTAL FUNDS AVAILABLE</b>	54,603,400	57,253,000	31,245,600	32,999,800
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>16.0</u>	<u>18.5</u>	<u>18.5</u>	<u>18.5</u>
Operating Subtotal	10,368,600	29,716,800	15,551,200	12,383,400
Special Line Items Total	20,000	0	0	0
 <b>TOTAL FUNDS EXPENDED</b>	<u>10,388,600</u>	<u>29,716,800</u>	<u>15,551,200</u>	<u>12,383,400</u>
<b>APPROPRIATIONS</b>	196,400	7,196,500	2,196,500	6,713,700
<b>TRANSFERS</b>	298,900	181,400	118,400	120,500
<b>BALANCE FORWARD</b>	43,719,500	20,158,300	13,379,500	13,782,200

**ADMINISTRATIVE (WCA3025)**

**A.R.S. § 45-113**

**Source of Revenue:** A portion of various fees paid to the department are deposited in this fund.

**Purpose of Fund:** To refund excess fees paid to the department in error.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	9,600	9,600	9,600	9,600
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	9,600	9,600	9,600	9,600
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	9,600	9,600	9,600	9,600

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF WATER RESOURCES (Continued)**

**ARIZONA WATER BANKING FUND (WCA2110)**

**A.R.S. § 45-2425**

**Source of Revenue:** General Fund appropriations and fees associated with purchase, lease, storage, accreditation, and delivery of Colorado River water to municipalities and industrial water users.

**Purpose of Fund:** For the purchase and storage of the unused portion of Arizona's Colorado River water allotment.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	0	0	1,137,600	28,000
Revenue	<u>0</u>	<u>5,958,600</u>	<u>9,568,600</u>	<u>13,198,600</u>
<b>TOTAL FUNDS AVAILABLE</b>	0	5,958,600	10,706,200	13,226,600
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>3.5</u>	<u>3.5</u>	<u>3.5</u>
Operating Subtotal	0	2,778,500	8,634,800	10,472,000
 <b>TOTAL FUNDS EXPENDED</b>	0	2,778,500	8,634,800	10,472,000
<b>APPROPRIATION</b>	0	2,000,000	2,000,000	2,000,000
<b>TRANSFER TO CENTRAL ARIZONA PROJECT (CAP)</b>	0	42,500	43,400	45,500
<b>BALANCE FORWARD</b>	0	1,137,600	28,000	709,100

**AUGMENTATION AND CONSERVATION (WCA2213)**

**A.R.S. § 45-611**

**Source of Revenue:** The director of the Department of Water Resources levies and collects fees for groundwater withdrawn in active water management areas. A portion of these groundwater withdrawal fees is deposited to the Augmentation and Conservation Fund.

**Purpose of Fund:** For developing water supply augmentation projects, such as groundwater recharge projects, and for conservation programs in active water management areas. Various statutes require the director to distribute the funds to Active Management Areas, to the Tucson Augmentation Authority, and to any groundwater replenishment districts that may be established.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	6,811,700	7,901,600	7,080,700	6,235,600
Revenue	<u>2,531,000</u>	<u>545,000</u>	<u>525,000</u>	<u>505,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	9,342,700	8,446,600	7,605,700	6,740,600

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF WATER RESOURCES (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>5.0</u>	<u>5.0</u>	<u>5.0</u>	<u>5.0</u>
Operating Subtotal	<u>1,441,100</u>	<u>1,365,900</u>	<u>1,370,100</u>	<u>1,370,100</u>
 TOTAL FUNDS EXPENDED	 <u>1,441,100</u>	 <u>1,365,900</u>	 <u>1,370,100</u>	 <u>1,370,100</u>
 BALANCE FORWARD	 7,901,600	 7,080,700	 6,235,600	 5,370,500

**EMERGENCY DAM REPAIR (WCA2218)**

**A.R.S. § 45-1212.01**

**Source of Revenue:** Legal settlements for emergency remedial measures undertaken by the department.

**Purpose of Fund:** For emergency remedial measures on privately owned dams as necessary to protect life and property.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
FUNDS AVAILABLE				
Balance Forward	10,000	10,000	10,000	10,000
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL FUNDS AVAILABLE	<u>10,000</u>	<u>10,000</u>	<u>10,000</u>	<u>10,000</u>
 FUNDS EXPENDED				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 TOTAL FUNDS EXPENDED	 <u>0</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 BALANCE FORWARD	 10,000	 10,000	 10,000	 10,000

**FEDERAL GRANTS (WCA2000)**

**A.R.S. § 45-105**

**Source of Revenue:** Grants from the federal government.

**Purpose of Fund:** The department's federal grants relate principally to hazardous waste remediation, flood plain management, and weather management.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF WATER RESOURCES (Continued)**

**FEDERAL GRANTS (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	92,400	5,800	5,800	5,800
Revenue	<u>358,100</u>	<u>88,700</u>	<u>88,700</u>	<u>88,700</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>450,500</b>	<b>94,500</b>	<b>94,500</b>	<b>94,500</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>
Operating Subtotal	363,500	88,700	88,700	88,700
Repayment of Indirect Fund	20,000	0	0	0
 <b>TOTAL FUNDS EXPENDED</b>	<b>383,500</b>	<b>88,700</b>	<b>88,700</b>	<b>88,700</b>
 TRANSFER TO IGA FUND	61,200	0	0	0
<b>BALANCE FORWARD</b>	<b>5,800</b>	<b>5,800</b>	<b>5,800</b>	<b>5,800</b>

**FLOOD CONTROL LOAN (WCA3319)**

**A.R.S. § 45-1492**

**Source of Revenue:** This fund was established by an appropriation from the General Fund. Other revenues include interest and loan repayments.

**Purpose of Fund:** For loans for county flood control district projects.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	89,400	52,200	89,000	64,000
Revenue	<u>79,800</u>	<u>111,800</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<b>169,200</b>	<b>164,000</b>	<b>89,000</b>	<b>64,000</b>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
 TRANSFER TO FLOOD WARNING SYSTEM FUND	117,000	75,000	25,000	25,000
<b>BALANCE FORWARD</b>	<b>52,200</b>	<b>89,000</b>	<b>64,000</b>	<b>39,000</b>



**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF WATER RESOURCES (Continued)**

**FLOOD WARNING SYSTEM (WCA1021)**

**A.R.S. § 45-1503**

**Source of Revenue:** Legislative appropriations, transfers from the Flood Control Loan Fund, grants, and contributions from other public agencies.

**Purpose of Fund:** To develop a flood warning system, purchase flood warning equipment, and provide assistance to local entities on a cost sharing basis for the planning, design, installation, operation and maintenance of flood warning systems.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	118,200	180,200	150,800	83,900
Revenue	<u>280,200</u>	<u>279,400</u>	<u>225,000</u>	<u>223,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>398,400</u>	<u>459,600</u>	<u>375,800</u>	<u>306,900</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>	<u>3.0</u>
Operating Subtotal	<u>21,800</u>	<u>112,300</u>	<u>95,400</u>	<u>90,400</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>21,800</u>	<u>112,300</u>	<u>95,400</u>	<u>90,400</u>
<b>APPROPRIATIONS</b>	196,400	196,500	196,500	196,500
 <b>BALANCE FORWARD</b>	180,200	150,800	83,900	20,000

**GENERAL ADJUDICATIONS (WCA2191)**

**A.R.S. § 45-260**

**Source of Revenue:** Revenues include water rights claimant filing fees, which are transferred from the county court clerk.

**Purpose of Fund:** For postage and other costs of serving legal notices to water rights claimants.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	236,000	236,300	241,300	246,300
Revenue	<u>5,900</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>241,900</u>	<u>241,300</u>	<u>246,300</u>	<u>251,300</u>

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF WATER RESOURCES (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>5,600</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>5,600</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 <b>BALANCE FORWARD</b>	 236,300	 241,300	 246,300	 251,300

**GROUNDWATER ENFORCEMENT (WCA2135)**

**A.R.S. § 45-637**

**This fund is recommended for elimination.**

**Source of Revenue:** Fines, civil penalties, and assessments related to Groundwater Code violations.

**Purpose of Fund:** To reimburse the Department of Water Resources, Attorney General, County Attorneys, or appropriate prosecutorial agencies for the costs of prosecuting civil and criminal violations of the Groundwater Code. Note: Laws 1996, Chapter 102 redirects the revenue and fund balance to the General Fund.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	60,900	0	0	0
Revenue	<u>38,000</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	98,900	0	0	0
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	1.0	0.0	0.0	0.0
Operating Subtotal	<u>94,400</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>94,400</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 <b>TRANSFER TO GENERAL FUND</b>	 4,500	 0	 0	 0
<b>BALANCE FORWARD</b>	0	0	0	0

**NON-EMERGENCY DAM REPAIR (WCA2219)**

**A.R.S. § 45-1220**

**Source of Revenue:** Dam safety inspection fees, repair estimate filing fees, loan repayments, and special General Fund appropriations.

**Purpose of Fund:** For grants to private dam owners for costs of non-emergency repairs.

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF WATER RESOURCES (Continued)**

**NON-EMERGENCY DAM REPAIR (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	2,730,300	2,996,200	3,261,200	3,486,200
Revenue	<u>265,900</u>	<u>265,000</u>	<u>225,000</u>	<u>175,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>2,996,200</u>	<u>3,261,200</u>	<u>3,486,200</u>	<u>3,661,200</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	2,996,200	3,261,200	3,486,200	3,661,200

**PERMITS ADMINISTRATION FUND (WCA2320)**

**A.R.S. § 45-616**

**This fund is recommended for elimination.**

**Source of Revenue:** Fees collected from persons withdrawing groundwater.

**Purpose of Fund:** Monies are remitted through the State Treasurer to the Water Quality Assurance Revolving Fund (WQARF) to be used by the Arizona Department of Environmental Quality.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	80,100	13,900	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>80,100</u>	<u>13,900</u>	<u>0</u>	<u>0</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
 <b>TOTAL FUNDS EXPENDED</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>TRANSFER TO WQARF</b>	66,200	13,900	0	0
<b>BALANCE FORWARD</b>	13,900	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF WATER RESOURCES (Continued)**

**STATE WATER STORAGE (WCA2287)**

**A.R.S. § 45-835**

**Source of Revenue:** Appropriations and property taxes levied by multi-county water conservation districts. Beginning January 1, 1997, all monies which would have been deposited in the fund will go to the State Water Banking Fund. At that time, any monies remaining in the fund are transferred to the Central Arizona Project per A.R.S. § 45-896.01.

**Purpose of Fund:** For capital, operation, and other costs of approved underground water storage demonstration projects. The fund may be used for purchasing excess Central Arizona Project water.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	20,154,100	22,329,200	0	0
Revenue	<u>8,302,600</u>	<u>805,000</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	28,456,700	23,134,200	0	0
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
Operating Subtotal	6,127,500	23,134,200	0	0
 <b>TOTAL FUNDS EXPENDED</b>	<u>6,127,500</u>	<u>23,134,200</u>	<u>0</u>	<u>0</u>
 <b>BALANCE FORWARD</b>	22,329,200	0	0	0

**SURFACE/GROUNDWATER TRUST (WCA3101)**

**A.R.S. § 45-105**

**This fund is recommended for elimination.**

**Source of Revenue:** Special donations from private parties, such as Salt River Project.

**Purpose of Fund:** For weather modification projects.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	3,100	0	0	0
Revenue	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL FUNDS AVAILABLE</b>	3,100	0	0	0

**Fiscal Year 1998 and 1999 Non-Appropriated Funds**

**DEPARTMENT OF WATER RESOURCES (Continued)**

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	0.0	0.0	0.0	0.0
Operating Subtotal	<u>3,100</u>	<u>0</u>	<u>0</u>	<u>0</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>3,100</u>	 <u>0</u>	 <u>0</u>	 <u>0</u>
 <b>BALANCE FORWARD</b>	 0	 0	 0	 0

**WATER PROTECTION (WCA1302)**

**A.R.S. § 45-2111**

**Source of Revenue:** Legislative appropriations, revenues from water purchased or leased to out-of-state customers, and interest on investments.

**Purpose of Fund:** To provide grants for projects which protect water of sufficient quality and quantity to maintain, enhance, and restore rivers, streams, and associated riparian habitats.

	<u>FY 1996</u>	<u>FY 1997</u>	<u>FY 1998</u>	<u>FY 1999</u>
<b>FUNDS AVAILABLE</b>				
Balance Forward	4,022,800	9,984,500	8,172,300	3,210,100
Revenue	<u>8,323,300</u>	<u>5,475,000</u>	<u>450,000 <sup>1/</sup></u>	<u>5,425,000</u>
<b>TOTAL FUNDS AVAILABLE</b>	<u>12,346,100</u>	<u>15,459,500</u>	<u>8,622,300</u>	<u>8,635,100</u>
 <b>FUNDS EXPENDED</b>				
<i>Full Time Equivalent Positions</i>	5.0	5.0	5.0	5.0
Operating Subtotal	<u>2,311,600</u>	<u>2,237,200</u>	<u>5,362,200</u>	<u>362,200</u>
 <b>TOTAL FUNDS EXPENDED</b>	 <u>2,311,600</u>	 <u>2,237,200</u>	 <u>5,362,200</u>	 <u>362,200</u>
APPROPRIATION	0	5,000,000	0	4,517,200
TRANSFER TO STATE LANDS	50,000	50,000	50,000	50,000
<b>BALANCE FORWARD</b>	9,984,500	8,172,300	3,210,100	3,705,700

<sup>1/</sup> The JLBC Staff recommends suspending the \$5,000,000 General Fund appropriation in FY 1998 and using the carry-forward balance for grants.

## **STATUS OF OTHER FUNDS**

## STATUS OF FUNDS

The JLBC Staff is statutorily required each year to recommend the elimination or consolidation of 10% of the state's funds in existence in that year. Our estimate of the number of funds specifically authorized by statute and/or in the Arizona Department of Administration's accounting system is 535. Below is a list of these funds in the baseline. The list also provides more information on the type of fund and where more information can be found. The main categories of funds are as follows:

- Appropriated:                Included as part of the Staff's recommendation on Appropriated funds. See the Analysis and Recommendations volume for more information.
  
- See Individual Funds:    A non-appropriated fund which has a separate fund summary in this volume. If a fund is not likely to be found under its fund name, the status list gives further guidance on the fund's expenditures.
  
- Clearing Account:        A non-appropriated fund which exists for accounting purposes. Any fund expenditures are accounted for in another fund. These funds do not have their own fund summary in this volume.
  
- Custodial                 A non-appropriated fund which the state holds for some other party. The status list will note whether this volume contains information on that fund.
  
- Trust                      A non-appropriated fund which represents the corpus of the state Permanent Land Trust Funds. The corpus of the fund is invested, not spent. Interest income is transferred to separate expendable funds, which have the designation in this listing of "Trust-Earnings." The trust funds do not usually have a fund summary in this volume.

Each agency's 3-letter identification code and each fund's 4-digit fund number are shown in parenthesis. These identification codes correspond with those in the statewide accounting system.

FUND	STATUS
<b>STATEWIDE FUNDS (AAA)</b>	
Federal Grant (2000)	See Individual Agencies
General Fixed Assets (1300)	Clearing Account
General Fund (1000)	Appropriated
Indirect Cost Recovery (9000)	See Individual Agencies
Long Term Debt (1200)	Clearing Account
Statewide Payroll Fund (9200)	Clearing Account
 <b>ANNUAL BUDGET UNITS</b>	
Arizona Department of Administration (ADA) <sup>1/</sup>	
Admin - AFIS II Collections (4203)	Clearing Account
Bus Card Plus Replacement Fund (AAA 1027)	Clearing Account
Capital Outlay Stabilization (1600)	Appropriated
Capital Project Stabilization (AAA 1001)	Inactive
Certificates of Participation (AAA 5005)	Clearing Account
Co-Op State Purchasing Agreement (4213)	See Individual Fund
COP Building Operating and Maintenance Fund (1025)	See Individual Fund

<sup>1/</sup> Includes some statewide accounting funds with 3-letter codes of AAA and GAA.

**FUND**

D.O.A. Loss Control Projects (2303)  
D.O.A. - Merit Award Fund (3190)  
Emergency Telecommunications Revolving (2176)  
Employee Related Expenditures/Benefits Admin. (GAA 3035)  
Federal Revenue Sharing (2158)  
Health Administration and Benefit Insurance Trust (3161)  
Indirect Cost Recovery Fund (9000)  
Motor Pool Revolving (4204)  
Permanent Risk Management Revolving (4215)  
Personnel Division (1107)  
Plan Deposits (3196)  
Public Buildings Land Earnings (3127)  
Risk Management Insurance Reimbursement (GAA 3748)  
Special Employee Health Insurance Trust (AAA 3015)  
Special Services Revolving (4208)  
Surplus Property - Federal (4215)  
Surplus Property - State (4214)  
Technology and Telecommunications (4201)  
Telecommunications (4206)  
Travel Reduction Fund (2261)  
Vendor Services (AAA 2401)  
Workers' Compensation Loss Control (2243)  
Workers' Compensation (4218)

**STATUS**

Clearing Account  
Inactive  
See Individual Fund  
See Individual Fund  
Inactive  
See Individual Fund  
Clearing  
Appropriated  
Appropriated  
Appropriated  
Custodial  
Appropriated/See Individual Fund  
Inactive  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
Appropriated  
Inactive  
See Individual Fund  
Inactive  
Clearing Account  
Appropriated

**AHCCCS (HCA)**

AHCCCS Fund (2120)  
AHCCCS Donations (3197)  
  
Arizona Long Term Care System (2223)  
Health Plan Performance Bond (3734)  
Long-Term Care Reinsurance (2222)  
Long-Term Care Third Party Liability (3019)  
Tobacco Tax - Medical Services Stabilization Fund (3037)  
Tobacco Tax - Premium Sharing Demonstration  
Project Fund (2151)

See County Contributions and Federal Funds  
See Individual Fund (Health Care Group  
Medical Premiums)  
See County Contributions and Federal Funds  
Custodial Monies  
Inactive  
See Third Party Collections Fund  
See Individual Fund  
See Individual Fund

**Board of Directors for Community Colleges (CMA)**

Certification Fund (2009)  
Les Aries Memorial Earnings (3172)  
Les Aries Memorial Fund (3321)

Appropriated  
See Individual Fund  
See Individual Fund

**Department of Corrections (DCA)**

Alcohol Abuse Treatment (2204)  
Arizona Correctional Industries Revolving (4002)  
Corrections (2088)  
Criminal Justice Enhancement Fund Pass Through (2035)  
Donations (3147)

See Individual Fund  
See Individual Fund  
Appropriated  
See Individual Fund  
See Individual Fund



**FUND**

Education Fund for Correctional Education  
 Inmate Care Revolving (2089)  
 Penitentiary Land (3140)  
 Special Services (3187)  
 State Charitable, Penal and Reformatories (3141)

**Department of Economic Security (DEA)**

Arizona Industries for the Blind (4003)  
 Capital Investment (2093)  
 Child Abuse Prevention and Treatment (2162)  
 Child Passenger Restraint (2192)  
 Child Support Enforcement [Economic Security Case Administration] (2091)  
 Child Support Enforcement (3022)  
 Child Support Enforcement (3195)  
 Children and Family Services Training Program (2173)  
 Client Trust (3152)  
 DES Administrative Adjustment (1018)  
 DES Flood Relief (3186)  
 DD Client Services Trust [Phoenix ATP Closure] (2019)  
 Domestic Violence Shelter (2160)  
 Donations (3145)  
 Full Employment Demonstration Project (1023)  
 Governmental Services (2182)  
 Homeless Trust (3026)  
 Long Term Care System (2224)  
 Mesa Land (3151)  
 Neighbors Helping Neighbors (2348)  
 Prelayoff Assistance Services (N/A)  
 Private Donation Resource Development (3202)  
 Project Intervention Fund (1012)  
 Public Assistance and Administration Revolving (2217)  
 Public Assistance Collections (2217)  
 Rate Payer Assistance (2347)  
 Revenue from State or Local Agency (3193)  
 Special Administration (2066)  
 Spinal and Head Injuries Trust (2335)  
 Summer Youth Program (1011)  
 Utility Assistance (1011)

**Department of Education (EDA)**

Academic Contests Fund (2582)  
 At Risk Preschool Pilot (2101)  
 Charter School Stimulus Fund (1007)  
 Commodity (4210)  
 Environmental Education (2302)

**STATUS**

See Individual Fund  
 See Individual Fund  
 Appropriated (Trust-Earnings)  
 See Individual Fund  
 Appropriated (Trust-Earnings) (Not counted in official fund list)

See Individual Fund  
 See Individual Fund  
 Appropriated  
 See Individual Fund  
 Appropriated

Custodial Monies  
 Inactive  
 Appropriated  
 See Individual Fund  
 Clearing Account  
 Inactive  
 See Individual Fund  
 See Individual Fund  
 See Individual Fund  
 See Individual Fund  
 Clearing Account  
 See Individual Fund  
 See Individual Fund  
 See Individual Fund  
 See Individual Fund  
 Inactive  
 Inactive  
 Receives Appropriated Monies  
 Clearing Account  
 Appropriated  
 Inactive until FY 1999  
 Clearing Account  
 Appropriated  
 See Individual Fund  
 Receives Appropriated Monies  
 See Individual Fund

Receives only Appropriated Monies  
 Inactive  
 Receives only Appropriated Monies  
 See Food Distribution Fund  
 Inactive

**FUND**

Environmental Special Plate Number Fund (2354)  
Communication (Internal Services Fund) (4209)  
Permanent Special Education Instit. Voucher Fund (1005)  
Permanent State School (2582)  
Printing (4211)  
Special Educational Placement & Residential Education  
Voucher Fund (1009)  
State Council on Vocational Education (VEA 2188)  
Tuition Fund (1008)  
Youth Farm Loan (2136)

**STATUS**

Inactive  
Clearing Account  
Receives only Appropriated Monies  
Appropriated (Trust Monies)  
See Individual Fund  
Receives only Appropriated Monies  
  
Inactive  
Receives only Appropriated Monies  
See Individual Fund

**Department of Health Services (HSA)**

Agreements (2144)  
  
Alcohol and Other Drug Screening, Education  
or Treatment (2227)  
Arizona State Hospital Disproportionate  
Share Payments (2370)  
Building Renewal (2133)  
Child Abuse Prevention Fund (2161)  
Child Fatality Review (3036)  
Disease Control Research (DIA 2090)  
Donations (3010)  
Emergency Medical Services Operating (2171)  
Environmental Lab Licensing Revolving (3017)  
Internal Services (4202)  
Medical Services Enhancement Fund (TRA 2186)  
Newborn Screening Program (2184)  
Poison Control (2112)  
Residency Training (2208)  
SAMHC - Patient Benefit (3116)  
State Hospital Enterprise (4207)  
State Hospital Land Earnings (3128)  
State Hospital Patient Benefit (3173)  
State Hospital Revolving (3115)  
State Hospital Revenue (NA)  
Substance Abuse Services (2144)

See Individual Fund, Rental Income and Title 19  
and County Contributions Funds  
Appropriated  
  
Appropriated  
  
Appropriated  
Inactive  
Appropriated  
See Individual Fund  
See Individual Fund  
Appropriated  
See Individual Fund  
Clearing Account  
Partially Appropriated (See individual page)  
See Individual Fund  
Receives only Appropriated Monies  
Inactive  
Inactive  
Inactive/Received only Appropriated Monies  
Appropriated (Trust-Earnings)  
See Individual Fund  
See Individual Fund  
Appropriated  
Appropriated

**Judiciary - Court of Appeals**

Division I - State Funds (COA 2086)  
Division I - Judges Retirement (COA 3175)  
Division II - State Funds (CTA 2072)

Inactive  
Custodial  
Inactive

**Judiciary - Supreme Court (SPA)**

Alternative Dispute Resolution (3245)  
Community Punishment Program Fines (2119)  
Confidential Intermediary (2276)

See Individual Fund  
Appropriated  
Appropriated

**FUND**

County Public Defender Training (3013)  
Court Appointed Special Advocate (2275)  
Criminal Justice Enhancement Fund (2075)

Defensive Driving (2247)  
Grants and Special Revenue (2084)  
Judicial Collection Enhancement (2246)  
Juvenile Probation (2139)

**Department of Juvenile Corrections (DJA)**

Criminal Justice Enhancement (2281)  
Juvenile Corrections Fund (2323)  
Juvenile Education (2323)  
State Charitable, Penal and Reformatories (3029)

**Department of Transportation (DTA)**

Abandoned Vehicle (2099)  
Arizona Highways Magazine (2031)  
Aviation (2005)  
ADOT Bond Proceeds M/RARF (Series 3806)  
ADOT Donations Fund (3080)  
ADOT Highway Right of Way Acquisition (3112)  
ADOT MVD Clearing Fund (3153)  
ADOT Warehouse (4212)  
Cash Deposits Fund (2266)  
Contract Counsel Revolving (N/A)  
Dealer Enforcement (2292)  
Economic Strength Project (2244)  
Equipment Revolving (2071)  
Highway Debt Service (5004)  
Highway (2030)  
Highway User Revenue Fund (3113)  
Local Agency Deposits Fund (3701)  
Maricopa Regional Area Road (2029)  
Maricopa/RARF Debt Service (5008)  
Motor Carrier Safety Revolving (2380)  
Motor Vehicle Liability Insurance Enforcement (2285)  
Rental Tax & Bond Deposit (3737)  
Safety Enforcement and Transportation Infrastructure (2108)  
Special Organization Plate (3731)  
Vehicle Inspection and Title Enforcement (2272)

**STATUS**

See Individual Fund  
Appropriated  
Case Processing Assistance and Juvenile  
Crime Reduction distributions are  
appropriated. See Drug Enforcement  
Account for non-appropriated expenditures.  
See Individual Fund  
See Individual Fund  
Appropriated  
Receives only Appropriated Funds

Appropriated  
See Individual Fund  
Appropriated  
Appropriated (Trust-Earnings) (Not counted in  
official fund list)

Appropriated  
See Individual Fund  
Appropriated  
Inactive (Clearing Account)  
Clearing Account  
Clearing Account  
Clearing Account  
Clearing Account  
Clearing Account  
Clearing Account  
Appropriated  
See Individual Fund  
See Individual Fund  
Inactive (Clearing Account)  
Appropriated  
Appropriated  
Clearing Account  
See Individual Fund  
Clearing Account  
See Individual Funds in ADOT and DPS  
See Individual Fund  
Clearing Account  
Appropriated  
Inactive  
See Individual Fund

**FUND****Universities****Board of Regents (BRA)**

A & M College Land Earnings (3131)  
Bd of Regents Land - Base (3185)  
Bd of Regents Univ. Land-Matching (3184)  
Collections Revolving (2183)  
Collegiate Plates (NA)  
  
Financial Aid Trust (NA) (A.R.S. § 15-1642)  
  
Military Institute Land Earnings (3132)  
Normal School Land Earnings (3136)  
Regents Postsecondary Local (2139)  
  
University Land Earnings (3134)  
University Land - Matching Grant (3184)  
University Land - Base (3185)

**Arizona State University (ASA)**

Collegiate Plates (2239)  
Collections (1410)  
Collections (1411)

**Northern Arizona University (NAA)**

Collections - Local (1420)  
Collections - Appropriations (1421)  
Collegiate Plates (2240)

**University of Arizona-Main Campus (UAA)**

Collections - Local (1400)  
Collections - Appropriations (1402)  
Collegiate Plates (2238)  
School of Mines Land Earnings (3133)  
  
U of A Local Payroll Clearing (9204)

**University of Arizona - Health Sciences Center (UAA)**

Collections (1403)

**BIENNIAL BUDGET UNITS****Board of Accountancy Fund (ABA 2001)****Department of Agriculture (AHA)**

Agriculture Consulting and Training (1239)  
Aquaculture (2297)  
Arizona Wine Promotional (2205)  
Beef Council of Arizona (2083)

**STATUS**

See University Land Fund (Trust-Earnings)  
See University Land Fund (Trust-Earnings)  
See University Land Fund (Trust-Earnings)  
See Individual Fund  
Accounted for in individual universities'  
restricted funds.  
Accounted for in individual universities'  
restricted funds. (Not counted in official list)  
University Land Fund (Trust-Earnings)  
University Land Fund (Trust-Earnings)  
Inactive (Function transferred to Commission for  
Postsecondary Education)  
See University Land Fund (Trust-Earnings)  
See University Land Fund  
See University Land Fund

Included in Other University Funds  
Included in Other University Funds  
Appropriated

Included in Other University Funds  
Appropriated  
Included in Other University Funds

Included in Other University Funds  
Appropriated  
Included in Other University Funds  
Included in Other University Funds  
(Trust-Earnings)  
Clearing Account

Appropriated

Appropriated

Appropriated  
Appropriated  
See Individual Fund  
See Individual Fund

**FUND**

Citrus, Fruit and Vegetable Revolving (2260)  
Citrus Research Council (2299)  
Commercial Feed (2012)  
Cotton Research and Protection Council  
[Abatement Revolving] (2013)  
Dangerous Plants (2054)  
Designated (3011)  
Egg Inspection (2022)  
Fertilizers Materials (2081)  
Grain Research and Promotion Council (2201)  
Hay Law (2143)  
Iceberg Lettuce Research Council (2259)  
Native Plant (2298)  
Livestock Custody (NA)  
Organic Certification (2342)  
Pesticide (2051)  
Ratite Control Fund (2098)  
Seed Law (2064)  
Yuma County Citrus Pest Control (2145)

Board of Appraisal Fund (APA 2270)

Arizona Commission on the Arts (HUA)

Arizona Commission on the Arts (2116)  
Arizona Arts Endowment (NA)  
Arizona Arts Trust (3014)

Attorney General - Department of Law (AGA)

Antiracketeering Revolving (2131)  
Antitrust Revolving (2016)  
Arizona Prosecuting Attorneys Advisory Council (2057)  
Attorney General Expendable Trust (3102)  
Collection Enforcement Revolving (2132)  
Consumer Fraud (2014)  
Court Ordered Trust (3180)  
CJEF Distribution to County Attorneys (2068)  
State Grand Jury Fund (3215)  
Intergovernmental Grants (2157)  
Liability Defense (2164)  
Prisoner Litigation Revolving (2156)  
Public Accommodations (2021)  
Victims' Rights Implementation Revolving (3215)  
Victims' Witness Assistance (2228)

Automotive Theft Prevention Authority (ATA 2060)

**STATUS**

Appropriated  
See Individual Fund  
Appropriated  
See Individual Fund  
  
Appropriated  
See Individual Funds  
Appropriated  
Appropriated  
See Individual Fund  
See Individual Fund  
See Individual Fund  
Appropriated  
See Individual Fund  
Appropriated  
Appropriated  
Appropriated  
Appropriated  
Inactive

Appropriated

See Individual Fund  
See Individual Fund  
See Individual Fund

See Individual Fund  
Appropriated  
See Individual Fund  
See Individual Fund  
Appropriated  
See Individual Fund  
See Individual Funds  
See Individual Fund  
Receives only Appropriated Funds  
Appropriated  
See Civil Division Fund  
See Individual Fund  
Inactive  
Appropriated  
See Individual Fund

Appropriated

<b>FUND</b>	<b>STATUS</b>
State Banking Department (BDA)	
Escrow Recovery (2341)	See Individual Fund
Receivership Revolving (3023)	See Individual Fund
Revolving (2126)	See Individual Fund
Board of Barber Examiners Fund (BBA 2007)	Appropriated
Board of Behavioral Health Examiners Fund (BHA 2256)	Appropriated
Department of Building & Fire Safety (MMA)	
Arson Detection (2169)	See Individual Fund
Building and Fire Safety (2211)	See Individual Fund
Manufactured Housing Consumer Recovery (3790)	See Individual Fund
Mobile Home Relocation (2237)	See Individual Fund
Board of Chiropractic Examiners Fund (CEA 2010)	Appropriated
Arizona Coliseum and Exposition Center Board (CLA)	
Capital Outlay (4006)	See Individual Fund
Enterprise (4001)	Appropriated
Department of Commerce (EPA)	
Arizona Clean Air (1238)	See Individual Fund
Bond (2196)	Appropriated
Commerce and Economic Development (2245)	See Individual Fund, including Capital Market Account
Commerce and Solar Energy (1020)	See Individual Fund
Community Workshops (2149)	See Individual Fund
Donations (3189)	See Individual Fund
Housing Development (NA)	See Individual Fund
Housing Finance Review (2234)	See Individual Fund
Housing Trust (2235)	See Individual Fund
International Development Authority (NA)	See Individual Fund
Minority Business (3000)	See Individual Fund
Oil Overcharge [Energy Conservation] (3171)	See Individual Fund
Work Force Recruitment and Training (1237)	See Individual Fund
State Compensation Fund (NA)	See Individual Fund
Constitutional Defense Council Revolving (AAA 1026)	Receives Appropriated Monies
Registrar of Contractors (RGA)	
Contractors' Recovery (3155)	See Individual Fund
License Application Suspende (3723)	Clearing Account
License Contractor Bond Fund (3721)	Custodial Monies
Registrar of Contractors (2406)	Appropriated
Solar Qualification Assurance (2324)	Inactive

**FUND****Corporation Commission (CCA)**

Investment Management Regulatory (2404)  
Pipeline Safety Revolving (2174)  
Public Access (2333)  
Security Clearing (3726)  
Security Regulatory and Enforcement (2264)  
Utility Regulation Revolving (2172)  
Utility Siting (2076)

**Board of Cosmetology Fund (CBA 2017)****Arizona Criminal Justice Commission (JCA)**

Administration of Narcotic Assistance (2229)  
  
ACJC (2134)  
Street Gang Enforcement Revolving Fund (1022)  
  
Victims Assistance (2199)  
Victims Compensation (2198)

**Arizona State Schools for the Deaf and the Blind (SDA)**

Enterprise (4222)  
Non-Federal Grants (2011)  
Local Grants  
Land Earnings (3139)  
Regional Cooperatives (4221)  
Special Education Voucher (2142)  
Trust [School Donations] (3148)

**Board of Dental Examiners Fund (DXA 2020)****Arizona Drug and Gang Prevention Resource Center**

Resource Center (NA) (A.R.S. § 41-2402[H])

**Department of Emergency and Military Affairs (MAA)**

Camp Navajo (2106)  
Clifton Flood Control Project (1236)  
Emergency Management Training (2087)  
Emergency Response Fund (3031)  
Freedom Academy (2104)  
Morale, Welfare and Recreation (2124)  
National Guard Fund (2140)

**STATUS**

Appropriated  
See Individual Fund  
Appropriated  
Inactive  
Appropriated  
Appropriated  
Clearing Account

Appropriated

See Individual Funds, including Drug and Gang  
Enforcement Account  
Appropriated  
Receives Appropriated Monies (Inactive, last  
used in FY 1995)  
Appropriated  
Appropriated

See Individual Fund  
See Individual Fund  
Inactive  
Appropriated  
See Individual Fund  
Appropriated  
See Individual Fund

Appropriated

Partially Appropriated. See Individual Fund.  
(Not counted in official list)

See Individual Fund  
Appropriated  
See Individual Fund  
Appropriated  
See Individual Fund  
See Individual Fund  
Receives only Appropriated Monies

**FUND**

Advisory Council on Environmental Education (EEA)  
Environmental Awareness Education (2102)

Department of Environmental Quality (EVA)

ADEQ Payroll Fund (9210)  
Air Permits Administration (2328)  
Air Quality (2226)  
Building Lease Fund (3216)  
Circle K Settlement (3003)  
Donations (3006)  
Emissions Inspection (2082)  
Hazardous Waste (2178)  
Intergovernmental Agreements (2180)  
Landfill Trust (3028)  
Small Water Systems (2225)  
Solid Waste Fee (3110)  
Solid Waste Recycling (2289)  
Underground Storage Tank (2271)  
Used Oil (3500)  
Wastewater Treatment Revolving (2254)  
Water Quality Assurance Revolving (2221)  
Water Quality Fee Fund (4100)

Board of Funeral Directors and Embalmers Fund (FDA 2026)

Game and Fish Department (GFA)

Capital Improvement (2203)  
Conservation Development (2062)  
Donations (3100)  
Game and Fish Big Game Permit (3712)  
Game and Fish California Stamp (3709)  
Game and Fish Fund (2027)  
Game and Fish Kaibab Co-op (3714)  
Game and Fish Nevada Stamp (3708)  
Game and Fish Utah Stamp (3710)  
Game, Non-Game, Fish and Endangered Species (2127)  
Heritage (2295)  
Land and Water Conservation Recreation Development (2036)  
Publications Revolving (4007)  
Revolving (2028)  
Trust (3111)  
Watercraft Licensing (2079)  
Waterfowl Conservation (2209)  
Wildlife Endowment (2279)  
Wildlife Theft Prevention (2080)

**STATUS**

Inactive (See FY 1994 Non-Appropriated Fund  
Volume)

Clearing Account  
Appropriated  
See Individual Fund  
Inactive  
See Individual Fund  
Inactive  
Appropriated  
Appropriated  
See Individual Fund  
See Individual Fund  
See Individual Fund  
Appropriated  
See Individual Fund  
See Individual Fund and Accounts  
See Individual Fund  
See Individual Fund  
See Individual Fund  
Appropriated

Appropriated

Appropriated  
See Individual Fund  
See Individual Fund  
Clearing Account  
Clearing Account  
Appropriated  
Clearing Account  
Clearing Account  
Clearing Account  
Clearing Account  
Appropriated  
See Individual Fund  
Appropriated  
See Individual Fund  
Clearing Account  
See Individual Fund  
Appropriated  
Appropriated  
Appropriated  
See Individual Fund



**FUND****STATUS****Arizona Geological Survey (GSA)**

Donations (3030)

See Individual Fund

Intergovernmental Grants (2800)

See Individual Fund

Oil and Gas Drilling Bond (3704)

See Individual Fund

Printing Revolving (2233)

See Individual Fund

**Government Information Technology Agency**

Information Technology (NA)

Appropriated

**Office of the Governor (GVA)**

Arizona Fund (3021)

See Individual Fund

Arizona Public Interest (3016)

Inactive

County Fairs Livestock and Agricultural Promotion (2037)

See Individual Fund

Gang and Substance Abuse Prevention (2327)

Inactive (See FY 1994 Non-Appropriated Funds Volume)

Governor's Endowment Partnership (3206)

See Individual Fund

Governor's Office for Children (3192)

See Individual Fund

Office for Excellence in Government.(2403)

Appropriated

Statewide Long Term Improved  
Management (SLIM) (2103)

Appropriated

Western Region NASBO Conference (2402)

Inactive

**Arizona Historical Society (HIA)**

Historical Society Magazine (2033)

See Individual Fund

Historical Society Revolving (2900)

Inactive

Historical Society Discretionary (3159)

Clearing Account

Preservation and Restoration (2125)

See Individual Fund

Permanent Historical Society Revolving (2900) (A.R.S. § 41-826)

Inactive

**Board of Homeopathic Medical Examiners Fund (HEA 2041)**

Appropriated

**Arizona Commission of Indian Affairs (IAA)**

Publications (4013)

See Individual Fund

**Industrial Commission of Arizona (ICA)**

Administration (2177)

Appropriated

Employment Deposit (3303)

Custodial Monies

Federal Grants (2095)

See Individual Fund

Revolving (2002)

See Individual Fund

**Department of Insurance (IDA)**

Examiners Revolving (2034)

See Individual Fund

Fingerprinting (2163)

Clearing Account

Joint Underwriting Plan (2073)

See Individual Fund

Life and Disability Guaranty (NA)

See Individual Fund

Small Employer Reinsurance (2092)

See Individual Fund

Receivership Liquidation (3104)

See Individual Fund

Tax Premium Clearing (3727)

Clearing Account

**FUND****STATUS****State Land Department (LDA)**

CAP Municipal and Industrial Repayment (N/A)  
Cooperative Fire Control Program (2195)  
Cooperative Forestry Program (2232)  
Federal Reclamation Trust (2024)  
Fire Suppression Fund (2360)  
Floodplain Land Exchange (N/A) (A.R.S. § 37-610.01)  
Interagency Agreements (2212)  
Land - Advertise/Appraisals (3733)  
Land Clearance (3732)  
Legal Advertising Revolving (2248)  
Quitclaim Deed Application Revolving (2230)  
Resource Analysis Revolving (4009)  
Riparian Acquisition Trust (3201)  
Timber Suspense (3158)  
Zoning Application Fees (2220)

Inactive until after FY 2000  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
Inactive  
See Individual Fund  
Inactive  
Custodial Monies  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund

**Legislature - Auditor General (AUA)**

Audit Services Revolving (2242)

See Individual Fund

**Legislature - Dept of Library, Archives and Public Records (LAA)**

Donations (3149)  
Gift Shop Revolving (4008)  
Miscellaneous Collections (2215)

See Individual Fund  
See Individual Fund  
See Individual Fund

**Legislature - Senate**

President's Fund

Inactive

**Department of Liquor Licenses & Control (LLA)**

Bonds & Certificates of Deposit (3230)  
Racketeering (3018)  
Special Collections (3008)

Custodial Monies  
See Individual Fund  
See Individual Fund

**Arizona State Lottery Commission (LOA)**

Lottery Fund (2122)  
Lottery - Interest Earnings (3179)

See Individual Fund  
Clearing Account

**State Medical Examiners Board Fund (MEA 2038)**

Appropriated

**Department of Mines and Mineral Resources (MNA)**

Museum Donations (3156)  
Printing Revolving (4004)

See Individual Fund  
See Individual Fund

**Board of Naturopathic Physicians Examiners Fund (NBA 2042)**

Appropriated

**Nursing Board Fund (BNA 2044)**

Appropriated (includes non-appropriated Nurse  
Aide Training and Registration subaccount)

<b>FUND</b>	<b>STATUS</b>
Board of Nursing Care Institution Administrators Fund (NCA 2043)	Appropriated
Occupational Therapy Board Fund (OTA 2263)	Appropriated
Board of Dispensing Opticians Fund (DOA 2046)	Appropriated
Board of Optometry Fund (OBA 2023)	Appropriated
Board of Osteopathic Examiners Fund (OSA 2048)	Appropriated
State Parks Board (PRA)	
Arizona Conservation Corps (2294)	See Individual Fund
Donations (3117)	See Individual Fund
Intergovernmental Agreements (1024)	See Individual Fund
Land and Water Conservation Surcharge (2123)	See Individual Fund
Law Enforcement and Boating Safety (2111)	Appropriated
Off-Highway Vehicle (2253)	See Individual Fund
Heritage (2296)	See Individual Fund
Historic Property Rehabilitation (NA) (A.R.S. § 41-881)	Inactive
Publications and Souvenir Revolving (4010)	See Individual Fund
Reservation Surcharge Revolving (1304)	Appropriated
State Lake Improvement (2105)	See Individual Fund
State Parks Enhancement (2202)	See Individual Fund/Partially Appropriated
Trails (2262)	See Individual Fund
Board of Pharmacy Fund (PMA 2052)	Appropriated
Board of Physical Therapy Examiners Fund (PTA 2053)	Appropriated
Pioneers' Home (PIA)	
Donations (3143)	See Individual Fund
Miners Hospital (3143)	Appropriated (Trust-Earnings)
Board of Podiatry Examiners Fund (POA 2055)	Appropriated
Commission for Postsecondary Education (PEA)	
Arizona Student Program Investing Resources in Education (2407)	See Individual Fund
Postsecondary Education (2405)	Appropriated
Postsecondary Education Voucher (2128)	Receives only Appropriated Monies
State Postsecondary Review Program (2100)	Inactive
Teacher Incentive Program (2249)	See Individual Fund
Arizona Power Authority (PAA)	
Administrative Surcharge (2123)	See Individual Funds

<b>FUND</b>	<b>STATUS</b>
Board of Private Postsecondary Education	
Private Postsecondary Education Cash (3150)	Custodial Monies
Private Postsecondary Education Fund (PVA 2056)	Appropriated
Student Tuition Recovery (3027)	See Individual Fund
Board of Psychologist Examiners Fund (SYA 2058)	Appropriated
Department of Public Safety (PSA)	
Administration (2322)	See Individual Fund
Arizona Fingerprint Identification System (2286)	Appropriated
Arizona Highway Patrol (2032)	Appropriated
Crime Laboratory Assessment (2282)	Appropriated
Criminal Justice Enhancement Fund Pass Through (3702)	See Individual Fund/Partially Appropriated
Donations (3123)	See Individual Fund
DNA Identification System (2337)	Appropriated
Fingerprinting (2159)	See Individual Fund
DPS Joint Fund - Control (2085)	Clearing Account
Motor Carrier Safety Revolving (2380)	See Individual Fund (Counts as individual fund only in ADOT)
Peace Officers Training (2049)	See Individual Fund
Records Processing (2278)	See Individual Fund
Public Safety State Retirement System (NA)	
Administrative Expenses (NA)	See Individual Fund
Correction Officers Retirement Plan (NA) (A.R.S. § 38-882)	Custodial Monies (Not counted in official list)
Elected Officials' Retirement Plan (NA) (A.R.S. § 38-802)	Custodial Monies (Not counted in official list)
Fire Fighter Cancer Insurance Policy Program Account (NA) (A.R.S. § 38-642)	See Individual Fund
Public Safety Personnel Retirement (NA) (A.R.S. § 38-842)	Custodial Monies (Not counted in official list)
Arizona Department of Racing (RCA)	
Administration of County Fairs Betterment and Breeders (2018)	See Individual Fund
Arizona Breeders Award (2206)	See Individual Fund
County Fair Racing (2170)	Appropriated
County Fairs Racing Betterment (2207)	See Individual Fund
Greyhound Adoption Program (2015)	See Individual Fund
Racing Commission Bond Deposit (3720)	Custodial Monies
Stallion Award (2315)	See Individual Fund
Radiation Regulatory Agency (AEA)	
Nuclear Emergency Management (2138)	Receives Appropriated Monies
Radiation Regulatory and Perpetual Care (3118)	Inactive
Radiologic Technologist Certification (2061)	Appropriated
State Real Estate Department (REA)	
Recovery (3119)	See Individual Fund
Revolving (4011)	See Individual Fund

<b>FUND</b>	<b>STATUS</b>
Residential Utility Consumer Office (UOA) RUCO Revolving (2175)	Appropriated
Board of Respiratory Care Examiners Fund (RBA 2269)	Appropriated
Arizona State Retirement System (RSA) Administration Account Retirement System (1401,1405) (A.R.S. § 38-721)	Partially Appropriated/See Individual Fund
Public Employee's Retirement Trust Fund (NA) (A.R.S. § 38-712)	Custodial Monies
Long-Term Disability Trust (NA) (A.R.S. § 38-797.02)	Custodial Monies
Department of Revenue (RVA) Escheated Revenues (3745)	See Individual Fund
Excise & Privilege (1510)	Clearing Account
Estate and Unclaimed (1520)	Appropriated/See Individual Fund
Illegal Income (2334) (A.R.S. § 43-207)	Inactive
Income Tax/Tax Refund Fund (2069) (A.R.S. § 42-128)	Clearing Account
Liability Setoff Revolving (2179)	See Individual Fund
Property Tax Relief (3718)	Inactive
Publication Revolving (2166)	See Individual Fund
Urban Revenue Sharing (2074)	Clearing Account
Special Collections (2168)	See Individual Fund
Tax Protest Resolution Fund (3718)	Clearing Account
Tobacco Tax and Health Care (1306)	See Individual Funds in AHCCCS, DHS, DOC
Waste Tire (2356)	See Individual Funds in DOR and DEQ
School Capital Facilities Board School Capital Equity Fund (EDA 2273)	See Individual Fund
Secretary of State (STA) Arizona Blue Book (2006)	See Individual Fund
Data Processing Acquisition (2265)	See Individual Fund
Special Fund for Reimbursement of County Recordors (NA) (A.R.S. § 19-121.05)	Inactive
Structural Pest Control Commission (SBA) SPCC Fund (2050)	Appropriated
SPCC Inspection Support (2039)	Appropriated
Board of Technical Registration Fund (TEA 2070)	Appropriated
Office of Tourism (TOA) Tourism (2236)	Receives only appropriated funds
Workshop (3163)	See Individual Fund
State Treasurer (TRA) Agriculture & Mechanical Colleges Land (3301)	Trust

**FUND**

A.S.U./N.A.U. State College Land (3314)  
Budget Stabilization Fund (3034)  
Cash Deposit - Lieu of Bond (3020)  
County Assistance Fund (3751)  
CAWCD - Ak Chin Water Supply (3188)  
Criminal Justice Enhancement (3702)  
Deaf & Blind School (3313)  
Disproportionate Share (1019)  
East Maricopa Floodway (3753)  
Executive, Legislative, Judicial Land (3305)  
  
Fire Fighters/Emergency Paramedics, AZ (3033)  
Forest Reserve (3730)  
Garnishment & Levies (3164)  
Local Government Investment Pool (3166)  
Local Transportation Assistance (3747)  
McFarland Memorial Fund (1404)  
Military Institutions Land (3307)  
Miners Hospital Land (3316)  
Miners Hospital Land 1929 (3308)  
Peace Officers Memorial Fund, Arizona (3191)  
Penitentiary Land (3311)  
Permanent School Land (3312)  
Plan Six Facility Fund - CAWCD (3792)  
Political Parties Trust (3202)  
Public Roads & Education (2059)  
  
Riparian Acquisition Trust (3322)  
Risk Management Pool Fund (3794)  
School District Housing Facility Fund (3750)  
School of Mines Land (3309)  
Special Olympics Fund (3207)  
State Charitable, Penal and Reformatories Land (3302)  
  
State Hospital Land (3304)  
Taylor Grazing Act (3729)  
Telephone Solicitation Cash Bond (3798)  
Treasurer Administrative (3736)  
Treasurer's Arbitrage Earnings (3012)  
Treasurer's Condemnation (3157)  
Treasurer's Fund (7090)  
Treasurer's Warrant Note Redemption (3183)  
University Land (3315)  
University Land 1881 (3317)  
Urban In Lieu Payment Fund (3715)

**STATUS**

Trust  
Receives Appropriated Funds  
Clearing Account  
See Lottery Fund Distribution  
Custodial Monies  
See Individual Funds in various agencies  
Trust  
Custodial Monies  
Custodial Monies  
Trust (See Public Buildings Land Earnings in ADOA)  
Inactive  
Clearing Account  
Custodial Monies  
Custodial Monies  
See Lottery Fund Distribution  
Inactive  
Trust  
Trust  
Trust  
Inactive  
Trust  
Trust  
Custodial Monies  
Clearing Account  
Clearing Account. Monies are distributed to the Highway Fund and Permanent State School Fund. (A.R.S. § 37-741)  
Custodial Monies. See Land Department.  
Custodial Monies  
Inactive  
Trust  
See Individual Fund in DES  
Trust. (The Trust-Earnings are appropriated to Department of Corrections, Department of Juvenile Corrections and Pioneers' Home)  
Trust  
Clearing Account  
Custodial Monies  
Custodial Monies  
Clearing Account  
Custodial Monies  
Clearing Account  
Clearing Account  
Trust  
Trust  
Clearing Account

**FUND****Veterans' Service Commission (VSA)**

State Home for Veterans' Trust (2355)  
Desert Storm Memorial (3703)  
Veterans' Conservatorship (2077)  
Veterans' Fiduciary (3218)

**STATUS**

Appropriated  
See Individual Fund  
Appropriated  
Custodial Monies

**Board of Veterinary Medical Examining Fund (VTA 2078)**

Appropriated

**Department of Water Resources (WCA)**

Administrative (3025)  
Augmentation and Conservation (2213)  
Arizona Water Banking (2110)  
Arizona Water Protection (1302)  
Emergency Dam Repair (2218)  
Flood Control Loan (3319)  
Flood Warning System (1021)  
General Adjudications (2191)  
Groundwater Enforcement (2135)  
Non-Emergency Dam Repair (2219)  
Purchase and Retirement (NA)  
State Water Storage (2287)  
Surface and Groundwater Trust (3101)

See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
See Individual Fund  
Inactive until 2006  
See Individual Fund  
See Individual Fund

**OTHER <sup>1/</sup>****Multi-County Water Conservation District (WTA)**

Fund for Acquiring and Conserving Supplemental  
Water (A.R.S. § 48-3715.01)  
Replenishment Obligations (A.R.S. § 48-3773)

Non-state funds  
Non-state funds

**School Districts**

District Services (A.R.S. § 15-1108)

Non-state funds

**County**

Child Support Automation (A.R.S. § 12-2462)  
Children's Issue Education (A.R.S. § 25-354)  
Expedited Child Support and Visitation (A.R.S. § 25-340)  
Local Alternative Dispute Resolution (A.R.S. § 12-135.01)  
Document Storage and Retrieval Conversion &  
Maintenance Fund (A.R.S. § 11-475.01)  
Special Fund for Reimbursement of County Recorders  
(A.R.S. § 19-208.05)

Non-state funds  
Non-state funds  
Non-state funds  
Non-state funds  
Non-State Fund (Board of Supervisors  
Administer Fund)  
Non-State Funds

<sup>1/</sup> Does not represent an exhaustive list of other funds authorized in Arizona Revised Statutes.

**RECOMMENDATIONS TO ELIMINATE OR  
CONSOLIDATE 10% OF ALL FUNDS  
AND  
TO CONVERT 5% OF NON-APPROPRIATED FUND  
EXPENDITURES TO APPROPRIATED STATUS**



**RECOMMENDATIONS TO  
ELIMINATE OR CONSOLIDATE  
10% OF ALL FUNDS**

<u>ANNUAL BUDGET UNITS</u> <sup>1/</sup>	<u>Reason</u>	<u>Page #</u>
<u>Administration, Arizona Department of</u>		
• DES Administrative Adjustment Fund (AAA1018)	Inactive <sup>2/</sup>	A-1
• Federal Revenue Sharing (ADA2158)	Inactive	A-1
• Risk Management Insurance (AAA3748)	No longer needed	A-1
• Telecommunications (ADA4206)	Inactive: Statutory authority repealed	A-1
• Underground Storage Tank Revolving Fund (ADA2271)	Inactive <sup>2/</sup>	A-1
• Vendor Services Fund (AAA2401)	Inactive	A-2
<u>Arizona Health Care Cost Containment System (HCA)</u>		
• Long Term Care Third Party Liability (3019)	Consolidate with AHCCCS Third Party Collections	A-2
<u>Economic Security, Department of (DEA)</u>		
• Child Support Enforcement (3195)	Inactive	A-2
• DES Administrative Adjustments (1018)	Inactive	A-2
• DES Flood Relief (3186)	Inactive	A-3
• Prelayoff Assistance Services (n/a)	Inactive	A-3
• Private Donations, Resource Development (3202)	Consolidate with DES Donations	A-3
• Rate Payor Assistance Fund (n/a)	Inactive <sup>2/</sup>	A-3
• Rate Payor Assistance Trust Fund (2347)	Inactive	A-3
<u>Education, Department of (EDA)</u>		
• At-Risk Preschool Pilot (2101)	Inactive	A-4
• Environmental Education (2302)	Inactive	A-4
• Environmental Special Plate Number (2354)	Inactive <sup>2/</sup>	A-4
• State Council on Vocational Education (VEA2188)	Inactive	A-4
• Tuition Fund (1008)	Inactive	A-4
<u>Health Services, Department of (HSA)</u>		
• Arizona State Hospital - Donation (3115)	Consolidate with DHS Donations	A-4
• Arizona State Hospital Enterprise Fund (4207)	Consolidate with ASH Fund	A-5
• Arizona State Hospital - Patient Benefit Fund (3173)	Consolidate with DHS Donations	A-5
• Child Abuse Prevention Fund (2161)	Duplicates another fund	A-5
• Residency Training Fund (2208)	Inactive	A-5
• Southern Arizona Mental Health Center - Patient Benefit Fund (3116)	Inactive	A-6
<u>Judiciary (SPA)</u>		
• Drug Study (2088)	Inactive <sup>2/</sup>	A-6
<u>Juvenile Corrections, Department of (DJA)</u>		
• Special Services Fund (3735)	Inactive <sup>2/</sup>	A-6
<u>Regents, Board of (BRA)</u>		
• State Postsecondary Review Program - SPRE (2100)	Inactive <sup>2/</sup>	A-6

	<u>Reason</u>	<u>Page #</u>
<u>Transportation, Department of (DTA)</u>		
• Abandoned Vehicle Administration (2099)	Consolidate with State Highway Fund	A-6
• Dealer Enforcement (2292)	Consolidate with State Highway Fund	A-7
• Special Plate (3731)	Inactive	A-7
 <b><u>BIENNIAL BUDGET UNITS</u></b>		
<u>Agriculture, Arizona Department of (AHA)</u>		
• Yuma County Citrus Pest Control (2145)	Inactive	A-7
<u>Attorney General - Department of Law (AGA)</u>		
• Public Accommodations (2021)	Inactive	A-7
<u>Building and Fire Safety, Department of (MMA)</u>		
• Arson Detection Reward (2169)	Consolidate with Building and Fire Safety Fund	A-8
<u>Commerce, Department of (EPA)</u>		
• Commerce and Solar Energy (1020)	Inactive	A-8
• Minority Business Fund (3000)	Inactive	A-8
<u>Contractors, Registrar of (RGA)</u>		
• Solar Qualification Assurance (2324)	Inactive: Statutory authority repealed	A-8
<u>Arizona State Schools for the Deaf and the Blind (SDA)</u>		
• Local Grants (2181)	Consolidate with Non-Federal Grants Fund	A-8
<u>Commission on the Arizona Environment (ENA)</u>		
• Donations Fund (3002)	Inactive: Statutory authority repealed	A-9
• Special Revolving Fund (2210)	Inactive: Statutory authority repealed	A-9
<u>Department of Environmental Quality (EVA)</u>		
• Circle K Settlement Fund (3003)	Consolidate with Donations and Settlement Fund	A-9
• Landfill Trust Fund (3028)	Consolidate with Donations and Settlement Fund	A-9
<u>Arizona Game and Fish Department (GFA)</u>		
• Game and Fish Donations (3100)	Consolidate with Trust Fund	A-9
<u>Geological Survey, Arizona (GSA)</u>		
• Donations Fund (3030)	Rename Geological Survey Fund <sup>2/</sup>	A-10
• Intergovernmental Grants (2800)	Consolidate with Geological Survey Fund	A-10
• Oil and Gas Drilling Bond (3704)	Consolidate with Geological Survey Fund	A-10
• Printing Revolving (2233)	Consolidate with Geological Survey Fund	A-10
<u>Governor, Office of the (GVA)</u>		
• Gang and Substance Abuse Prevention Fund (GVA2327)	Inactive	A-11
• Western Region NASBO Conference (2402)	Inactive	A-11
<u>Hearing Impaired, Council for the (DFA)</u>		
• Donations (3162)	Consolidate with Federal Grants	A-11

	<u>Reason</u>	<u>Page #</u>
<u>Industrial Commission of Arizona (ICA)</u>		
• Federal Grants (2095)	Duplicates another Fund	A-11
• Industrial Commission Employment Deposits (3303)	Consolidate with Revolving Fund	A-11
<u>Insurance, Department of (IDA)</u>		
• Joint Underwriting Plan (2073)	Rename Assessments Fund <sup>2/</sup>	A-12
• Small Employer Reinsurance Fund (2092)	Consolidate with Assessments Fund	A-12
<u>Land Department, State (LDA)</u>		
• Interagency Agreements (2212)	Inactive	A-12
<u>Department of Liquor Licenses and Control (LLA)</u>		
• Bonds and CDs Fund (3230)	Inactive	A-12
• Racketeering Fund (3018)	Inactive	A-13
<u>Parks, State Board of (PRA)</u>		
• Arizona Conservation Corps (2294)	Inactive	A-13
• Historic Property Rehabilitation (n/a)	Inactive	A-13
• Trails Fund (2262)	Consolidate with Donations Fund	A-13
<u>Commission for Postsecondary Education (PEA)</u>		
• State Postsecondary Review Entity - SPRE (2100)	Inactive	A-13
<u>Department of Public Safety (PSA)</u>		
• Donations Fund (3123)	Consolidate with DPS Administration	A-14
• FBI Fingerprint Fund (2159)	Consolidate with Records Processing	A-14
<u>Radiation Regulatory Agency (AEA)</u>		
• Radiation Regulatory and Perpetual Care (3118)	Inactive	A-14
<u>Real Estate Department (REA)</u>		
• Housing Trust Fund (2257)	Inactive <sup>2/</sup>	A-14
<u>Revenue, Department of (RVA)</u>		
• Illegal Income Fund (2334)	Inactive	A-15
• Property Tax Relief Fund (3717)	Inactive: Statutory authority repealed	A-15
<u>Treasurer, State (TRA)</u>		
• McFarland Memorial Fund (1404)	Inactive	A-15
• State Council on Vocational Education (TRA2188)	Inactive <sup>2/</sup>	A-15
<u>Veterans' Service Commission (VSA)</u>		
• Desert Storm Memorial Fund (3703)	Inactive	A-15
<u>Water Resources, Department of (WCA)</u>		
• Groundwater Enforcement (2135)	Inactive	A-16
• Permits Administration (2328)	Consolidate with WQARF <sup>2/</sup>	A-16
• Surface and Groundwater Trust (3101)	Inactive	A-16

<sup>1/</sup> Each agency's 3-letter agency identification code and each fund's 4-digit fund number are shown in parentheses. These identification codes correspond with those in the statewide accounting system.

<sup>2/</sup> These funds do not count toward the 10% target.

**RECOMMENDATIONS TO CONVERT 5%  
OF NON-APPROPRIATED FUND EXPENDITURES TO  
APPROPRIATED STATUS**

<b><u>ANNUAL BUDGET UNITS</u></b> <sup>1/</sup>	<b><u>Annual Expenditure Level</u></b> <sup>2/</sup>	<b><u>Page #</u></b>
<u>Administration, Arizona Department of (ADA)</u>		
• COP Building Operating and Maintenance Fund (1025)	\$1,852,800	B-1
• Special Employee Health Insurance Trust Fund (AAA3015) — Administrative Expenses	1,860,200	B-1
• Special Services Revolving (4208) — State Boards' Office	185,500	B-1
<u>Arizona Health Care Cost Containment System (HCA)</u>		
• Health Care Group (3197) — AHCCCS Administrative Expenses	920,000	B-1
<u>Corrections, Department of (DCA)</u>		
• Jail Enhancement (2035)	2,746,200	B-2
<u>Economic Security, Department of (DEA)</u>		
• Domestic Violence Shelter (2160)	876,200	B-2
• Temporary Assistance for Needy Families (TANF) Block Grant and Child Care Block Grant (CCBG) (2000)	272,203,000 <sup>3/</sup>	B-2
<u>Health Services, Department of (HSA)</u>		
• Newborn Screening Program Fund (2184)	1,764,400	B-2
<u>Judiciary (SPA)</u>		
• Community Punishment Program (2075)	519,700 <sup>3/</sup>	B-3
• Defensive Driving Fund (2247)	3,322,600	B-3
<u>Transportation, Department of (DTA)</u>		
• Equipment Revolving (2071)	26,441,800	B-3
<b><u>BIENNIAL BUDGET UNITS</u></b>		
<u>Attorney General (AGA)</u>		
• Arizona Prosecuting Attorneys Advisory Council (2057)	921,000	B-4
• County Attorneys (2068)	2,193,700	B-4
<u>Arizona Coliseum &amp; Exposition Center Board (CLA)</u>		
• Capital Outlay (4006)	400,000	B-4
<u>Drug and Gang Prevention Resource Center</u>		
• Grants and Contracts (n/a)	1,556,100	B-4
<u>Environmental Quality, Department of (EVA)</u>		
• Air Quality Fund (2226)	2,486,200	B-5
• Used Oil Fund (3500)	156,200	B-5

Public Safety, Department of (PSA)

• Peace Officers Training Fund (2049)	4,000,200	B-5
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Public Safety Personnel Retirement System (PSPRS)

• Public Safety Personnel Retirement Fund (n/a) — Administrative Expenses	1,418,900	B-5
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Racing, Department of (RCA)

• Administration of County Fairs Racing Betterment & Breeders Award (2018)	49,800	B-6
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Revenue, Department of (RVA)

• Liability Setoff Revolving (2179)	200,000	B-6
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TOTAL

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\$326,074,500

1/ Each agency's 3-letter agency identification code and each fund's 4-digit fund number are shown in parenthesis. These identification codes correspond with those in the statewide accounting system.

2/ Represents estimated FY 1997 expenditures, unless otherwise adjusted.

3/ This amount represents an estimate of annualized expenditures.