

## Arizona State University - West Campus

	FY 2015 ACTUAL	FY 2016 ESTIMATE	FY 2017 BASELINE
<b>OPERATING BUDGET</b>			
<i>Full Time Equivalent Positions</i>	562.9	521.1	521.1
Personal Services	34,612,000	33,476,400	33,476,400
Employee Related Expenditures	10,545,200	11,102,900	11,102,900
Professional and Outside Services	3,753,000	2,931,700	2,931,700
Travel - In State	42,200	42,900	42,900
Travel - Out of State	73,000	62,200	62,200
Other Operating Expenditures	24,735,400	25,220,300	25,587,800
Equipment	559,700	159,100	159,100
<b>OPERATING SUBTOTAL</b>	<b>74,320,500</b>	<b>72,995,500</b>	<b>73,363,000</b>
<b>SPECIAL LINE ITEMS</b>			
TRIF Lease-Purchase Payment	1,600,000	1,600,000	1,600,000
<b>AGENCY TOTAL</b>	<b>75,920,500</b>	<b>74,595,500</b>	<b>74,963,000</b>
<b>FUND SOURCES</b>			
General Fund	33,328,100	28,754,100	29,121,600
<u>Other Appropriated Funds</u>			
Technology and Research Initiative Fund	1,600,000	1,600,000	1,600,000
University Collections Fund	40,992,400	44,241,400	44,241,400
SUBTOTAL - Other Appropriated Funds	42,592,400	45,841,400	45,841,400
<b>SUBTOTAL - Appropriated Funds</b>	<b>75,920,500</b>	<b>74,595,500</b>	<b>74,963,000</b>
Other Non-Appropriated Funds	34,391,300	51,596,400	53,429,200
Federal Funds	12,359,000	12,608,400	12,608,400
<b>TOTAL - ALL SOURCES</b>	<b>122,670,800</b>	<b>138,800,300</b>	<b>141,000,600</b>

**AGENCY DESCRIPTION** — Established as a separate budget unit in 1984, Arizona State University - West Campus (ASU - West) currently offers 55 Baccalaureate, selective Master's, and Doctoral degree programs in the professional and liberal arts and 18 undergraduate certificate programs to 17,751 full- and part-time students. ASU - West is located at a 277-acre site in northwest Phoenix.

### Operating Budget

The Baseline includes \$73,363,000 and 521.1 FTE Positions in FY 2017 for the operating budget. These amounts consist of:

	<b>FY 2017</b>
General Fund	\$29,121,600
University Collections Fund	44,241,400

FY 2017 adjustments would be as follows:

**Additional Resources**                      **GF**                      **367,500**  
The Baseline includes an increase of \$367,500 from the General Fund in FY 2017 for ASU - West's share of additional university resources. The 3-year budget plan associated with the enacted FY 2016 budget included \$8,200,000 in system-wide ongoing additional resources for the university system beginning in FY 2017. *(Please*

*see the Arizona Board of Regents (ABOR) section for more information.)*

Student enrollment growth and tuition and fee increases for students will contribute to an increase in university tuition and fee collections. The University Collections Fund amount will ultimately be adjusted to reflect these additional revenues. *(Please see the ABOR section for more information.)*

### TRIF Lease-Purchase Payment

The Baseline includes \$1,600,000 from the Technology and Research Initiative Fund (TRIF) in FY 2017 for lease-purchase payment requirements. This amount is unchanged from FY 2016.

Laws 2000, 5<sup>th</sup> Special Session, Chapter 1 appropriated \$1,100,000 from TRIF to make the initial lease-purchase

payment in FY 2002 on \$21,600,000 in infrastructure development at ASU - West. Beginning in FY 2003, the lease-purchase payment increased to \$1,600,000, which will be the annual lease-purchase payment through FY 2021.

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**FORMAT** — Operating Lump Sum with Special Line Items by Agency

**FOOTNOTES**

*Standard Footnotes (Please see the ABOR section for university-wide footnotes.)*

It is the intent of the Legislature that the state General Fund base funding for Arizona State University - West Campus is \$29,121,600. This appropriation includes a deferral of \$10,064,800 from FY 2017 to FY 2018. This deferral shall be paid as required in this act. *(Please see Other Issues for more information.)*

**Other Issues**

**FY 2016 Supplemental**

The FY 2017 Baseline would include a FY 2016 supplemental appropriation of \$2,283,200 from the General Fund to ASU for health insurance adjustments. This supplemental would be divided between all ASU campuses. In FY 2017, ASU's appropriation would be further adjusted for the annualization of savings associated with lower employer contribution rates for health insurance. *(Please see the Health Insurance Trust Fund section in the ABOR narrative for more information.)*

**Payment Deferral**

The Baseline includes \$10,064,800 from the General Fund for FY 2016 payments that were deferred until FY 2017 and includes a FY 2017 General Fund payment deferral of \$(10,064,800) until FY 2018. These amounts constitute a portion of a \$200,000,000 university-wide payment deferral. The payment deferral is unchanged from FY 2011. *(Please see the ABOR section for more information.)*

The FY 2017 General Fund Baseline is \$29,121,600. Of this amount:

- \$19,056,800 would be included in ASU - West's individual section of the FY 2017 General Appropriation Act.

- \$10,064,800 was appropriated in the FY 2016 General Appropriation Act to reflect the deferral of FY 2016 monies to FY 2017.

**University Collections and FTE Positions Adjustments**

The FY 2016 General Appropriation Act (Laws 2015, Chapter 8) appropriated \$40,917,600 from the University Collections Fund to ASU - West in FY 2016. The Collections Fund for ASU - West represents a portion of tuition, fees and a portion of land earnings. *(Please see ABOR Other Issues for more information.)* If collections exceed the appropriated amount, the annual General Appropriation Act includes a footnote permitting the universities to expend those amounts as well and a separate footnote requiring the submission of a report detailing any adjustments to the appropriated amounts.

ABOR sets tuition in the spring (independent of the legislative process). Any revised tuition rates as well as changes in enrollment can result in collections exceeding the appropriated amount. The Universities reported the adjustments to the University Collections Fund for FY 2016 to the Joint Legislative Budget Committee in July 2015, and ASU incorporated the adjusted FY 2016 amounts as part of their FY 2017 budget submission. Accordingly, the FY 2016 amount in the Baseline has been adjusted upward by \$3,323,800 to \$44,241,400.

The Baseline also adjusts the number of FTE Positions in FY 2016 to align with General Fund and Collections Fund tuition revenue adjustments. Laws 2015, Chapter 8 originally appropriated 562.9 FTE Positions in FY 2016 for the ASU - West Campus. The General Fund accounted for 252.3 FTE Positions and the University Collections Fund accounted for the remaining 310.6 FTE Positions. The Baseline decreases the total amount of FY 2016 FTE Positions by (41.8) to 521.1. The General Fund accounts for 205.3 FTE Positions and the University Collections Fund accounts for the remaining 315.8 FTE Positions. The total number and fund source of FTE Positions changed because adjustments to FTE Positions have not been made in several years.

SUMMARY OF FUNDS	FY 2015 Actual	FY 2016 Estimate
<b>Auxiliary Fund (UNI8906/A.R.S. § 15-1601)</b>		<b>Non-Appropriated</b>
<b>Source of Revenue:</b> Sales revenues of substantially self-supporting university services.		
<b>Purpose of Fund:</b> To provide university-related non-academic services for students, faculty, staff, and the public. Auxiliary enterprises include student housing, bookstores, student unions, and intercollegiate athletics.		
<b>Funds Expended</b>	276,200	286,300
<b>Year-End Fund Balance</b>	55,100	55,200
<b>Designated Fund (UNI8905/A.R.S. § 15-1601)</b>		<b>Non-Appropriated</b>
<b>Source of Revenue:</b> Retained tuition and fees, summer session fees, student aid administrative allowances, and unrestricted gifts and grants. Tuition and mandatory registration fees comprise \$41,104,800 and \$39,090,700 of the total FY 2015 and FY 2016 revenue, respectively.		
<b>Purpose of Fund:</b> To provide and administer student financial aid, to pay debt service on university bonds, and to run summer and winter session programs. The university designates monies in the fund into sub-accounts for specific purposes. Indirect Cost Recovery, a Designated Fund sub-account, is presented separately.		
<b>Funds Expended</b>	29,910,000	47,020,200
<b>Year-End Fund Balance</b>	8,293,700	8,293,800
<b>Endowment and Life Income Fund (UNI8904/A.R.S. § 15-1601)</b>		<b>Non-Appropriated</b>
<b>Source of Revenue:</b> Interest income on invested endowment and life income gifts, a portion of financial aid trust fees assessed to students, and a portion of university trust land earnings.		
<b>Purpose of Fund:</b> To support endowment operations and compensate designated beneficiaries.		
<b>Funds Expended</b>	0	0
<b>Year-End Fund Balance</b>	33,300	33,300
<b>Federal Grants (UNI8903/A.R.S. § 15-1666)</b>		<b>Non-Appropriated</b>
<b>Source of Revenue:</b> Federal grants and contracts.		
<b>Purpose of Fund:</b> To support specific operating and research purposes as identified by the federal government.		
<b>Funds Expended</b>	12,359,000	12,608,400
<b>Year-End Fund Balance</b>	0	0
<b>Restricted Fund (Excluding Federal Funds) (UNI8907/A.R.S. § 15-1601)</b>		<b>Non-Appropriated</b>
<b>Source of Revenue:</b> Private and non-federal grants, including non-appropriated Technology and Research Initiative Fund grants ( <i>please see the ABOR Summary of Funds</i> ), as well as a portion of financial aid trust fees assessed to students.		
<b>Purpose of Fund:</b> To support specific operating and research purposes as identified by the private or non-federal donating entities.		
<b>Funds Expended</b>	4,205,100	4,289,900
<b>Year-End Fund Balance</b>	4,716,100	5,612,900
<b>Technology and Research Initiative Fund (UNI2472/A.R.S. § 15-1648)</b>		<b>Appropriated</b>
<b>Source of Revenue:</b> Automatic appropriations of a portion of a 0.6% sales tax, as authorized by voters through Proposition 301 in the November 2000 General Election and as governed by statute.		
<b>Purpose of Fund:</b> To make lease-purchase payments on certificates of participation used for technology and research capital projects.		
<b>Funds Expended</b>	1,600,000	1,600,000
<b>Year-End Fund Balance</b>	0	0

SUMMARY OF FUNDS	FY 2015 Actual	FY 2016 Estimate
<b>University Collections Fund (ASA1411/A.R.S. § 15-1626)</b>		<b>Appropriated</b>
<b>Source of Revenue:</b> Tuition and registration fees, as well as a portion of university trust land earnings. Tuition and mandatory registration fees comprise \$33,090,100 and \$43,792,500 of the total FY 2015 and FY 2016 revenue, respectively.		
<b>Purpose of Fund:</b> To operate the university.		
<b>Funds Expended</b>	40,992,400	44,241,400
<b>Year-End Fund Balance</b>	100	100