

Arizona State University - West Campus

	FY 2015 ACTUAL	FY 2016 ESTIMATE	FY 2017 APPROVED
OPERATING BUDGET			
<i>Full Time Equivalent Positions</i>	562.9	521.1	521.1
Personal Services	34,612,000	33,681,900	33,476,400
Employee Related Expenditures	10,545,200	11,102,900	11,207,700
Professional and Outside Services	3,753,000	2,931,700	2,931,700
Travel - In State	42,200	42,900	42,900
Travel - Out of State	73,000	62,200	62,200
Other Operating Expenditures	24,735,400	25,220,300	25,642,500
Equipment	559,700	159,100	159,100
OPERATING SUBTOTAL	74,320,500	73,201,000	73,522,500
SPECIAL LINE ITEMS			
Elimination of Payment Deferral	0	10,064,800 ^{1/}	0
TRIF Lease-Purchase Payment	1,600,000	1,600,000	1,600,000
AGENCY TOTAL	75,920,500	84,865,800	75,122,500 ^{2/3/}
FUND SOURCES			
General Fund	33,328,100	39,024,400	29,281,100 ^{4/5/6/}
<u>Other Appropriated Funds</u>			
Technology and Research Initiative Fund	1,600,000	1,600,000	1,600,000
University Collections Fund	40,992,400	44,241,400	44,241,400 ^{7/}
SUBTOTAL - Other Appropriated Funds	42,592,400	45,841,400	45,841,400
SUBTOTAL - Appropriated Funds	75,920,500	84,865,800	75,122,500
Other Non-Appropriated Funds	34,391,300	51,596,400	53,429,200
Federal Funds	12,359,000	12,608,400	12,608,400
TOTAL - ALL SOURCES	122,670,800	149,070,600	141,160,100

AGENCY DESCRIPTION — Established as a separate budget unit in 1984, Arizona State University - West Campus (ASU - West) currently offers 55 Baccalaureate, selective Master's, and Doctoral degree programs in the professional and liberal arts and 18 undergraduate certificate programs to 17,751 full- and part-time students. ASU - West is located at a 277-acre site in northwest Phoenix.

- ^{1/} The FY 2017 General Appropriation Act includes a one-time FY 2016 supplemental General Fund appropriation of \$10,064,800 for the elimination of ASU - West's share of the universitywide payment deferral.
- ^{2/} The appropriated monies may not be used for scholarships or any student newspaper. (General Appropriation Act footnote)
- ^{3/} General Appropriation Act funds are appropriated as an Operating Lump Sum with Special Line Items by Agency.
- ^{4/} The state General Fund appropriation may not be used for alumni association funding. (General Appropriation Act footnote)
- ^{5/} The FY 2017 General Fund budget as adjusted for statewide adjustments is \$29,281,100. This amount includes \$29,176,300 in ASU - West's individual section of the FY 2017 General Appropriation Act and \$104,800 for statewide adjustments.
- ^{6/} The increased state General Fund appropriation from Laws 2014, Chapter 18 may not be used for medical marijuana research. (General Appropriation Act footnote)
- ^{7/} Any unencumbered balances remaining in the collections account on June 30, 2016 and all collections received by the university during the fiscal year, when paid into the State Treasury, are appropriated for operating expenditures, capital outlay and fixed charges. Earnings on state lands and interest on the investment of the Permanent Land Funds are appropriated in compliance with the Enabling Act and the Constitution of Arizona. No part of this appropriation may be expended for supplemental life insurance or supplemental retirement. Monies from summer session, when deposited in the State Treasury, together with any unencumbered balance in the summer session account, are appropriated for the purpose of conducting summer sessions but are excluded from the amounts enumerated above. (General Appropriation Act footnote)

Operating Budget

The budget includes \$73,522,500 and 521.1 FTE Positions in FY 2017 for the operating budget. This amount consists of:

	FY 2017
General Fund	\$29,281,100
University Collections Fund	44,241,400

These amounts fund the following adjustments:

Additional Resources

The budget includes an increase of \$422,200 from the General Fund in FY 2017 for ASU - West's share of additional university resources. *(Please see the University Additional Resources narrative in the Arizona Board of Regents (ABOR) section for more information.)*

Statewide Adjustments

The budget includes a decrease of \$(100,700) from the General Fund in FY 2017 for statewide adjustments.

This amount reflects ASU - West's allocation of state health insurance premium costs in FY 2017 compared to its revised FY 2016 allocation. *(Please see the Health Insurance Trust Fund narrative in the ABOR section and the Agency Detail and Allocations section for more information.)*

Student enrollment growth and tuition and fee increases for students will contribute to an increase in university tuition and fee collections. The University Collections Fund amount will ultimately be adjusted to reflect these additional revenues. *(Please see the ABOR section for more information.)*

Elimination of Payment Deferral

The budget includes no funding in FY 2017 for the Elimination of Payment Deferral line item. This amount funds the following adjustments:

Remove One-Time Funding

The budget includes a decrease of \$(10,064,800) from the General Fund in FY 2017 to remove a one-time payment to eliminate ASU - West's share of the universitywide payment deferral in FY 2016. *(Please see the Payment Deferral narrative in the ABOR section for more information.)*

TRIF Lease-Purchase Payment

The budget includes \$1,600,000 from the Technology and Research Initiative Fund (TRIF) in FY 2017 for lease-purchase payment requirements. This amount is unchanged from FY 2016.

Laws 2000, 5th Special Session, Chapter 1 appropriated \$1,100,000 from TRIF to make the initial lease-purchase payment in FY 2002 on \$21,600,000 in infrastructure development at ASU - West. Beginning in FY 2003, the lease-purchase payment increased to \$1,600,000, which will be the annual lease-purchase payment through FY 2021.

Other Issues

FY 2016 Supplemental

The budget includes \$205,500 from the General Fund in FY 2016 for a one-time supplemental for the revised allocation of health insurance premium adjustments. *(Please see the Health Insurance Trust Fund narrative in the ABOR section for more information.)*

Summary

ASU - West's FY 2017 General Fund budget is \$29,281,100. Of this amount:

- 29,176,300 is included in ASU - West's individual section of the FY 2017 General Appropriation Act.
- \$104,800 is part of statewide adjustments.

University Collections and FTE Positions Adjustments

The FY 2016 General Appropriation Act (Laws 2015, Chapter 8) appropriated \$40,917,600 from the University Collections Fund to ASU - West in FY 2016. The Collections Fund for ASU - West represents a portion of tuition, fees and a portion of land earnings. *(Please see ABOR Other Issues for more information.)* If collections exceed the appropriated amount, the annual General Appropriation Act includes a footnote permitting the universities to expend those amounts as well and a separate footnote requiring the submission of a report detailing any adjustments to the appropriated amounts.

ABOR sets tuition in the spring (independent of the legislative process). Any revised tuition rates as well as changes in enrollment can result in collections exceeding the appropriated amount. The Universities reported the adjustments to the University Collections Fund for FY

2016 to the Joint Legislative Budget Committee in July 2015, and ASU incorporated the adjusted FY 2016 amounts as part of their FY 2017 budget submission. Accordingly, the FY 2016 amount in the budget has been adjusted upward by \$3,323,800 to \$44,241,400.

The budget also adjusts the number of FTE Positions in FY 2016 to align with General Fund and Collections Fund tuition revenue adjustments. Laws 2015, Chapter 8 originally appropriated 562.9 FTE Positions in FY 2016 for the ASU - West Campus. The General Fund accounted for 252.3 FTE Positions and the University Collections Fund accounted for the remaining 310.6 FTE Positions. The budget decreases the total amount of FY 2016 FTE Positions by (41.8) to 521.1. The General Fund accounts for 205.3 FTE Positions and the University Collections Fund accounts for the remaining 315.8 FTE Positions. The total number and fund source of FTE Positions changed because adjustments to FTE Positions have not been made in several years.