

Arizona State University - Tempe/DPC

	FY 2010 ACTUAL	FY 2011 ESTIMATE	FY 2012 BASELINE
OPERATING BUDGET			
<i>Full Time Equivalent Positions</i>	6,562.0	6,868.8	6,868.8
Personal Services	294,254,500	359,013,900	359,013,900
Employee Related Expenditures	100,227,200	104,353,100	104,353,100
Professional and Outside Services	33,448,900	23,231,700	23,231,700
Travel - In State	213,900	218,700	218,700
Travel - Out of State	2,644,300	2,382,500	2,382,500
Library Acquisitions	9,259,300	8,783,200	8,783,200
Other Operating Expenditures	56,500,700	67,614,400	78,560,100
Equipment	12,889,400	11,809,400	11,809,400
OPERATING SUBTOTAL	509,438,200	577,406,900	588,352,600
SPECIAL LINE ITEMS			
Biomedical Informatics	2,457,600	2,056,800	2,056,800
Downtown Phoenix Campus	70,798,000	86,354,300	93,626,400
Research Infrastructure Lease-Purchase Payment	13,555,000	13,555,000	13,555,000
AGENCY TOTAL	596,248,800	679,373,000	697,590,800
FUND SOURCES			
General Fund	288,211,000	326,349,100	326,349,100
<u>Other Appropriated Funds</u>			
University Collections Fund	308,037,800	353,023,900	371,241,700
SUBTOTAL - Other Appropriated Funds	308,037,800	353,023,900	371,241,700
SUBTOTAL - Appropriated Funds	596,248,800	679,373,000	697,590,800
Other Non-Appropriated Funds	598,181,000	722,063,200	736,681,400
Federal Funds	258,984,300	238,742,600	246,151,000
TOTAL - ALL SOURCES	1,453,414,100	1,640,178,800	1,680,423,200

AGENCY DESCRIPTION — Established in 1885, Arizona State University (ASU) is one of 3 public universities governed by the Arizona Board of Regents (ABOR). As a primary research institution, ASU offers 272 Baccalaureate, Master’s, Doctoral and first professional degree programs, and 56 undergraduate and graduate certificate programs to 58,371 full- and part-time students at its Tempe Campus. The Downtown Phoenix Campus (DPC), budgeted as a unit of the Tempe Campus, offers 48 degree programs and 23 certificate programs to 13,567 full- and part-time students.

Operating Budget

The Baseline includes \$588,352,600 and 6,086.5 FTE Positions for the operating budget in FY 2012. These amounts consist of:

	FY 2012
General Fund	\$281,488,000
University Collections Fund	306,864,600

FY 2012 adjustments would be as follows:

Enrollment Growth OF 10,945,700

The Baseline includes an increase of \$10,945,700 from the University Collections Fund for enrollment growth in FY 2012. The Baseline includes no funding for General Fund enrollment growth. If General Fund enrollment growth was funded, it would have provided an increase of \$11,375,500. Formula enrollment is projected to grow by

1,457 full-time equivalent (FTE) students, to 33,778 FTE students for ASU-Tempe in FY 2012. These amounts would have funded projected growth in student enrollment, as calculated by the part-statutory, part-conventional student enrollment funding formula. This amount excludes projected growth of 78 out-of-state students. (Please see ABOR for more information.)

Since enrollment growth contributes to an increase in university tuition and fee collections, the University Collections Fund amount reflects these additional revenues.

Biomedical Informatics

The Baseline includes \$2,056,800 and 15.3 FTE Positions from the General Fund for the Biomedical Informatics

Department in FY 2012. These amounts are unchanged from FY 2011.

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This multidisciplinary department incorporates computer science, biology, and engineering to organize and analyze medical data. The department is closely integrated with the University of Arizona Phoenix Medical Campus. (For more information on this campus, please see the University of Arizona - Health Sciences Center section.)

Downtown Phoenix Campus

The Baseline includes \$93,626,400 and 767 FTE Positions for programs headquartered at the Downtown Phoenix Campus (DPC) in FY 2012. These amounts consist of:

General Fund	29,249,300
University Collections Fund	64,377,100

FY 2012 adjustments would be as follows:

Enrollment Growth OF 7,272,100

The Baseline includes an increase of \$7,272,100 from the University Collections Fund for enrollment growth in FY 2012. The Baseline includes no funding for General Fund enrollment growth. If General Fund enrollment growth was funded, it would have provided an increase of \$7,557,600. These amounts would have funded projected growth in student enrollment, as calculated by the part-statutory, part-conventional student enrollment funding formula. Formula enrollment is projected to grow by 968 full-time equivalent (FTE) students, to 5,883 FTE students for ASU-DPC in FY 2012. This amount excludes projected growth of 216 out-of-state students. (Please see ABOR for more information.)

Research Infrastructure Lease-Purchase Payment

The Baseline includes \$13,555,000 from the General Fund for the Research Infrastructure Lease-Purchase Payment Special Line Item in FY 2012. This amount is unchanged from FY 2011.

Laws 2003, Chapter 267 appropriated a total of \$34,625,000 from the General Fund to the state university system from FY 2008 through FY 2031 to finance lease-purchase payments for research infrastructure project agreements entered into before July 1, 2006. Therefore, this funding does not appear in the General Appropriation Act. In total, the universities have issued \$482,500,000 in Certificates of Participation (COPs) for research infrastructure projects. Of this amount, ASU-Tempe/DPC has issued \$187,200,000 in COPs.

FORMAT — Operating Lump Sum with Special Line Items by Agency

FOOTNOTES

Standard Footnotes (Please see ABOR for universitywide standard footnotes.)

The appropriated monies shall not be used by the Arizona State University College of Law Legal Clinic for any lawsuits involving inmates of the State Department of Corrections in which the state is the adverse party.

It is the intent of the Legislature that the General Fund base funding for Arizona State University - Tempe and Downtown Phoenix Campuses is \$326,349,100, which includes a deferral of \$74,760,400 from FY 2012 to FY 2013 and the \$13,555,000 Laws 2003, Chapter 267 lease-purchase appropriation.

OTHER ISSUES FOR LEGISLATIVE CONSIDERATION

Payment Deferral

The Baseline includes \$74,760,400 from the General Fund for FY 2011 payments that were deferred until FY 2012 and includes a FY 2012 General Fund payment deferral of \$(74,760,400) until FY 2013. These amounts constitute a portion of a \$200,000,000 universitywide payment deferral. The payment deferral is unchanged from FY 2011. (Please see ABOR for more information.)

The FY 2012 General Fund Baseline is \$326,349,100. Of this amount:

- \$238,033,700 would be included in the FY 2012 General Appropriation Act.
- \$74,760,400 was appropriated in the FY 2011 General Appropriation Act (Laws 2010, 7th Special Session, Chapter 1) to reflect the deferral of FY 2011 monies to FY 2012. The \$(38,138,100) net impact of the FY 2010 payment deferral appears in the Professional and Outside Services line. (Please see the summary table above.)
- \$13,555,000 was appropriated in Laws 2003, Chapter 267 for a lease-purchase payment.

Furlough/Statewide Salary Reduction

As required by the Budget Procedures Budget Reconciliation Bill (Laws 2010, 7th Special Session, Chapter 3), implementation of the statewide pay reduction and furlough will begin in FY 2012 for the universities. It will reduce ASU Tempe/DPC's budget by \$(21,282,400). This reduction is not reflected in the funding table above. (Please see ABOR Other Issues for Legislative Consideration for more information.)

SUMMARY OF FUNDS	FY 2010 Actual	FY 2011 Estimate
Auxiliary Fund (No Fund Number/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Sales revenues of substantially self-supporting university services.		
Purpose of Fund: To provide university-related non-academic services for students, faculty, staff, and the public. Auxiliary enterprises include student housing, bookstores, student unions, and intercollegiate athletics.		
Funds Expended	137,334,300	140,119,500
Year-End Fund Balance	29,393,800	29,452,900
Designated Fund (No Fund Number/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Retained tuition and fees, summer session fees, student aid administrative allowances, and unrestricted gifts and grants.		
Purpose of Fund: To provide and administer student financial aid, to pay debt service on university bonds, and to run summer and winter session programs. The university designates monies in the fund into sub-accounts for specific purposes. Indirect Cost Recovery, a Designated Fund sub-account, is presented separately.		
Funds Expended	345,607,300	451,433,700
Year-End Fund Balance	234,411,200	186,187,800
Endowment and Life Income Fund (No Fund Number/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Interest income on invested endowment and life income gifts, a portion of financial aid trust fees assessed to students, and a portion of university trust land earnings.		
Purpose of Fund: To support endowment operations and compensate designated beneficiaries.		
Funds Expended	1,579,700	0
Year-End Fund Balance	77,710,100	77,710,100
Federal Grants (No Fund Number/A.R.S. § 15-1666)		Non-Appropriated
Source of Revenue: Federal grants and contracts.		
Purpose of Fund: To support specific operating and research purposes as identified by the federal government.		
Funds Expended	226,482,700	237,875,700
Year-End Fund Balance	0	0
Federal Grants - American Recovery and Reinvestment Act (ARRA) (UNI2999/A.R.S. § 35-142)		Non-Appropriated
Source of Revenue: One-time Federal Funds allocated by the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).		
Purpose of Fund: One-time Federal Funds to be used by the university as part of the State Fiscal Stabilization Fund for education programs.		
Funds Expended	32,501,600	866,900
Year-End Fund Balance	32,501,600	866,900
Federal Indirect Cost Recovery Fund (No Fund Number/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Federally-sponsored research programs.		
Purpose of Fund: To assist and promote federally sponsored research.		
Funds Expended	0	0
Year-End Fund Balance	44,448,000	50,459,300
Indirect Cost Recovery Fund (Non-Federal) (No Fund Number/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Non-federally sponsored research programs.		
Purpose of Fund: To assist and promote non-federally sponsored research.		
Funds Expended	311,400	326,900
Year-End Fund Balance	8,073,400	6,073,400

SUMMARY OF FUNDS	FY 2010 Actual	FY 2011 Estimate
Loan Fund (No Fund Number/A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Interest on federal student loans and reimbursements from federal loan forgiveness programs.		
Purpose of Fund: To provide one-third of the amount of federal student loans. The federal government provides the other two-thirds. The amounts displayed do not include an administrative allowance, which is reflected in the Designated Fund.		
Funds Expended	228,300	0
Year-End Fund Balance	13,259,900	13,259,900
Restricted Fund (Excluding Federal Funds) (No Fund Number /A.R.S. § 15-1601)		Non-Appropriated
Source of Revenue: Private and non-federal grants, including non-appropriated Technology and Research Initiative Fund grants (<i>please see the ABOR Summary of Funds</i>), as well as a portion of financial aid trust fees assessed to students.		
Purpose of Fund: To support specific operating and research purposes as identified by the private or non-federal donating entities.		
Funds Expended	113,120,000	129,935,500
Year-End Fund Balance	33,722,000	27,872,600
University Capital Improvement Lease-to-Own and Bond Fund (BRA3042/A.R.S. § 15-1682.03)		Non-Appropriated
Source of Revenue: Lottery proceeds.		
Purpose of Fund: To pay annual debt service payments for the \$800,000,000 university Lottery bonding package. The fund will be comprised of no more than 80% Lottery revenues and at least 20% state university system revenues. (<i>Please see the Capital Outlay ABOR Building System narrative for more information.</i>)		
Funds Expended	0	247,600
Year-End Fund Balance	0	0
University Collections Fund (ASA1411/A.R.S. § 15-1626)		Appropriated
Source of Revenue: Tuition and registration fees, as well as a portion of university trust land earnings.		
Purpose of Fund: To operate the university.		
Funds Expended	308,037,800	353,023,900
Year-End Fund Balance	0	0