Arizona State University - West Campus

A.R.S. § 15-1601

President: Lattie F. Coor, Ph.D. JLBC Analysts: Lorenzo Martinez/Steve Grunig

	FY 2000 Actual	FY 2001 Estimate	FY 2002 Approved	FY 2003 Approved
OPERATING BUDGET				
Full Time Equivalent Positions	660.6	672.0 1/	672.0	672.0
Personal Services	25,595,900	28,598,200	29,377,300	31,019,800
Employee Related Expenditures	4,830,000	5,813,100	6,066,800	6,061,400
Professional and Outside Services	676,500	479,800	479,800	479,800
Travel - In State	47,200	97,700	97,700	97,700
Travel - Out of State	334,700	105,800	105,800	105,800
Library Acquisitions	1,145,400	1,151,400	1,151,400	1,151,400
Other Operating Expenditures	10,807,800	3,881,000	3,881,000	3,892,000
Equipment	2,639,800	2,106,000	2,106,000	2,106,000
Operating Subtotal	46,077,300	42,233,000	43,265,800	44,913,900
Education 2000 Lease-Purchase Payment	0	0	1,100,000	0
TOTAL APPROPRIATIONS	**46,077,300**	**42,233,000**	**44,365,800** 2/3/4/5/	**44,913,900** 2/3/4/5/
FUND SOURCES				
General Fund	38,524,400	39,473,600	40,286,500	41,749,600
Other Appropriated Funds				
University Collections Fund	7,552,900	2,759,400	2,979,300	3,164,300
Technology and Research Initiative Fund	0	0	1,100,000	0
Subtotal - Other Appropriated Funds	7,552,900	2,759,400	4,079,300	3,164,300
TOTAL APPROPRIATIONS	**46,077,300**	**42,233,000**	**44,365,800**	**44,913,900**

AGENCY DESCRIPTION — *Established as a separate budget unit in 1984, Arizona State University-West Campus offers upper division baccalaureate and selective masters' programs to approximately 5,300 students at the 300-acre site in northwestern Phoenix. The Arizona Board of Regents authorized the ASU-West Campus to offer a lower division curriculum to approximately 200 students beginning in the Fall of 2001.*

Fund Adjustments for Increased Collections — The approved amount includes a FY 2002 General Fund decrease of $(219,900) below FY 2001 and a FY 2003 General Fund decrease of $(404,900) below FY 2001 with corresponding increases from the University Collections Fund in each year as a result of anticipated changes in student enrollment and the previous year tuition increases generating higher tuition and fee collections. The FY 2003 General Fund offset is higher due to an estimated increase of $185,000 in available tuition and fee collections.

1/ Includes additional FTE Positions authorized by the Arizona Board of Regents, which are associated with an increase in revenues to the University Collections Fund.

2/ The appropriated monies are not to be used for scholarships. (General Appropriation Act footnote)

3/ Any unencumbered balances remaining in the collections account on June 30, 2001 and June 30, 2002, respectively, and all collections received by the university during the fiscal year, when paid into the State Treasury, are appropriated for operating expenditures, capital outlay and fixed charges. Earnings on state lands and interest on the investment of the Permanent Land Funds are appropriated in compliance with the Enabling Act and the Constitution. No part of this appropriation may be expended for supplemental life insurance or supplemental retirement. Receipts from summer session, when deposited in the State Treasury, together with any unencumbered balance in the summer session account, are hereby appropriated for the purpose of conducting summer sessions but are excluded from the amounts enumerated above. Within 10 days of the acceptance of the universities' semiannual all funds budget reports, the Arizona Board of Regents shall inform the Joint Legislative Budget Committee of any tuition revenue amounts that are different from the amounts appropriated by the Legislature. (General Appropriation Act footnote)

4/ This amount does not include a triggered appropriation made by Laws 2001, Chapter 235. Triggered appropriations in FY 2002 and FY 2003 depend on the availability of excess revenues. *(For more information, refer to the "Fund Adjustments for Increased Collections" and "Summary of Appropriations Triggered by Revenues" table at the front of this report.)*

5/ General Appropriation Act funds are appropriated as a Lump Sum by Agency.

PERFORMANCE MEASURES	FY 1999 Est./Actual	FY 2000 Est./Actual	FY 2001 Estimate	FY 2002-03 Estimate
• % of graduating seniors who rate their overall university experience as "good"/"excellent"	NA/98	NA/99	95	95
• % of full-time undergraduate students enrolled per semester in 3 or more primary courses with ranked faculty	NA/81	NA/78	79	80/81
• Number of degrees granted:	NA/1,204	NA/1,319	1,320	1,345/1,370
Bachelors	NA/997	NA/1,107	1,110	1,130/1,150
Masters	NA/207	NA/212	210	215/220
• Fall semester enrollment (FTE)	NA/3,456	NA/3,658	3,817	3,822/3,900
• % of agency staff turnover	NA	NA/13.4	13.4	13.4
• Administration as a % of total cost	NA/4.3	NA/4.1	4.5	4.2/4.1

Increases in collections are available to offset the General Fund and maintain the base-operating budget.

In addition, Laws 2001, Chapter 235, appropriates additional funding from the General Fund to restore these reductions. These appropriations are contingent on the availability of excess revenues in FY 2001 and FY 2002. *(See Triggered Appropriations for more detail on the conditions of these appropriations.)*

Education 2000 Lease-Purchase Payment — Education 2000 (Laws 2000, Chapter 1, 5th Special Session) appropriated a total of $1,100,000 in FY 2002 from the Technology and Research Initiative (TRI) Fund for the first year lease-purchase costs of expanding the Arizona State University West campus. Education 2000 also included legislative intent to have future lease-purchase payment requirements appropriated from the TRI Fund. The estimated requirement for FY 2003 is $1,798,500, although that amount has not been appropriated. The issuance of Certificates of Participation (COPs) for the lease-purchase financing is estimated to be $21,600,000 (this assumes an additional $2,900,000 will funded from savings generated from refinancing other ASU-West COPs). (*See the Arizona Board of Regents Technology and Research Initiative Awards Special Line Item for more information on Education 2000 and the TRI Fund.*)

Decision Packages Funding — The Legislature appropriated a total of $16,000,000 from the General Fund for the universities to distribute among themselves for decision packages. *Table 1* lists the allocation of the $16,000,000 among the universities. ASU-West has allocated $200,000 and 2 FTE Positions in FY 2003 above FY 2001 for program funding. The required FTE Positions were not known at the time the General Appropriation Act was passed, however, the Arizona

Board of Regents has historically been allowed to authorize additional FTE Positions for universities when additional monies are available (primarily from tuition and fee collections).

Triggered Appropriations: Conditional Appropriations; Taxation; Revenue Forecasts (Chapter 235) — This act appropriates $219,900 in FY 2002 and $404,900 in FY 2003 from the General Fund to offset the loss of General Fund monies from increased tuition and fee collections if FY 2001 General Fund revenues exceed the forecast by $79,708,400. Prior to September 1, 2001, JLBC and OSPB will calculate total FY 2001 revenues and determine if sufficient monies are available to trigger the appropriations.

Table 1 **Decision Packages Funding**

	FY 2002	FY 2003	Use of Funds
ASU-Main		800,000	Graduate student health insurance.
ASU-East	2,000,000	3,000,000	Program funding.
ASU-West		200,000	Program funding.
NAU		4,000,000	Bond debt service.
UofA-Main	1,510,000	2,450,000	Graduate student pay; NW campus development.
UofA-Sierra Vista SLI	250,000	800,000	Program funding.
UofA-Health Sciences Center		990,000	Program funding.
Total	**$3,760,000**	**$12,240,000**	

If FY 2001 revenues do not exceed the forecast by the required amount, but FY 2002 General Fund revenues exceed the forecast by $73,512,300, the act appropriates $404,900 in FY 2003 from the General Fund to offset the loss of General Fund monies from increased collections. Prior to September 1, 2002, JLBC and OSPB will calculate total FY 2002 revenues and determine if sufficient monies are available to trigger the appropriation. *(See the Fund Adjustments for Increased Collections discussion above for more information. For more information on this and other triggered appropriations see the "Summary of Appropriations Triggered by Revenues" table at the front of this report.*)

Click here to return to the Table of Contents